



## BIRMINGHAM AIRPORT AUTHORITY - DECK LIGHTING

5900 Messer Airport Highway  
Birmingham, Alabama 35212

REMOVAL AND REPLACEMENT OF COLOR ACCENT LIGHTS FROM DUSK TILL DAWN  
ILLUMINATING THE **BHM** PARKING DECK AND PROGRAMMABLE TO BE COLORED TO  
COORDINATE WITH SPECIAL EVENTS AND HOLIDAYS. 22 LIGHTS BEING REMOVED, 27  
LIGHTS TOTAL TO BE INSTALLED PER THIS BID SET OF DRAWINGS. SCOPE OF PROJECT ALSO  
INCLUDES HARD WIRING ELECTRICAL AND DATA IN CONDUIT TO EACH LIGHT LOCATION.

### OWNER

Birmingham Airport Authority (BAA)  
5900 Messer Airport Highway  
Birmingham, Alabama 35212

Ed Seoane, Vice President of Purchasing  
eseoane@flybirmingham.com

CCR Project 24089-A



ARCHITECT

CCR Architecture & Interiors  
2920 First Avenue South  
Birmingham, Alabama 35233

Robert Bruner  
robert@ccrarchitecture.com  
BID SET 09/25/2025



**CCR ARCHITECTURE & INTERIORS**

BHM AIRPORT - DECK ACCENT LIGHTING UPGRADES

PROJECT DIRECTORY:

**PROJECT TEAM**

OWNER

BIRMINGHAM AIRPORT AUTHORITY  
5900 MESSER AIRPORT HIGHWAY  
BIRMINGHAM, AL 35212  
PROJECT CONTACT: ED SEOANE  
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ARCHITECT

CCR ARCHITECTURE & INTERIORS  
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PROJECT CONTACT: ROBERT BRUNER  
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PHONE: (205) 324-8864

ELECTRICAL ENGINEER

MUYA ENGINEERING  
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HOOVER, AL 35244  
PROJECT CONTACT: JOSEPH KIUMU, PE  
EMAIL: [JKIUMU@MUYAENGINEERING](mailto:JKIUMU@MUYAENGINEERING)  
PHONE: 205.422.7596

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**DOCUMENT 00 0115 - LIST OF DRAWING SHEETS****1.1 LIST OF DRAWINGS**

- A. Drawings: Drawings consist of the Contract Drawings and other drawings listed on the Table of Contents page of the separately bound drawing set
- B. List of Drawings: Drawings consist of the following Contract Drawings and other drawings of type indicated:

# DRAWING INDEX

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**DOCUMENT 00 1116 - INVITATION TO BID****1.1 1.1 PROJECT INFORMATION**

- A. A. Notice to Bidders: All qualified bidders are invited to submit bids for Project as described in this Document according to the Instructions to Bidders.
- B. Project Identification: BIRMINGHAM AIRPORT AUTHORITY – DECK LIGHTING  
CCR PROJECT- 24089-A
1. Project Location: Birmingham-Shuttlesworth International Airport  
5900 Messer Airport Highway  
Birmingham, Alabama 35212
- C. Owner: Birmingham Airport Authority (BAA)  
5900 Messer Airport Highway  
Birmingham, Alabama 35212
- D. Owner's Representative:  
  
Ed Seoane, Vice President of Purchasing; [eseoane@flybirmingham.com](mailto:eseoane@flybirmingham.com)
- E. Architect: Robert Bruner  
CCR Architecture and Interiors  
2920 1<sup>st</sup> Ave South  
Birmingham, Alabama 35222  
[robert@ccrarchitecture.com](mailto:robert@ccrarchitecture.com)
- F. Project Description: REMOVAL AND REPLACEMENT OF COLOR ACCENT LIGHTS FROM DUSK TILL DAWN ILLUMINATING THE BHM PARKING DECK AND PROGRAMMABLE TO BE COLORED TO COORDINATE WITH SPECIAL EVENTS AND HOLIDAYS. 22 LIGHTS BEING REMOVED, 27 LIGHTS TOTAL TO BE INSTALLED PER THIS BID SET OF DRAWINGS. SCOPE OF PROJECT ALSO INCLUDES HARD WIRING ELECTRICAL AND DATA IN CONDUIT TO EACH LIGHT LOCATION.
- G. Construction Contract: Sealed bids will be received for the following Work:
1. General Contract (all trades).

## 1.2 BID SUBMITTAL AND OPENING

- A. Owner will receive sealed bids up until opening. Owner will consider bids prepared in compliance with the Instructions to Bidders issued by Owner, and delivered as follows:
- B. The bids need to be received at the Birmingham Airport Authority's reception office, and handed to the receptionist up until 2pm CT on December 12<sup>th</sup> 2024.
  - 1. **Bid Date: October 22, 2025**
  - 2. Bid Time: 2pm Central Time
  - 3. Location for bid opening: Meeting Room A, Adjacent to Birmingham Airport Authority's reception office.
  - 4. All bids need to be addressed to:  
**Ed Seoane, Vice President of Purchasing**  
**Birmingham Airport Authority**  
**5900 Messer Airport Highway**  
**Birmingham, AL 35212**
- C. Bids will be thereafter opened in the presence of the bidders and read aloud in Meeting Room A.

## 1.3 BID SECURITY

- A. AIA Document A310-2010 "Bid Bond" is the recommended form for a bid bond. A bid bond is required by the Owner.
- B. Bid security shall be submitted with each bid in the amount of five percent of the bid amount. No bids may be withdrawn for a period of sixty days after opening of bids. Owner reserves the right to reject any and all bids and to waive informalities and irregularities. Owner will accept Bid Bonds only.

## 1.4 PREBID CONFERENCE

- A. A Pre-Bid conference for all bidders will be held at Meeting Room A, Terminal Building on October 13, 2025 at 2:00pm, local time. Prospective bidders are requested and not required to attend.

## 1.5 DOCUMENTS

- A. Online Procurement and Contracting Documents: Obtain access after September 28, 2025, by contacting the Owner. Online access will be provided to prospective prime bidders only through the owner's .FTP site.

**1.6 TIME OF COMPLETION**

- A. Bidders shall begin the Work on receipt of the Notice to Proceed and shall complete the Work within the Contract Time.

**1.7 BIDDER'S QUALIFICATIONS**

- A. Before submission of their bid, Bidders must be properly licensed in the State of Alabama governing their respective trades and be able to obtain insurance and bonds required for the Work. A Performance Bond, a separate Labor and Material Payment Bond, and Insurance in a form acceptable to the Owner will be required of the successful Bidder.

**END OF DOCUMENT 00 1116**

**DOCUMENT 00 2113 - INSTRUCTIONS TO BIDDERS**

**1.1 INSTRUCTIONS TO BIDDERS**

- A. AIA Document A701, "Instructions to Bidders," is hereby incorporated into the Procurement and Contracting Requirements by reference.

**END OF DOCUMENT 00 2113**

**DOCUMENT 002213 - SUPPLEMENTARY INSTRUCTIONS TO BIDDERS****1.1 INSTRUCTIONS TO BIDDERS**

- A. Instructions to Bidders for Project consist of the following:
  - 1. AIA Document A701, "Instructions to Bidders.", a copy of which is bound in this Project Manual.
  - 2. The following Supplementary Instructions to Bidders that modify and add to the requirements of the Instructions to Bidders.

**1.2 SUPPLEMENTARY INSTRUCTIONS TO BIDDERS, GENERAL**

- A. The following supplements modify AIA Document A701, "Instructions to Bidders." Where a portion of the Instructions to Bidders is modified or deleted by these Supplementary Instructions to Bidders, unaltered portions of the Instructions to Bidders shall remain in effect.

**1.3 ARTICLE 1 - DEFINITIONS**

- A. None

**1.4 ARTICLE 2 - BIDDER'S REPRESENTATIONS**

- A. Add Section 2.1.3.1:
  - 1. 2.1.3.1 - The Bidder has investigated all required fees, permits, and regulatory requirements of authorities having jurisdiction and has properly included in the submitted bid the cost of such fees, permits, and requirements not otherwise indicated as provided by Owner.
- B. Add Section 2.1.5:
  - 1. 2.1.5 - The Bidder is a properly licensed Contractor according to the laws and regulations of State of Alabama and meets qualifications indicated in the Procurement and Contracting Documents.
- C. Add Section 2.1.6:
  - 1. 2.1.6 - The Bidder has incorporated into the Bid adequate sums for work performed by installers whose qualifications meet those indicated in the Procurement and Contracting Documents.

**1.5 ARTICLE 3 - BIDDING DOCUMENTS****A. 3.2 - Interpretation or Correction of Procurement and Contracting Documents:****1. Add Section 3.2.2.1:**

- a. 3.2.2.1 - Submit Bidder's Requests for Interpretation using form in writing by email

**B. 3.4 - Addenda:****1. Delete Section 3.4.3 and replace with the following:**

- a. 3.4.3 - Addenda may be issued at any time prior to the receipt of bids.

**2. Add Section 3.4.4.1:**

- a. 3.4.4.1 - Owner may elect to waive the requirement for acknowledging receipt of 3.4.4 Addenda as follows:
  - 1) 3.4.4.1.1 - Information received as part of the Bid indicates that the Bid, as submitted, reflects modifications to the Procurement and Contracting Documents included in an unacknowledged Addendum.
  - 2) 3.4.4.1.2 - Modifications to the Procurement and Contracting Documents in an unacknowledged Addendum do not, in the opinion of Owner, affect the Contract Sum or Contract Time.

**1.6 ARTICLE 4 - BIDDING PROCEDURES****A. 4.1 - Preparation of Bids:****1. Add Section 4.1.1.1:**

- a. 4.1.1.1 - Printable electronic Bid Forms and related documents are available from Section 00 4113

**2. Add Section 4.1.8:**

- a. 4.1.8 - The Bid shall include unit prices when called for by the Procurement and Contracting Documents. Owner may elect to consider unit prices in the determination of award. Unit prices will be incorporated into the Contract.

**3. Add Section 4.1.9:**

- a. 4.1.9 - Owner may elect to disqualify a bid due to failure to submit a bid in the form requested, failure to bid requested alternates or unit

prices, failure to complete entries in all blanks in the Bid Form, or inclusion by the Bidder of any alternates, conditions, limitations or provisions not called for.

4. Add Section 4.1.10:
  - a. 4.1.10 – This project is tax exempt; therefore, bids shall not include sales and use taxes. Tax exempt form will be provided at the time of award.
- B. 4.3 - Submission of Bids:
  1. Add Section 4.3.1.2:
    - a. 4.3.1.2 - Include Bidder's Contractor License Number applicable in Project jurisdiction on the face of the sealed bid envelope.
- C. 4.4 - Modification or Withdrawal of Bids:
  1. Add the following sections to 4.4.2:
    - a. 4.4.2.1 - Such modifications to or withdrawal of a bid may only be made by persons authorized to act on behalf of the Bidder. Authorized persons are those so identified in the Bidder's corporate bylaws, specifically empowered by the Bidder's charter or similar legally binding document acceptable to Owner, or by a power of attorney, signed and dated, describing the scope and limitations of the power of attorney. Make such documentation available to Owner at the time of seeking modifications or withdrawal of the Bid.
    - b. 4.4.2.2 - Owner will consider modifications to a bid written on the sealed bid envelope by authorized persons when such modifications comply with the following: the modification is indicated by a percent or stated amount to be added to or deducted from the Bid; the amount of the Bid itself is not made known by the modification; a signature of the authorized person, along with the time and date of the modification, accompanies the modification. Completion of an unsealed bid form, awaiting final figures from the Bidder, does not require power of attorney due to the evidenced authorization of the Bidder implied by the circumstance of the completion and delivery of the Bid.
- D. 4.5 - Break-Out Pricing Bid Supplement:
  1. Add Section 4.5:
    - a. 4.5 - Provide detailed cost breakdowns on forms provided no later than two business days following Architect's request.
- E. 4.6 - Subcontractors, Suppliers, and Manufacturers List Bid Supplement:

1. Add Section 4.6:

- a. 4.6 - Provide list of major subcontractors, suppliers, and manufacturers furnishing or installing products no later than two business days following Architect's request. Include those subcontractors, suppliers, and manufacturers providing work totaling three percent or more of the Bid amount. Do not change subcontractors, suppliers, and manufacturers from those submitted without approval of Architect.

**1.7 ARTICLE 5 - CONSIDERATION OF BIDS**

A. 5.2 - Rejection of Bids:

1. Add Section 5.2.1:

- a. 5.2.1 - Owner reserves the right to reject a bid based on Owner's and Architect's evaluation of qualification information submitted following opening of bids. Owner's evaluation of the Bidder's qualifications will include: status of licensure and record of compliance with licensing requirements, record of quality of completed work, record of Project completion and ability to complete, record of financial management including financial resources available to complete Project and record of timely payment of obligations, record of Project site management including compliance with requirements of authorities having jurisdiction, record of and number of current claims and disputes and the status of their resolution, and qualifications of the Bidder's proposed Project staff and proposed subcontractors.

**1.8 ARTICLE 6 - POSTBID INFORMATION**

A. 6.1 - Contractor's Qualification Statement:

1. Add Section 6.1.1:

- a. 6.1.1 - Submit Contractor's Qualification Statement no later than two business days following Architect's request.

B. 6.3 - Submittals:

1. Add Section 6.3.1.4:

- a. 6.3.1.4 - Submit information requested in Sections 6.3.1.1, 6.3.1.2, and 6.3.1.3 no later than two business days following Architect's request.

**1.9 ARTICLE 7 - PERFORMANCE BOND AND PAYMENT BOND****A. 7.1 - Bond Requirements:****1. Add Section 7.1.1.1:**

- a. 7.1.1.1 - Both a Performance Bond and a Payment Bond will be required, each in an amount equal to 100 percent of the Contract Sum.

**B. 7.2 - Time of Delivery and Form of Bonds:****1. Delete the first sentence of Section 7.2.1 and insert the following:**

- a. The Bidder shall deliver the required bonds to Owner no later than 10 days after the date of Notice of Intent to Award and no later than the date of execution of the Contract, whichever occurs first. Owner may deem the failure of the Bidder to deliver required bonds within the period of time allowed a default.

**2. Delete Section 7.2.3 and insert the following:**

- a. 7.2.3 - Bonds shall be executed and be in force on the date of the execution of the Contract.

**1.10 ARTICLE 8 - FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR****A. N/A****1.11 ARTICLE 9 - EXECUTION OF THE CONTRACT****A. Add Article 9:**

- 1. 9.1.1 - Subsequent to the Notice of Intent to Award, and within ten days after the prescribed Form of Agreement is presented to the Awardee for signature, the Awardee shall execute and deliver the Agreement to Owner through Architect, in such number of counterparts as Owner may require.
- 2. 9.1.2 - Owner may deem as a default the failure of the Awardee to execute the Contract and to supply the required bonds when the Agreement is presented for signature within the period of time allowed.
- 3. 9.1.3 - Unless otherwise indicated in the Procurement and Contracting Documents or the executed Agreement, the date of commencement of the Work shall be the date of the executed Agreement or the date that the Bidder is obligated to deliver the executed Agreement and required bonds to Owner.

4. 9.1.4 - In the event of a default, Owner may declare the amount of the Bid security forfeited and elect to either award the Contract to the next responsible bidder or re-advertise for bids.

**END OF DOCUMENT 00 2213**

**DOCUMENT 00 2513 - PREBID MEETINGS****1.1 PREBID MEETING**

- A. Architect will conduct a Prebid meeting as indicated below:
  - 1. Meeting Date: October 13, 2025
  - 2. Meeting Time: 2:00pm, local time.
  - 3. Location: Birmingham-Shuttlesworth International Airport, Meeting Room A, 5900  
Messer Airport Highway, Birmingham, AL 35212.
  - 4. Site/facility visit or walkthrough recommended and will be available after the recommended pre-bid meeting. Neither are mandatory.
- B. Attendance:
  - 1. Prime Bidders: Attendance at Prebid meeting is recommended.
  - 2. Subcontractors: Attendance at Prebid meeting is recommended.
- C. Bidder Questions: Submit written questions to be addressed at Prebid meeting minimum of two business days prior to meeting.
- D. Agenda: Prebid meeting agenda will include review of topics that may affect proper preparation and submittal of bids, including the following:
  - 1. Procurement and Contracting Requirements:
    - a. Advertisement for Bids.
    - b. Instructions to Bidders.
    - c. Bidder Qualifications.
    - d. Bonding.
    - e. Insurance.
    - f. Bid Security.
    - g. Bid Form and Attachments.
    - h. Bid Submittal Requirements.
    - i. Bid Submittal Checklist.
    - j. Notice of Award.
  - 2. Communication during Bidding Period:
    - a. Obtaining documents.
    - b. Bidder's Requests for Information.
    - c. Bidder's Substitution Request/Prior Approval Request.
    - d. Addenda.

3. Contracting Requirements:
    - a. Agreement.
    - b. The General Conditions.
    - c. The Supplementary Conditions.
    - d. Other Owner requirements.
  4. Construction Documents:
    - a. Scopes of Work.
    - b. Temporary Facilities.
    - c. Use of Site.
    - d. Work Restrictions.
    - e. Alternates, Allowances, and Unit Prices.
    - f. Substitutions following award.
  5. Separate Contracts:
    - a. Work by Owner.
    - b. Work of Other Contracts.
  6. Schedule:
    - a. Project Schedule.
    - b. Contract Time.
    - c. Liquidated Damages.
    - d. Other Bidder Questions.
  7. Post-Meeting Addendum.
- E. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes to attendees and others known by the issuing office to have received a complete set of Procurement and Contracting Documents. Minutes of meeting are issued as Available Information and do not constitute a modification to the Procurement and Contracting Documents. Modifications to the Procurement and Contracting Documents are issued by written Addendum only.
1. Sign-in Sheet: Minutes will include list of meeting attendees.

**END OF DOCUMENT 00 2513**

**DOCUMENT 002600 - PROCUREMENT SUBSTITUTION PROCEDURES****1.1 DEFINITIONS**

- A. Procurement Substitution Requests: Requests for changes in products, materials, equipment, and methods of construction from those indicated in the Procurement and Contracting Documents, submitted prior to receipt of bids.
- B. Substitution Requests: Requests for changes in products, materials, equipment, and methods of construction from those indicated in the Contract Documents, submitted following Contract award. See Section 01 2500 "Substitution Procedures" for conditions under which Substitution requests will be considered following Contract award.

**1.2 QUALITY ASSURANCE**

- A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

**1.3 PROCUREMENT SUBSTITUTIONS**

- A. Procurement Substitutions, General: By submitting a bid, the Bidder represents that its bid is based on materials and equipment described in the Procurement and Contracting Documents, including Addenda. Bidders are encouraged to request approval of qualifying substitute materials and equipment when the Specifications Sections list materials and equipment by product or manufacturer name.
- B. Procurement Substitution Requests will be received and considered by Owner when the following conditions are satisfied, as determined by Architect; otherwise, requests will be returned without action:
  - 1. Extensive revisions to the Contract Documents are not required.
  - 2. Proposed changes are in keeping with the general intent of the Contract Documents, including the level of quality of the Work represented by the requirements therein.
  - 3. The request is fully documented and properly submitted.

**1.4 SUBMITTALS**

- A. Procurement Substitution Request: Submit to Architect. Procurement Substitution Request must be made in writing by prime contract Bidder only in compliance with the following requirements:

1. Requests for substitution of materials and equipment will be considered if received no later than 10 ten days prior to date of bid opening.
2. Submittal Format: Submit three copies of each written Procurement Substitution Request, using CSI Substitution Request Form 1.5C.
  - a. Identify the product or the fabrication or installation method to be replaced in each request. Include related Specifications Sections and drawing numbers.
  - b. Provide complete documentation on both the product specified and the proposed substitute, including the following information as appropriate:
    - 1) Point-by-point comparison of specified and proposed substitute product data, fabrication drawings, and installation procedures.
    - 2) Copies of current, independent third-party test data of salient product or system characteristics.
    - 3) Samples where applicable or when requested by Architect.
    - 4) Detailed comparison of significant qualities of the proposed substitute with those of the Work specified. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
    - 5) Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
    - 6) Research reports, where applicable, evidencing compliance with building code in effect for Project.
    - 7) Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors, which will become necessary to accommodate the proposed substitute.
  - c. Provide certification by manufacturer that the substitute proposed is equal to or superior to that required by the Procurement and Contracting Documents, and that its in-place performance will be equal to or superior to the product or equipment specified in the application indicated.
  - d. Bidder, in submitting the Procurement Substitution Request, waives the right to additional payment or an extension of Contract Time because of the failure of the substitute to perform as represented in the Procurement Substitution Request.

B. Architect's Action:

1. Architect may request additional information or documentation necessary for evaluation of the Procurement Substitution Request. Architect will notify all bidders of acceptance of the proposed substitute by means of an Addendum to the Procurement and Contracting Documents.
- C. Architect's approval of a substitute during bidding does not relieve Contractor of the responsibility to submit required shop drawings and to comply with all other requirements of the Contract Documents.

**END OF DOCUMENT 00 2600**

**DOCUMENT 003113 - PRELIMINARY SCHEDULES****1.1 PROJECT SCHEDULE**

- A. This Document is part of the Procurement and Contracting Requirements for Project. They provide Owner's information for Bidders' convenience and are intended to supplement rather than serve in lieu of Bidders' own investigations. They are made available for Bidders' convenience and information, but do not affect Contract Time requirements. This Document and its attachments are not part of the Contract Documents.
- B. Available Project information includes the following:
  - 1. Project Schedule – as submitted by bidder.
- C. Related Requirements:
  - 1. Document 004113 "Bid Form - Stipulated Sum (Single-Prime Contract)" for Contract Time.
  - 2. Section 01 3200 "Construction Progress Documentation" for Contractor's construction schedule requirements.

**END OF DOCUMENT 00 3113**

**DOCUMENT 00 3119 - EXISTING CONDITION INFORMATION****1.1 EXISTING CONDITION INFORMATION**

- A. This Document with its referenced attachments is part of the Procurement and Contracting Requirements for Project. They provide Owner's information for Bidders' convenience and are intended to supplement rather than serve in lieu of the Bidders' own investigations. They are made available for Bidders' convenience and information, but are not a warranty of existing conditions. This Document and its attachments are not part of the Contract Documents.
- B. Existing drawings that include information on existing conditions including previous construction at Project site are available for viewing at the office of Owner.
- C. Related Requirements:
  - 1. Document 002113 "Instructions to Bidders" for the Bidder's responsibilities for examination of Project site and existing conditions.

**END OF DOCUMENT 00 3119**

**DOCUMENT 00 3143 - PERMIT APPLICATION**

**1.1 PERMIT APPLICATION INFORMATION**

- A. Permit Application: Complete building permit application and file with authorities having jurisdiction within (5) five days of the Notice to Proceed the date of execution of the Contract.
- B. Plan review will be submitted by architect to authority having jurisdiction between bid date and notice to proceed. Contractor will be responsible for pulling all permits and scheduling inspections with the authority having jurisdiction.

**END OF DOCUMENT 00 3143**

**DOCUMENT 00 4113 - BID FORM - STIPULATED SUM (SINGLE-PRIME CONTRACT)****1.1 BID INFORMATION**

- A. Bidder: \_\_\_\_\_.
- B. Project Name: **BIRMINGHAM AIRPORT AUTHORITY – DECK LIGHTING**
- C. Project Location: Birmingham-Shuttlesworth International Airport  
5900 Messer Airport Highway  
Birmingham, Alabama 35212
- D. Owner: Birmingham Airport Authority (BAA)  
5900 Messer Airport Highway  
Birmingham, Alabama 35212
- E. Owner's Representative:  
  
Ed Seoane, Vice President of Purchasing; eseoane@flybirmingham.com
- F. Architect: Robert Bruner  
CCR Architecture and Interiors  
2920 1<sup>st</sup> Ave South  
Birmingham, Alabama 35222  
robert@ccrarchitecture.com
- G. Architect Project Number: 24089-A

**1.2 CERTIFICATIONS AND BASE BID**

- A. Base Bid, Single-Prime (All Trades) Contract: The undersigned Bidder, having carefully examined the Procurement and Contracting Requirements, Conditions of the Contract, Drawings, Specifications, and all subsequent Addenda, as prepared by CCR Architecture and Interiors and Architect's consultants, having visited the site, and being familiar with all conditions and requirements of the Work, hereby agrees to furnish all material, labor, equipment and services, including all scheduled allowances, necessary to complete the construction of the above-named project, according to the requirements of the Procurement and Contracting Documents, for the stipulated sum of:
1. \_\_\_\_\_ Dollars  
(\$\_\_\_\_\_).
  2. The above amount may be modified by amounts indicated by the Bidder on the attached Document 004322 "Unit Prices Form" and Document 004323 "Alternates Form."

**1.3 BID GUARANTEE**

- A. The undersigned Bidder agrees to execute a contract for this Work in the above amount and to furnish surety as specified within [10] days after receipt of bids, and on failure to do so agrees to forfeit to Owner the bid bond, as liquidated damages for such failure, in the following amount constituting five percent (5%) of the Base Bid amount above:

1. \_\_\_\_\_Dollars (\$\_\_\_\_\_ ).

- B. In the event Owner does not offer Notice of Award within the time limits stated above, Owner will return to the undersigned the bid bond.

**1.4 SUBCONTRACTORS AND SUPPLIERS**

The following companies shall execute subcontracts for the portions of the Work indicated:

1. HVAC Work: \_\_\_\_\_
2. Electrical Work: \_\_\_\_\_
3. Sprinkler Work: \_\_\_\_\_
4. Security: \_\_\_\_\_
5. Data: \_\_\_\_\_

**1.5 TIME OF COMPLETION**

- A. The undersigned Bidder proposes and agrees hereby to commence the Work of the Contract Documents on a date specified by owner.

**1.6 ACKNOWLEDGEMENT OF ADDENDA**

- A. The undersigned Bidder acknowledges receipt of and use of the following Addenda in the preparation of this Bid:

1. Addendum No. 1, dated \_\_\_\_\_.
2. Addendum No. 2, dated \_\_\_\_\_.
3. Addendum No. 3, dated \_\_\_\_\_.
4. Addendum No. 4, dated \_\_\_\_\_.

**1.7 BID SUPPLEMENTS**

- A. The following supplements are a part of this Bid Form and are attached hereto.

1. Bid Form Supplement - Alternates.

## 2. Bid Form Supplement - Bid Bond Form (AIA Document A310-2010).

**1.8 CONTRACTOR'S LICENSE**

- A. The undersigned further states that it is a duly licensed contractor, for the type of work proposed, in the State of Alabama, Jefferson County, and the City of Birmingham and that all fees, permits, etc., pursuant to submitting this proposal have been paid in full.

**1.9 SUBMISSION OF BID**

- A. Respectfully submitted this\_\_\_\_ day of\_\_\_\_\_, 2024
- B. Submitted By:\_\_\_\_\_(Name of bidding firm or corporation).
- C. Authorized Signature:\_\_\_\_\_(Handwritten signature).
- D. Signed By:\_\_\_\_\_(Type or print name).
- E. Title:\_\_\_\_\_(Owner/Partner/President/Vice President).
- F. Witnessed By:\_\_\_\_\_(Handwritten signature).
- G. Attest:\_\_\_\_\_(Handwritten signature).
- H. By:\_\_\_\_\_(Type or print name).
- I. Title:\_\_\_\_\_(Corporate Secretary or Assistant Secretary).
- J. Street Address:\_\_\_\_\_.
- K. City, State, Zip:\_\_\_\_\_.
- L. Phone:\_\_\_\_\_.
- M. License No.:\_\_\_\_\_.
- N. Federal ID No.:\_\_\_\_\_(Affix Corporate Seal Here).

**END OF DOCUMENT 00 4113**

**DOCUMENT 00 4313 - BID SECURITY FORMS**

**1.1 BID FORM SUPPLEMENT**

- A. A completed bid bond form is required to be attached to the Bid Form.

**1.2 BID BOND FORM**

- A. AIA Document A310-2010 "Bid Bond" is the recommended form for a bid bond. A bid bond is required by the Owner.
- B. Copies of AIA standard forms may be obtained from The American Institute of Architects; <https://www.aiacontracts.org/>; email: [docspurchases@aia.org](mailto:docspurchases@aia.org); (800) 942-7732.

**END OF DOCUMENT 00 4313**

**DOCUMENT 00 4393 - BID SUBMITTAL CHECKLIST – ADD-2 REVISION****1.1 BIDDER'S CHECKLIST**

- A. In an effort to assist the Bidder in properly completing all documentation required, the following checklist is provided for the Bidder's convenience. The Bidder is solely responsible for verifying compliance with bid submittal requirements.
- B. Attach this completed checklist to the outside of the Submittal envelope.
  - 1. Used the Bid Form provided in the Project Manual.
  - 2. Prepared the Bid Form as required by the Instructions to Bidders.
  - 3. Indicated on the Bid Form the Addenda received.
  - 4. Attached to the Bid Form: Proposed Schedule of Values Form AIA G-703
  - 5. Attached to the Bid Form: Bid Bond for the amount required, no check will be accepted.
  - 6. Bid envelope shows name and address of the Bidder.
  - 7. Bid envelope shows the Bidder's Contractor's License Number.
  - 8. Bid envelope shows name of Project being bid.
  - 9. Bid envelope shows name of Prime Contract being bid, if applicable.
  - 10. Bid envelope shows time and day of Bid Opening.
  - 11. Verified that the Bidder can provide executed Performance Bond and Labor and Material Bond.
  - 12. Verified that the Bidder can provide Certificates of Insurance in the amounts indicated.

**END OF DOCUMENT 00 4393**

**DOCUMENT 00 5100 - NOTICE OF AWARD****1.1 BID INFORMATION**

- A. Bidder:\_\_\_\_\_.
- B. Bidder's Address:\_\_\_\_\_.
- C. Prime Contract:\_\_\_\_\_.
- D. Project Name: **BIRMINGHAM AIRPORT AUTHORITY – DECK LIGHTING**
- E. Project Location:       Birmingham-Shuttlesworth International Airport  
                                  5900 Messer Airport Highway  
                                  Birmingham, Alabama 35212
- F. Owner:                    Birmingham Airport Authority (BAA)  
                                  5900 Messer Airport Highway  
                                  Birmingham, Alabama 35212
- G. Owner's Representative:  
  
                                  Ed Seoane, Vice President of Purchasing ; [eseoane@flybirmingham.com](mailto:eseoane@flybirmingham.com)
- H. Architect:               Robert Bruner  
                                  CCR Architecture and Interiors  
                                  2920 1<sup>st</sup> Ave South  
                                  Birmingham, Alabama 35222  
                                  [robert@ccrarchitecture.com](mailto:robert@ccrarchitecture.com)
- I. Architect Project Number: 24089-A

**1.2 NOTICE OF INTENT TO AWARD CONTRACT**

- A. Notice: The above Bidder is hereby notified that their bid, dated , for the above Contract has been considered and the Bidder is hereby awarded a contract for BIRMINGHAM AIRPORT AUTHORITY – DECK LIGHTING
- B. Contract Sum: The Contract Sum is \_\_\_\_\_  
\_\_\_\_dollars.

**1.3 EXECUTION OF CONTRACT**

- A. Contract Documents: Copies of the Contract Documents will be made available to the Bidder immediately. The Bidder must comply with the following conditions precedent within [10] ten days of the above date of issuance of the Notice:
1. Deliver to Owner three sets of fully executed copies of the Contract Documents.
  2. Deliver with the executed Contract Documents Bonds and Certificates of Insurance required by the Contract Documents.
- B. Compliance: Failure to comply with conditions of this Notice within the time specified will entitle Owner to consider the Bidder in default, annul this Notice, and declare the Bidder's Bid security forfeited.
1. Within 10 ten days after the Bidder complies with the conditions of this Notice, Owner will return to the Bidder one fully executed copy of the Contract Documents.

**1.4 NOTIFICATION**

- A. This Notice is issued by:
1. Owner:\_\_\_\_\_.
  2. Authorized Signature:\_\_\_\_\_  
\_\_\_\_\_  
(Handwritten signature).
  3. SignedBy:\_\_\_\_\_  
\_\_\_\_\_  
(Type or print name).
  4. Title:\_\_\_\_\_  
(Owner/Partner/President/Vice President).

**END OF DOCUMENT 00 5100**

**SECTION 00 6000 - PROJECT FORMS****1.1 FORM OF AGREEMENT AND GENERAL CONDITIONS**

- A. The following form of Owner/Contractor Agreement and form of the General Conditions shall be used for Project:
  - 1. BAA CONSTRUCTION CONTRACT FOR SMALL PROJECTS Agreement Between Owner and Contractor – sample attached.
    - a. The General Conditions for Project are AIA Document A201-2017 "General Conditions of the Contract for Construction."
  - 2. The General Conditions are incorporated by reference.
    - a. Reference BAA Contractor Insurance requirements for NON AIRSIDE project coverage

**1.2 ADMINISTRATIVE FORMS**

- A. Administrative Forms: Additional administrative forms are specified in Division 01 General Requirements.
- B. Copies of AIA standard forms may be obtained from the American Institute of Architects; [www.aiacontractdocsaiacontracts.org](http://www.aiacontractdocsaiacontracts.org); (800) 942-7732.
- C. Preconstruction Forms:
  - 1. Form of Performance Bond and Labor and Material Bond: AIA Document A312-2010 "Performance Bond and Payment Bond."
  - 2. Form of Certificate of Insurance: AIA Document G715-2017 "Supplemental Attachment for ACORD Certificate of Insurance 25."
- D. Information and Modification Forms:
  - 1. Form for Requests for Information (RFIs): AIA Document G716-2004 "Request for Information (RFI)."
  - 2. Form of Request for Proposal: AIA Document G709-2018 "Proposal Request."
  - 3. Change Order Form: AIA Document G701-2017 "Change Order."
  - 4. Form of Architect's Memorandum for Minor Changes in the Work: AIA Document G710-2017 "Architect's Supplemental Instructions."
  - 5. Form of Change Directive: AIA Document G714-2017 "Construction Change Directive."
- E. Payment Forms:

1. Schedule of Values Form: AIA Document G703-1992 "Continuation Sheet."
2. Payment Application: AIA Document G702-1992/703-1992 "Application and Certificate for Payment and Continuation Sheet."
3. Form of Contractor's Affidavit: AIA Document G706-1994 "Contractor's Affidavit of Payment of Debts and Claims."
4. Form of Affidavit of Release of Liens: AIA Document G706A-1994 "Contractor's Affidavit of Payment of Release of Liens."
5. Form of Consent of Surety: AIA Document G707-1994 "Consent of Surety to Final Payment."

**END OF SECTION 00 6000**

**BAA CONSTRUCTION CONTRACT**  
**(Small Projects)**

THIS BAA CONSTRUCTION CONTRACT (the “Contract”) made this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between \_\_\_\_\_, whose address is \_\_\_\_\_ (the “Contractor”), and Birmingham Airport Authority, a public corporation organized under the laws of the State of Alabama d/b/a Birmingham-Shuttlesworth International Airport, whose address is 5900 Airport Highway, Birmingham, AL 35212 (the “Owner”).

For purposes of this Contract, the term “Contract Documents” shall mean and consist of this Contract, any Project drawings, any Project specifications, addenda agreed upon and issued prior to execution of this Contract, other documents listed in this Contract and modifications (including change orders) issued after execution of this Contract, all of which form the Contract, and are as fully a part of the Contract as if attached to this Contract or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral.

For purposes of this Contract, the term “Work” shall mean the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment and services provided or to be provided by the Contractor to fulfill the Contractor’s obligations. The Work may constitute the whole or a part of the Project. In the event that any of the terms and conditions in any other Contract Documents conflict with terms and conditions in this Contract, the terms and conditions of this Contract shall govern.

**WITNESSETH:**

For the consideration hereinafter named, the Contractor and the Owner agree and bind themselves as follows:

**Section 1. The Work.** The Contractor shall and will provide all labor and services, including installation and handling of materials, equipment and supplies furnished by the Owner which are called for and needed in connection with the below described Work, and shall provide such other materials, equipment and supplies not furnished by the Owner called for and needed in connection therewith, and shall fully and completely perform the following which shall be included in the Work:

For the following project (the “Project”): \_\_\_\_\_

Located in the Building or at the real property with an address of: 5900 Airport Highway, Birmingham, AL 35212.

According to the plans, specifications and/or other drawings prepared by the following architect or engineer, if any, and dated as follows: \_\_\_\_\_ (the “Architect”).

**Section 2. Standard of Care; Licenses; Badges.**

A. The Contractor shall supervise and direct the Work, using the Contractor’s best skill and attention. The Contractor shall be solely responsible for and have control over construction means, methods, techniques, sequences and procedures and for coordinating all portions of the Work under the Contract, unless the Contract Documents give other specific instructions concerning these matters. If the Contract Sum is \$100,000.00 or more, or if the Contractor is required to be licensed by the Alabama State Licensing Board for General Contractors, the Contractor does hereby certify that Contractor is currently licensed by the Alabama State Licensing Board for General Contractors and that the certificate for such license bears the following:

License No.:

Bid Limit:

Classification:

The Contractor has all other licenses and permits required by the State of Alabama and the City of Birmingham, Alabama to perform the Work. The Contractor represents that it has substantial experience with projects of this type and is familiar with the requirements of this type of Work. The Contractor covenants with the Owner to furnish its best skill and judgment and to cooperate with the Architect, as necessary, in furthering the interests of the Owner, to furnish efficient business administration and superintendence, to use its best efforts to furnish at all times an adequate supply of skilled workers and materials, and to perform the Work in an efficient manner. Nothing herein shall be deemed or construed to (1) make Contractor the agent or employee of Owner, or the Architect; or (2) create any partnership, joint venture, or other association between the Owner and Contractor or the Architect and the Contractor. Any direction or

instruction by the Owner or the Architect in respect of the Work shall relate to the results the Owner or the Architect desires to obtain from the Work, and shall in no way affect the Contractor's independent contractor status as described herein. If there is no Architect on the Project, all references herein to the Architect shall mean the Owner.

B. The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them. The Contractor shall be responsible to the Owner for the acts and omissions of the Contractor's employees, subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of or under the direction of the Contractor or any of its Subcontractors.

C. The Contractor shall obtain any necessary or appropriate construction or other permits to perform the Work from any and all municipal and/or governmental authorities. In performing the Work, Contractor shall abide by all applicable laws, rules and regulations, including, but not limited to, any Rules and Regulations of Owner (a copy of which has been furnished to Contractor).

D. The Contractor shall cause its employees, subcontractors and other persons carrying out the Work to park in the parking spaces located in: \_\_\_\_\_. At no time shall Contractor's employees, subcontractors or other persons carrying out the Work park in the Visitor parking spaces, along the curbs or common area roads, or in the loading docks serving the Building or real property.

E. In order to perform Work on-site in secured areas of Owner's facilities, personnel are required to undergo a background check and obtain a badge allowing them access to such areas. On completion of the Work, the Contractor's personnel are required to turn their badges in to Owner's security department. Failure to return a badge on completion of the Work will result in a fine in the amount of \$500. The Contractor is responsible for paying all badging fees and all fines for badges not returned after the Work is completed. In connection with the provision of Work, the Contractor may incur expenses to the Owner or the Owner may be charged for expenses of the Contractor. Contractor will pay or reimburse the Owner for such expenses within thirty (30) days after the date of the invoice. If the Owner owes the Contractor any fees on completion of the Work and any badging fees, fines or other expenses owed by the Contractor are then due and payable, Owner will have the right to deduct and offset the badging fees, fines and other expenses from the fees then owed to the Contractor. If the amount due to Owner exceeds the amount of fees due to Contractor or there are no fees then due to the Contractor, Owner will invoice and the Contractor will pay the badging fees, fines and other expenses incurred within thirty (30) days after the date of the invoice. Failure to pay all badging fees, fines and other expenses in full may prevent the Contractor from competing for future contracting opportunities with Owner.

### **Section 3. Contact Sum.**

A. The Owner agrees to pay the Contractor, in accordance with the provisions of Sections 3 and 4 hereof and subject to any increase or decrease resulting from changes and change orders that may be agreed upon pursuant to this Contract, an amount equal to \_\_\_\_\_ and \_\_\_\_/100 Dollars (\$\_\_\_\_\_) (the "Contract Sum"). The Contract Sum may be reduced by the sum of the amounts paid by the Owner for materials, supplies or equipment, if any, purchased by the Owner for the completion of this Project.

B. If requested by the Owner or the Owner's lender, the Contractor shall prepare and submit to the Owner (or lender as applicable), a budget and schedule for the Work along with any other information reasonably requested by the Owner's lender.

**Section 4. Applications for Payment.** Based on the Contractor's applications for payment (or invoices) submitted to the Owner every \_\_\_\_\_ days, the Owner shall pay the Contractor as follows:

The Owner shall make payment to the Contractor not later than thirty (30) days after receipt of such application for payment (or invoice), subject to, however, the Owner's right to withhold payment in the event that the Work for which payment is sought, has not been completed in accordance with the terms of this Contract or for any other reason permitted under this Contract. The Owner and the Contractor represent and acknowledge that all payments to the Contractor shall be subject to retainage of 5% until the Project is 50% complete, as determined by the Architect, after which no additional retainage shall be withheld; provided, however, that Owner may continue to withhold the 5% retainage previously held until the Work has reached final completion. Final payment of the Contract shall be paid in accordance with Section 5.

### **Section 5. Payments.**

A. Payments may be withheld on account of (1) defective Work not remedied, (2) claims filed by third parties, (3) failure of the Contractor to make payments properly to subcontractors or for labor, materials or equipment, (4) reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum, (5) damage to the Owner or another contractor, (6) reasonable evidence

that the Work will not be completed within the Contract Time and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay, or (7) failure to carry out the Work in accordance with the Contract Documents.

B. Upon the Contractor's submittal of each application for payment to the Owner, or as otherwise reasonably requested by the Owner or its lender, the Contractor shall provide a certification to the Owner, or, if requested by the Owner, to the Owner's lender, stating and attaching, as applicable, the following:

(1) that all of the Work at the Project for which payment is sought pursuant to the application for payment has been completed in good and workmanlike manner, and in accordance with applicable law and the Contract Documents;

(2) identifying each person, subcontractor or material supplier that has supplied materials or labor in connection with the Work for which payment is sought;

(3) stating that each subcontractor or person providing materials (for which payment is sought) has been or will be paid in full upon the Owner's payment pursuant to such application for payment;

(4) a copy of any license, permit or other approval by any governmental authority required in connection the Work for which payment is sought; and

(5) such other evidence as the Owner, or the Owner's lender may reasonably request, evidencing that the Work for which payment is sought has been fully completed and will be properly paid by the Contractor.

C. The Contractor agrees to furnish, if and when requested by the Owner in the Owner's sole and absolute discretion, any and all releases and waivers of liens, or affidavits from the Contractor or any subcontractor, evidencing that all bills for materials and labor have been paid. Such affidavits and lien waivers shall be supported by receipted bills and other supporting documentation, including but not limited to the information required in Section 5B, if required by the Owner. The Owner reserves the right to pay any outstanding past due obligations of the Contractor arising as a result of the Work by checks made payable jointly to the Contractor and its vendor or contractor, or made payable solely to such vendor or contractor. Any such payments shall apply as a payment on this Contract.

D. Once the Contractor believes that the Work has been fully completed, the Contractor shall submit to the Owner an itemized final application for payment (or invoice) for the Work. Such application shall be supported by data substantiating the Contractor's right to payment as the Owner may reasonably require. Upon receipt of such final application for payment and all supporting materials reasonably required by the Owner, the Owner will inspect the Work. When the Owner finds the Work acceptable and the Contract fully performed, the Owner shall make final payment to the Contractor, subject to the Owner's right to withhold payment in whole or in part, if in the Owner's reasonable opinion the Work or a portion thereof has not been completed in accordance with the Contract Documents or for any other reason for withholding payment as set forth in this Contract.

E. Final payment shall not become due until the Contractor submits to the Owner releases and waivers of liens (from the Contractor and Subcontractors, if required by the Owner), and data establishing payment or satisfaction of obligations, such as receipts, claims, security interests or encumbrances arising out of the Contract, if so requested by the Owner.

F. The Contractor shall promptly pay each subcontractor and supplier, upon receipt of any payment from the Owner, including the final payment, an amount determined in accordance with the terms of the applicable subcontracts and purchase orders.

G. The Owner shall not have responsibility for payments to a subcontractor or supplier, unless otherwise determined by the Owner in its sole and absolute discretion.

H. In the event that there exists, or subsequently exists at any time after final payment by the Owner under this Contract, any mechanics', materialmen's or laborers' lien or claim or any other lien or claim, legal or equitable, contractual, or statutory, on the Work caused to be filed by a subcontractor, sub-subcontractor, material supplier or laborer in connection with the Work, the Contractor herein agrees to indemnify, defend and hold harmless the Owner from any such lien or claim and immediately satisfy payment of such lien so as to cause the lien to be immediately released and satisfied (which such indemnification shall not be interpreted to limit any other indemnification provision set forth in the Contract between the Owner and the Contractor).

**Section 6. Bonds.** If the Contract Sum is \$50,000.00 or more, the Contractor shall, at the Contractor's expense, furnish to the Owner a Performance Bond and a Payment Bond, each in a penal sum equal to 100% of the Contract Sum. Each bond shall be in form and substance as required by *Alabama Code* § 39-1-1 (1975), shall be executed by a surety company ("Surety") acceptable to the Owner and duly authorized and qualified to make such bonds in the State of Alabama in the required amounts, shall be countersigned by an authorized, Alabama resident agent of the Surety who is qualified to execute such instruments, and shall have attached thereto a power of attorney of the signing official. All Contract change orders involving an increase in the Contract Sum will require consent of

Surety by endorsement of the change order form. The Surety waives notification of any Contract change orders involving only extension of the Contract Time. The provisions of this Section are not applicable to this Contract if the Contract Sum is less than \$50,000.

A. Through the Performance Bond, the Surety's obligation to the Owner shall be to assure the prompt and faithful performance of the Contract and any change orders. The Penal Sum shall remain equal to the Contract Sum as the Contract Sum is adjusted by change orders. In case of default on the part of the Contractor, the Surety shall take charge of and complete the Work in accordance with the terms of the Performance Bond. Any reasonable expenses incurred by the Owner as a result of default on the part of the Contractor, including architectural, engineering, administrative, and legal services, shall be recoverable under the Performance Bond. The obligations of the Contractor's Performance Bond Surety shall be coextensive with the Contractor's performance obligations under the Contract Documents; provided, however, that the Surety's obligation shall expire at the end of the one-year warranty periods of Section 14.

B. Through the Payment Bond the Surety's obligation to the Owner shall be to guarantee that the Contractor and its subcontractors shall promptly make payment to all persons supplying labor, materials, or supplies for, or in, the prosecution of the Work, including the payment of reasonable attorneys' fees incurred by successful claimants or plaintiffs in civil actions on the Bond. Any person or entity indicating that they have a claim of nonpayment under the Bond shall, upon written request, be promptly furnished a certified copy of the Bond and Construction Contract by the Contractor, Architect, Owner, whomever is recipient of the request.

**Section 7. Contract Time.** The date of commencement of the Work shall be the date of this Contract unless otherwise indicated below. The Work shall be completed in \_\_\_\_\_ (\_\_\_\_) days from the date of commencement (the "Contract Time").

Insert the date of commencement if it differs from the date of this Contract: \_\_\_\_\_.

**Section 8. Time of Essence; Defaults.** Time is of the essence in the performance of this Contract. If the Contractor should be adjudged a bankrupt, or if the Contractor should make a general assignment for the benefit of his creditors, or if a receiver should be appointed on account of the Contractor's insolvency, or if the Contractor should fail to carry forward and complete the Work as provided in this Contract as rapidly as the Owner may judge that the progress of the structure or structures will permit, or if the Contractor should become insolvent or should fail to make prompt payment for material or labor used on the job, or should fail to comply with instructions of the Architect or with applicable portions of law or ordinances, or if the Contractor should otherwise in any way whatsoever be guilty of a breach of this Contract, then the Owner may without prejudice to any other right or remedy terminate the Contract after giving 7 days written notice of the intention to do so, may thereupon take control of the Work covered by this Contract and may take possession of all materials, whether in transit to the job site, on the job site, or stored at any place other than the job site for use in, on, or about the Project at the time of giving of such notice of intention, may also take possession of all equipment, tools, instruments, construction equipment, and machinery owned or rented by the Contractor and on the Project site at the time of giving of such notice of intention and complete the Work by whatever method the Owner deems expedient, in which case the Contractor shall not be entitled to receive any further payments until the Work is completed. If the unpaid balance under this Contract shall exceed the costs and expense of finishing the Work including compensation for additional managerial and administrative services, satisfaction of any outstanding obligations of the Contractor arising on this job and all other expenses made necessary by the termination of the Contract, the excess shall be paid to the Contractor. If such expense is greater than such unpaid balance, the Contractor shall pay the difference to the Owner.

In the event of any default hereunder on the part of the Contractor, all cost and expenses connected with or incident to ascertaining, determining and collecting losses and damages sustained, incurred or suffered by the Owner, including without limiting the generality hereof, all engineering and legal services, shall be the obligation of the Contractor, and shall be paid by the Contractor, for the performance of the Work and payment of labor and materials hereunder, due to be furnished by the Contractor to the Owner.

**Section 9. Employment Related Taxes.** The Contractor assumes exclusive liability for all contributions, taxes, or payments required to be made because of employees of the Contractor by the Federal and State Unemployment Compensation Acts, Social Security Acts or any amendments thereto, and by all other or future acts, local, state or federal, requiring the payment of similar contributions to taxes, and for all sales tax and use tax.

#### **Section 10. Insurance.**

A. The Contractor, for the protection and benefit of the Owner and any and all of its partners, officers, directors, shareholders, beneficiaries, agents and employees (collectively, the "Indemnitees") and in satisfaction of the Contractor's obligations, shall specifically procure, pay for, and maintain, in full force and effect until final payment (unless otherwise designated), at no expense to the Owner, policies of insurance to be written by an insurer approved by the Owner, who is lawfully authorized to do business in the State in which the Project is located and which shall, as a minimum, afford the types and limits of coverage set forth in Exhibit A hereto. All insurance policies shall be written in a company or companies lawfully authorized to do business in Alabama and are required to have minimum A.M. Best financial rating of A minus, 8 (A-, VIII). All such insurance policies shall provide that coverage is primary and non-contributory, include a waiver of subrogation and provide the Owner with at least thirty (30) days prior written notice of any

cancellations or modification thereof. The Owner shall be named as an additional insured on all policies except Workers' Compensation and the Professional Liability/E&O policies. The additional insureds provision shall read: Birmingham Airport Authority, City of Birmingham, Alabama and their respective directors, council members, agents and employees.

B. The Contractor shall provide the Owner with copies of the insurance policies or certificates evidencing that the required coverages are in place. Certificates of Insurance shall be filed with the Owner prior to commencement of the Work on a Certificate of Insurance form, or Certificates, policies, or endorsements acceptable to the Owner. If such insurance coverages are not issued on an occurrence basis, such insurance coverages are required to remain in force after the termination or expiration of this Contract. If such insurance coverages are required to remain in force after the expiration or termination of this Contract, an additional certificate evidencing continuation of such coverage shall be submitted prior to final payment to the Contractor. If the Contractor's coverage is written on a claims-made basis, the Contractor shall also provide tail coverage to include claims made after the completion of the Work for the required statute of repose. In the event the Contractor fails to furnish the Owner with evidence of insurance and maintain the insurance as required, the Owner upon ten (10) days prior written notice to comply, may, but shall not be required to, procure such insurance at the cost and expense of the Contractor, and the Contractor agrees to promptly reimburse the Owner for the cost thereof. Payment shall be made within thirty (30) days of invoice date. If the Contractor has any subcontractor performing any of the Work, the subcontractor is subject to the same insurance requirements outlined in this Exhibit A unless waived or reduced by the Owner. The Contractor is advised of the statutory immunity from tort claims applicable to the Owner and its directors, which is contained in § 4-3-50 and § 4-3-47(2) of the Code of Alabama, 1975.

**Section 11. Indemnification.** The Contractor shall indemnify, defend and save and hold harmless and exonerate the Owner, the City of Birmingham, Alabama, and their respective directors, council members, agents and employees (collectively, "Indemnitees"), of and from all liability and loss for claims and demands for bodily injury, death and property damage arising out of, in the course of, incidental to or in whatever manner the same may be caused or occasioned in or about the Work undertaken by the Contractor, its employees, subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of or under the direction of, the Contractor or any of its subcontractors, and arising out of, in the course of, or incidental to the Work, or in whatever manner the same may be occasioned in or about any other operation, no matter by whom performed, for and on behalf of the Contractor, whether or not and even though caused, occasioned or contributed to in whole or part by the negligence, sole or concurrent, of the Indemnitees.

In claims against any Indemnitees indemnified under this Section 11 by an employee of the Contractor, a subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, the indemnification obligation under this Section 11 shall not be limited by a limitation on amount of type of damages, compensation or benefits payable by or for the Contractor or a subcontractor under workers' or workmen's compensation acts, disability benefit acts or other employee benefit acts.

## **Section 12. Change Orders.**

A. Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by change order. A change order shall be based upon written agreement among the Owner, the Contractor and the Architect (if applicable).

B. Claims for extra compensation or time extensions will only be allowed where written authorization has been given and agreed upon prior to execution of the Work entitling the Contractor to extra compensation or time extensions. The Contractor shall proceed with any extra work ordered notwithstanding the failure or inability to agree on the amount of extra compensation or time extensions for such work.

**Section 13. Site Cleanup.** It is fully understood that the Contractor will be responsible for keeping the Project site clean and in an orderly fashion subject to the approval of the Owner and the Architect. Should it become necessary for the Owner to incur any expenses performing cleanup work for the Contractor, such expenses will become subject to deduction from the Contract Sum.

## **Section 14. Warranties.**

A. The Contractor warrants to the Owner that materials and equipment furnished under the Contract will be of good quality and new unless otherwise required or permitted by the Contract, that the Work will be free from defects, and that the Work will conform with the requirements of the Contract. Work not conforming to the requirements of the Contract Documents (including substitutions not properly approved and authorized), within a period of one (1) year from the date of final payment, will be corrected or replaced at the Contractor's expense. The Contractor's warranty excludes remedy for damage or defect caused by abuse, modifications not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear under normal usage. If required by the Owner, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

B. The provisions of this Section 14 apply to Work, including all labor and materials undertaken by the subcontractors as well as to Work, including labor and materials undertaken by direct employees of the Contractor.

C. The one-year period for correction of Work shall be extended by corrective Work performed by the Contractor for an additional year, but only as to the corrective Work (labor and materials) and any inseparable components of such corrective Work.

D. Nothing contained in this Section 14 shall be construed to establish a period of limitation with respect to other obligations which the Contractor might have under the Contract Documents. Establishment of the time period of one year as described in Section 14.A. relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

E. All guarantees or warranties of materials furnished to the Contractor or any subcontractor by any manufacturer or supplier shall be deemed to run for the benefit of the Owner. The Contractor shall and does hereby assign to the Owner the benefits of all warranties and guarantees directly furnished to the Contractor or furnished by any and all subcontractors (or the subcontracts themselves if necessary to perfect such assignment), but such assignment shall not relieve the Contractor of its warranty obligations to the Owner under the Contract or at law.

#### **Section 15. Subcontractors.**

A. The term subcontractor as used herein shall mean a person or entity who has an agreement with the Contractor to perform a portion of the Work at the Project or that is performing portions of the Work directly or indirectly for, or on behalf of or under the direction of the Contractor.

B. Unless otherwise stated in the Contract Documents or the bidding requirements, the Contractor, as soon as practicable after award of the Contract, shall furnish in writing to the Owner the names of the subcontractors for each of the principal portions of the Work. The Contractor shall not contract with any subcontractor to whom the Owner has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection. Contracts between the Contractor and subcontractors shall (1) require each subcontractor, to the extent of the Work to be performed by the subcontractor, to be bound to the Contractor by the terms of the Contract Documents, and has assumed toward the Contractor all the obligations and responsibilities which the Contractor, by the Contract Documents, and to assume toward the Owner, and (2) allow to the subcontractor the benefit of all rights, remedies and redress afforded to the Contractor by these Contract Documents.

**Section 16. Site Conditions.** Execution of the Contract by the Contractor is a representation that the Contractor has visited the site and become familiar with the local conditions under which the Work is to be performed.

#### **Section 17. Safety; Compliance with Laws.**

A. The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract. The Contractor shall take reasonable measures and precautions for safety of, and shall provide reasonable protection to prevent damage, injury or loss to:

- (1) employees on the Work and other persons who may be affected thereby;
- (2) the Work and materials and equipment to be incorporated therein; and
- (3) other property at the site or adjacent thereto.

The Contractor shall give notices and comply with applicable laws, ordinances, rules, regulations and lawful orders of public authorities bearing on safety of persons and property and their protection from damage, injury or loss, including but not limited to all requirements under the Occupational Safety and Health Act. The Contractor shall promptly remedy damage and loss to property at the site caused in whole or in part by the Contractor, a subcontractor, a sub-subcontractor, or anyone directly or indirectly employed by any of them, or by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible. The foregoing obligations of the Contractor are in addition to and shall not be deemed to limit in any manner, the Contractor's obligations under Section 11. The Owner shall have no responsibility to ensure that the Contractor provides a safe working environment and/or complies with occupational safety and health laws, rules and regulations.

B. The Contractor shall comply with and give notices required by laws, ordinances, rules, regulations, and lawful orders of public authorities bearing on performance of the Work. The Contractor shall promptly notify the Architect and the Owner of the Drawings and Specifications are observed by the Contractor to be at variance therewith.

**Section 18. Governing Law; Venue.** This Contract shall be governed by the laws of the State of Alabama. Any action to enforce this Contract shall be instituted solely and exclusively in the Circuit Court of Jefferson County, Alabama or in the United States District Court for the Northern District of Alabama.

**Section 19. No Assignment.** Neither this Contract, nor the Work, nor any portion thereof to be done under this Contract, nor the moneys nor any portion thereof to become due under this Contract, shall be assigned as collateral security or otherwise, by the Contractor without the prior written consent of the Owner, and on such assignment without such prior written consent, the Owner shall have the right, at its sole option, to terminate this Contract and the rights of the Contractor hereunder.

**Section 20. Counterparts; Electronic Signatures.** This Contract may be executed in counterparts which will be construed together as one instrument. It shall not be necessary when making proof of this Contract to produce counterparts with original signatures, it being agreed that photocopies of signatures or signatures received by facsimile transmission shall have the same effect as original signatures.

**Section 21. General.**

A. Non-Discrimination. Contractor agrees to abide by the Nondiscrimination Requirements set forth in Exhibit B attached hereto and incorporated herein by reference.

B. Notices. All written notices required or otherwise provided hereunder will be sent by certified or registered mail (return receipt requested), reputable courier with shipment tracking capabilities, postage prepaid, facsimile, e-mail, or hand delivery to the address for each party appearing on the first page of this Contract. All notices to the Owner shall include a mandatory copy to: Maynard, Cooper & Gale, P.C., Attention: David Smith, 1901 Sixth Avenue North, Suite 1700, Birmingham, Alabama 35203; Email: dsmith@maynardcooper.com; Facsimile: (205) 254-1999. Notices will be deemed to have been given when delivered.

C. Immigration. The Owner is committed to complying with all applicable immigration laws of the United States, including the Immigration Reform and Control Act of 1986, as amended, which act requires that all employees hired since 1986 provide proof of identity and employment eligibility before working in the United States. The Contractor shall not place any of its employees at the Project worksite, nor shall the Contractor permit any of its employees, nor any of its contractors or subcontractors, or their respective employees, to perform any Work on behalf of or for the benefit of the Owner without first verifying and ensuring their authorization to lawfully work in the United States. The Contractor acknowledges, agrees, and warrants (a) that the Contractor maintains and follows an established policy to verify the employment authorization of its employees and to ensure continued compliance for the duration of employment, (b) that the Contractor has verified the identity and employment eligibility of all of its employees in compliance with applicable law, (c) that the Contractor has established internal safeguards and reporting policies to encourage its employees to report any suspected violations of immigration policies or of immigration law promptly to the Contractor's senior management, (d) that the Contractor has implemented a policy to verify the validity of Social Security information provided by its employees at the time of hire by the Contractor, (e) that the Contractor is without knowledge of any fact that would render any of its employees or any of its contractors or subcontractors, or their respective employees, ineligible to legally work in the United States, and (f) that the Contractor will promptly notify the Owner in writing in the event that any of its employees or any of its contractors or subcontractors, or their respective employees, that are working on the Owner's premises should lose authorization to legally work in the United States.

D. Criminal Background Check. To the extent permitted by law, the Contractor represents and warrants that it shall conduct background investigations of each of its employees, regardless of whether or not such employees will provide Work under this Contract. Background investigations shall include, at a minimum, verification of prior employment (five to ten years where available) and criminal background checks to the extent permitted by law. The Contractor will ensure that no person performing Work for the Owner has been convicted of a felony.

E. No Third Party Beneficiaries. With the exception of the City of Birmingham, Alabama, this Contract shall not be construed to confer any rights or remedies upon any person not a party to this Contract.

F. Waiver. Any waiver of any right or provision herein will not be effective unless in writing and signed by authorized representatives of both parties. The waiver or failure of either party to exercise any right provided herein will not be deemed a waiver of any further right under this Contract.

G. Termination of Contract. In addition to any other rights and remedies allowed by law, Owner may terminate this Contract at any time for any reason, or no reason, with or without cause, by giving fifteen (15) days' written notice to the Contractor of such termination and specifying the effective date thereof. Termination of this Contract shall (i) release Owner from any future fees to the Contractor for work not performed and materials not supplied, but Owner will pay the Contractor for fees earned for work which was performed and materials which were provided prior to the delivery of the notice of termination but not yet paid, and (ii) release the Contractor from any obligation to provide further work or materials to Owner after the effective date of termination.

H. Counterparts and Telecopy Execution. This Contract may be executed and delivered by telecopy and in counterparts, each of which when executed and delivered shall be deemed an original, but all of which together shall be deemed one and the same agreement.

IN WITNESS WHEREOF, the parties hereto have executed this Contract as of the day and year first above written.

**OWNER:**

BIRMINGHAM AIRPORT AUTHORITY

By: \_\_\_\_\_  
Name: Ronald F. Mathieu  
Its: President and Chief Executive Officer

**CONTRACTOR:**

\_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Its: \_\_\_\_\_  
(Title)

## **EXHIBIT A**

### **BAA CONTRACTOR INSURANCE REQUIREMENTS (NON-AIRSIDE SERVICES)**

#### **Type of Coverage**

#### **Minimum Limits**

#### **Worker's Compensation Statutory**

|                             |                                    |
|-----------------------------|------------------------------------|
| <b>Employee's Liability</b> | \$1,000,000 Each Accident          |
|                             | \$1,000,000 Disease – Policy Limit |
|                             | \$1,000,000 per Employee           |

#### **Requirements:**

1. Voluntary Compensation Endorsement
2. Waiver of Subrogation

#### **General Liability**

|             |                                         |
|-------------|-----------------------------------------|
| \$1,000,000 | each occurrence                         |
| \$2,000,000 | General Aggregate                       |
| \$2,000,000 | Completed Operations/Products Aggregate |
| \$2,000,000 | Personal Injury                         |
| \$5,000     | Medical Payments                        |

#### **Requirements:**

1. XCU Perils Coverage
2. Completed Operations Extended 3 Years
3. Broad Form Property Damage
4. Fellow Employee Coverage
5. Primary & Non-Contributory
6. Waiver of Subrogation
7. 30 Days' Notice of Cancellation to Certificate Holder
8. CG2010 and CG2037 Endorsements
9. Contractual Liability applicable to Contractor's indemnification obligations

#### **Business Automobile**

\$2,000,000 per occurrence combined limit for bodily injury liability and property damage

#### **Requirements:**

1. Covers owned, non-owned and hired autos
2. Primary & Non-Contributory
3. Waiver of Subrogation
4. 30 Days' Notice of Cancellation to Certificate Holder

#### **Umbrella**

\$5,000,000

#### **Builder's Risk Policy**

Amount of Project

1. Contractor provide coverage for Contractor's equipment on the job site and all construction material and equipment which is schedule for the Work but has not been delivered to the Job Site
2. Coverage shall insure interest of Owner and Contractor
3. Provide Replacement Cost
4. Event of Loss, proceeds of any claim shall be paid to the Owner who shall apportion the proceeds between the Owner and the Contractor as their interest may appear
5. Coverage includes flood and earth movement
6. Per Project Aggregate

#### **Pollution Policy**

\$1,000,000 *(Depending on project)*

#### **Professional Liability**

\$1,000,000 *(Depending on project)*

## EXHIBIT B

### **NONDISCRIMINATION REQUIREMENTS** Federal Aviation Administration Required Provisions

A. **Civil Rights – General.** Contractor agrees to comply with pertinent statutes, Executive Orders and such rules as are promulgated to ensure that no person shall, on the grounds of race, creed, color, national origin, sex, age, or disability be excluded from participating in any activity conducted with or benefiting from Federal assistance. If Contractor transfers its obligation to another, the transferee is obligated in the same manner as Contractor.

This provision obligates Contractor for the period during which the BAA remains obligated to the Federal Aviation Administration. This provision is in addition to that required by Title VI of the Civil Rights Act of 1964.

B. **Civil Rights – Title VI Assurances – Compliance with Nondiscrimination Requirements.**

1. **Compliance with Regulations:** Contractor will comply with the Title VI List of Pertinent Nondiscrimination Acts and Authorities, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** Contractor, with regard to the work performed by it during the Agreement, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. Contractor will not participate directly or indirectly in the discrimination prohibited by the Nondiscrimination Acts and Authorities, including employment practices when the Agreement covers any activity, project, or program set forth in Appendix B of 49 CFR part 21.
3. **Solicitations for Subcontracts, including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding or negotiation made by Contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by Contractor of Contractor's obligations under this Agreement and the Nondiscrimination Acts and Authorities on the grounds of race, color, or national origin.
4. **Information and Reports:** Contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the BAA or the Federal Aviation Administration to be pertinent to ascertain compliance with such Nondiscrimination Acts and Authorities and instructions. Where any information required of Contractor is in the exclusive possession of another who fails or refuses to furnish the information, Contractor will so certify to the BAA or the Federal Aviation Administration, as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of Contractor's noncompliance with the non-discrimination provisions of this contract, the BAA will impose such contract sanctions as it or the Federal Aviation Administration may determine to be appropriate, including, but not limited to:
  - (a) Withholding payments to Contractor under the Agreement until Contractor complies; and/or
  - (b) Cancelling, terminating or suspending the Agreement, in whole or in part.
6. **Incorporation of Provisions:** Contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations, and directives issued pursuant thereto. Contractor will take action with respect to any subcontract or procurement as the BAA or the Federal Aviation Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if Contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, BAA may request the Contractor to enter into any litigation to protect the interests of the BAA. In addition, Contractor may request the United States to enter into the litigation to protect the interests of the United States.
7. **Civil Rights – Title VI Clauses for Use/Access to Real Property.** Contractor for itself, its heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree that (1) no person on the ground of race, color, or national origin, will be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of the Airport, (2) that in the construction of any improvements on, over, or under such land, and the furnishing of services thereon, no person on the ground of race, color, or national origin, will be excluded from

participation in, denied the benefits of, or otherwise be subjected to discrimination, (3) that Contractor will use the premises in compliance with all other requirements imposed by or pursuant to the List of Pertinent Nondiscrimination Acts And Authorities in Paragraph C below.

In the event of breach of any of the above nondiscrimination covenants, the BAA will have the right to terminate the Agreement and to enter or re-enter and repossess said land and the facilities thereon, and hold the same as if said Agreement had never been made or issued.

**C. Title VI List of Pertinent Nondiscrimination Acts and Authorities.** During the performance of this Agreement, Contractor, for itself, its assignees, and successors in interest agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

1. **Title VI of the Civil Rights Act of 1964** (42 USC § 2000d *et seq.*, 78 stat. 252) (prohibits discrimination on the basis of race, color, national origin);
2. **49 CFR part 21** (Non-discrimination in Federally-assisted programs of the Department of Transportation — Effectuation of Title VI of the Civil Rights Act of 1964);
3. **The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970** (42 USC § 4601) (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
4. **Section 504 of the Rehabilitation Act of 1973** (29 USC § 794 *et seq.*), as amended (prohibits discrimination on the basis of disability); and 49 CFR part 27;
5. **The Age Discrimination Act of 1975**, as amended (42 USC § 6101 *et seq.*), (prohibits discrimination on the basis of age);
6. **Airport and Airway Improvement Act of 1982** (49 USC § 471, Section 47123), as amended (prohibits discrimination based on race, creed, color, national origin, or sex);
7. **The Civil Rights Restoration Act of 1987** (PL 100-209) (broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, the Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms “programs or activities” to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
8. **Titles II and III of the Americans with Disabilities Act of 1990**, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 USC §§ 12131 – 12189) as implemented by U.S. Department of Transportation regulations at 49 CFR parts 37 and 38;
9. **The Federal Aviation Administration’s Nondiscrimination statute** (49 USC § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
10. **Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations**, which ensures nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
11. **Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency**, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
12. **Title IX of the Education Amendments of 1972**, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 USC 1681 *et seq.*).

**D. DBE.** Contractor acknowledges that the provisions of 49 CFR, Part 23, Disadvantaged Business Enterprises ("DBE"), as such regulations may be amended, and such other similar regulations as may be enacted, may be applicable to the activities of Contractor at the Airport, unless exempted by said regulations, and by choosing to operate at the Airport, Contractor shall be deemed to have agreed to comply with the regulatory agencies, in reference thereto. These requirements may include, but not be limited to, compliance with DBE participation goals, the keeping of certain records of good faith compliance efforts, which would be subject to review by the various

agencies, the submission of various reports and, if so directed, the contracting of specified percentages of goods and services contracts to DBEs.

## INSURANCE REQUIREMENTS

The Selected Bidder/Contractor shall procure, at its expense, and keep in full force and effect at all times during the term of this Agreement, the types and amounts of insurance specified in Exhibit A: "BAA Contractor Insurance Requirements" which is attached hereto and incorporated by reference herein.

The specified insurance shall include and insure Birmingham Airport Authority, City of Birmingham, Alabama and their respective directors, council members, agents and employees, including, with limits, the OAR and the Engineer and the other named consultants, their officers, agents and employees as additional insured's (with the exception of Worker's Compensation and Professional Liability), against the areas of risk associated with the Services as described in this RFP with respect to Contractor's operations, acts or omissions in the performance of this Agreement, its operations, use and occupancy of the Airport, and other related functions performed by or on behalf of Contractor in, on or about Airport, which the Contractor may be legally liable, whether such operations be by the Contractor, or by a Subcontractor or by anyone directly or indirectly employed by any of them, or by anyone for whose act any of them may be liable.

A copy of the Contractor's current insurance certificate, verifying the Contractor's insurance coverage, must be submitted upon execution of the Agreement and prior to commencement of the Work. The minimum required insurance coverage is not intended to, and shall not in any manner, limit or reduce liabilities and obligations assumed by the Contractor, its agents, employees, or any subcontractor. Contractor shall furnish the insurance coverages outlined in Exhibit A: "BAA Contractor Insurance Requirements" either through existing policies or by virtue of a specific project policy, with deductible limits acceptable to the Authority.

Certificates of Insurance shall be filed with the Owner prior to commencement of the Work on a Certificate of Insurance form, or Certificates, policies, or endorsements acceptable to the Owner. If such insurance coverages are required to remain in force after Final Payment, an additional certificate evidencing continuation of such coverage shall be submitted with the final Application for Payment by the Contractor. Information concerning reduction or cancellation of coverage shall be immediately furnished by the Contractor to the Owner.

All such insurance shall be primary and non-contributing with any other insurance held by Authority where liability arises out of or results from the acts or omissions of Contractor, its agents, employees, officers, assigns or any person or entity acting for or on behalf of Contractor. Such policies shall also include a Waiver of Subrogation and provide the Owner at least thirty (30) days prior written notice of any cancellation or non-renewal thereof. Such policies may provide for reasonable deductibles and/or retentions acceptable to the Authority based upon the nature of Contractor's operations and the type of insurance involved.

Coverages, whether written on an occurrence or claims made basis, shall be maintained without interruption from date of commencement of the Work until date of Final Payment and termination of any coverage required to be maintained after Final Payment. If such insurance coverages are required to remain in force after Final Payment, an additional certificate evidencing continuation of such coverage shall be submitted with the final Application for Payment by the Contractor. If the Contractor's coverage is written on a claims-made basis, the Contractor shall also provide tail coverage to include claims made after the completion of the Work for the Completed Operations coverage for the required statute of repose.

Each specified insurance policy (other than Worker's Compensation and Employers' Liability and fire and extended coverage's) shall contain a Severability of Interest (Cross Liability)

clause which states, "It is agreed that the insurance afforded by this policy shall apply separately to each insured against whom a claim is made or suit is brought except with respect to the limits of the company's liability," and a Contractual Endorsement which shall state, "Such insurance as is afforded by this policy shall also apply to liability assumed by the insured under insured's Agreement with the Authority."

At least ten (10) days prior to the expiration date of the above policies, documentation showing that the insurance coverage has been renewed or extended shall be filed with Authority. If such coverage is canceled or reduced, Contractor shall, within fifteen (15) days of such cancellation or reduction of coverage, file with Authority evidence that the required insurance has been reinstated or provided through another insurance company or companies. In the event Contractor fails to furnish Authority with evidence of insurance and maintain the insurance as required, Authority upon ten (10) days prior written notice to comply, may, but shall not be required to, procure such insurance at the cost and expense of Contractor, and Contractor agrees to promptly reimburse Authority for the cost thereof. Payment shall be made within thirty (30) days of invoice date.

Contractor shall provide proof of all required insurance and related requirements to Authority either by production of: the actual insurance policy(ies); or a Certificate of Insurance in a form acceptable to the Authority. The documents evidencing all required coverage's shall be filed with Authority prior to Contractor performing Services or occupying the Airport. The documents shall contain (i) the applicable policy number, (ii) the inclusive dates of policy coverage's, (iii) the insurance carrier's name, address and telephone number, (iv) shall bear an original signature of an authorized representative of said carrier, and (v) shall provide that such insurance shall not be subject to cancellation, reduction in coverage, or nonrenewal except after written notice by certified mail, return receipt requested, to the Authority at least thirty (30) days prior to the effective date thereof. Information concerning reduction or cancellation of coverage shall be immediately furnished by the Contractor to Owner. Owner reserves the right to have submitted to it, upon request, all pertinent information about the agent, broker, and carrier providing such insurance.

Authority and Contractor agree that the insurance policy limits specified herein shall be reviewed for adequacy annually throughout the term of this Agreement by the Authority who may, thereafter, require Contractor, on thirty (30) days prior written notice, to adjust the amounts of insurance coverage to whatever reasonable amount said Authority deems to be adequate.

All insurance policies shall be written in a company or companies lawfully authorized to do business in Alabama and are required to have minimum A.M. Best financial rating of A minus, 8 (A-, VIII).

If Contractor has Subcontractor performing any work, the Subcontractor is subject to the same insurance requirements outlined in this section and on Exhibit A: BAA Contractor's Insurance Requirements.

Contractor is also advised of the statutory immunity of negligence applicable to the owner and its directors, which is contained in Article 2, Chapter 3 of Title 4 Section 4-30-50 of the Code of Alabama, 1975.

**EXHIBIT A: BAA CONTRACTOR INSURANCE REQUIREMENTS**

It is highly recommended that each Bidder request that its current insurance broker/agent review the insurance requirements in this Contract before completing and submitting a Bid, so each Bidder will be aware of any additional cost that may be incurred to meet the Owner's insurance requirements for this Contract. No such additional costs shall be part of the Bid price, and the Contractor shall be responsible for paying the same.

All such insurance policies shall provide that coverage is primary and non-contributory, includes waiver of subrogation and provides the Owner at least thirty (30) days prior written notice of any cancellations or modification thereof. The Owner shall be named as an additional insured on all policies except Workers' Compensation and the Professional Liability/E&O policies.

Additional Insureds shall read: Birmingham Airport Authority, City of Birmingham, Alabama and their respective directors, council members, agents and employees.

Please note that separate limits may be required if RFP requires work be performed "Airside" vs "Non Airside" as outlined on the attached Exhibit A and Sample Certificates.

Contractor shall at all times during the term of this Agreement maintain, at its own expense, the following minimum levels and types of insurance (see next page):

**EXHIBIT A: BAA CONTRACTOR INSURANCE REQUIREMENTS**  
**CONTRACTOR PROVIDED INSURANCE FOR NON-AIRSIDE PROJECT COVERAGE**

| <b><u>Type of Coverage</u></b> | <b><u>Minimum Limits</u></b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Worker's Compensation</b>   | Statutory                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Employee's Liability</b>    | \$1,000,000 Each Accident<br>\$1,000,000 Disease – Policy Limit<br>\$1,000,000 per Employee                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>Requirements:</b>           | 1. Voluntary Compensation Endorsement<br>2. Waiver of Subrogation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>General Liability</b>       | \$1,000,000 each occurrence<br>\$2,000,000 General Aggregate<br>\$2,000,000 Completed Operations/Products Aggregate<br>\$2,000,000 Personal Injury<br>\$5,000 Medical Payments                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Requirements:</b>           | 1. XCU Perils Coverage<br>2. Completed Operations Extended 3 Years<br>3. Broad Form Property Damage<br>4. Fellow Employee Coverage<br>5. Primary & Non-Contributory<br>6. Waiver of Subrogation<br>7. 30 Days Notice of Cancellation to Certificate Holder<br>8. CG2010 and CG2037 Endorsements<br>9. Contractual Liability applicable to Contractor's indemnification obligations                                                                                                                                                                                                         |
| <b>Business Automobile</b>     | \$2,000,000 per occurrence combined limit for bodily injury liability and property damage                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Requirements:</b>           | 1. Covers owned, non-owned and hired autos<br>2. Primary & Non-Contributory<br>3. Waiver of Subrogation<br>4. 30 Days Notice of Cancellation to Certificate Holder                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b>Umbrella</b>                | \$5,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>Builder's Risk Policy</b>   | Amount of Project<br>1. <b>Requirement:</b> Contractor provide coverage for Contractor's equipment on the job site and all construction material and equipment which is schedule for the Work but has not been delivered to the Job Site<br>2. Coverage shall insure interest of Owner and Contractor<br>3. Provide Replacement Cost<br>4. Event of Loss, proceeds of any claim shall be paid to the Owner who shall apportion the proceeds between the Owner and the Contractor as their interest may appear<br>5. Coverage includes flood and earth movement<br>6. Per Project Aggregate |
| <b>Pollution Policy</b>        | \$1,000,000 <i>(Depending on project)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Professional Liability</b>  | \$1,000,000 <i>(Depending on project)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

**SECTION 01 1000 - SUMMARY****PART 1 - GENERAL****1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. Section Includes:
1. Project information.
  2. Work covered by Contract Documents.
  3. Contractor's use of site and premises.
  4. Coordination with occupants.
  5. Work restrictions.
  6. Specification and Drawing conventions.

**1.3 PROJECT INFORMATION**

- A. Project Identification: BIRMINGHAM AIRPORT AUTHORITY – DECK LIGHTING

1. Project Location: Birmingham-Shuttlesworth International Airport  
5900 Messer Airport Highway  
Birmingham, Alabama 35212

- B. Owner: Birmingham Airport Authority (BAA)  
5900 Messer Airport Highway  
Birmingham, Alabama 35212

- C. Owner's Representative:  
  
Ed Seoane, Vice President of Purchasing; [eseoane@flybirmingham.com](mailto:eseoane@flybirmingham.com)

- D. Architect: Robert Bruner  
CCR Architecture and Interiors  
2920 1<sup>st</sup> Ave South  
Birmingham, Alabama 35222  
[robert@ccrarchitecture.com](mailto:robert@ccrarchitecture.com)

- E. Architect's Consultants: Architect has retained the following design professionals, who have prepared designated portions of the Contract Documents:

**1. ELECTRICAL ENGINEERING**

Muya Engineering, LLC  
5800 Cypress Trace  
Hoover, AL 35244  
PROJECT CONTACT: JOSEPH KIUMU  
EMAIL: jkiumu@muyaengineering.com  
PHONE: (205) 422-7596

**1.4 WORK COVERED BY CONTRACT DOCUMENTS**

- A. The Work of Project is defined by the Contract Documents and includes, but is not limited to, the following:
- B. Removal and replacement of color accent lights from dusk till dawn illuminating the BHM parking deck and programmable to be colored to coordinate with special events and holidays. 22 lights being removed, 27 lights total to be installed per this bid set of drawings. Scope of project also includes hard wiring electrical and data in conduit to each light location.
- C. Intent of Plans and Specifications: The intent of the plans and specifications is to prescribe a complete work which the contractor undertakes to do in full compliance with the contract. The contractor shall do all work as provided in the plans, specifications, and other parts of the contract documents and shall do such additional extra and incidental work as may be considered necessary to complete the work in a satisfactory and acceptable manner. Any work or material not shown on the plans or described in the Specifications, but which may be fairly implied as included in any item of the Contract, shall be performed and/or furnished by the Contractor without additional charge therefore. The Contractor shall furnish all labor, material, tools, equipment, and incidentals necessary to the prosecution and successful completion of the Work.
- D. Base Bid: Base Bid shall be for a single contract for work completed as specified herein, except as specifically excluded. The Contractor shall execute the work in accordance with the true intent of the Contract Documents, which is to affect a complete, first class job without additional cost to the Owner, whether or not each and every item necessary therefore is specifically mention.
- E. Schedule: Coordinate w/ owner
- F. Attention to Work: The Contractor shall give his/her personal attention to and shall supervise the Work to the end that is shall be pro-executed faithfully; and, when he/she is not personally present on the Work, he/she shall at all times be

represented by a competent superintendent or foreman who shall be present at the Work to receive and obey all instructions or orders given under this Contract; and who shall have full authority to execute the same, and to supply materials, tools, and labor without delay; and who shall be the legal representative of the Contractor. The Contractor shall be liable for the faithful observance of any instruction delivered to him or his authorized representatives.

1. Project will be constructed under a single prime contract.

## **1.5 CONTRACTOR'S USE OF SITE AND PREMISES**

- A. Contractor shall have limited use of the site for construction operations as indicated. The Contractor shall at all times provide proper facilities for access and inspection of the Work by representatives of the Owner and of such official Governmental agencies as may be designated by the Owner as having jurisdictional rights to inspect the Work. operations as indicated on Drawings by the Contract limits and as indicated by requirements of this Section.
- B. Use of Site: Do not disturb areas beyond project limit of construction without prior approval from the Owner.
- C. Condition of Existing Building: Maintain portions of existing building affected by construction operations in a secured and protected condition throughout construction period. Repair damage caused by construction operations.

## **1.6 COORDINATION WITH OCCUPANTS**

- A. Full Owner Occupancy: Owner will occupy Project site during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's day-to-day operations. Maintain existing exits unless otherwise indicated.
  1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and approval of authorities having jurisdiction.
  2. Notify Owner not less than 72 hours in advance of activities that will affect Owner's operations.

## **1.7 WORK RESTRICTIONS**

- A. Comply with restrictions on construction operations.

- B. On-Site Work Hours:
  - 1. Work in Existing Building: Coordinate deliveries to project site and onsite storage w/ owner
  - 2. Hours for Utility Shutdowns: Coordinate w/ Owner, owner requests 72 hours notification
  - 3. Hours for Core Drilling: Coordinate w/ Owner, owner requests 72 hours notification
  
- C. On-Site Work Day Restrictions: Do not perform work resulting in utility shutdowns or resulting in noisy activity on-site during work black-out days indicated in Document 003113 "Preliminary Schedules."
  
- D. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging for temporary utility services according to requirements indicated:
  - 1. Notify Owner not less than two days in advance of proposed utility interruptions.
  - 2. Obtain Owner's written permission before proceeding with utility interruptions.
  
- E. Noise, Vibration, Dust, and Odors: Coordinate operations that may result in high levels of noise and vibration, dust, odors, or other disruption to Owner occupancy with Owner.
  - 1. Notify Owner not less than two days in advance of proposed disruptive operations.
  - 2. Obtain Owner's written permission before proceeding with disruptive operations.
  
- F. Smoking Restrictions: Use of tobacco products on Owner's property in designated areas only.
  
- G. Employee Identification: Require personnel to always use Badged identification tags.
  
- H. Employee Screening: Comply with Owner's requirements for screening of Contractor personnel working on Project site.
  - 1. Maintain list of approved screened personnel with Owner's representative.
  - 2. Personnel will get badging information from BHM airport authority

## 1.8 SPECIFICATION AND DRAWING CONVENTIONS

- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
  - 2. Text Color: Text used in the Specifications, including units of measure, manufacturer and product names, and other text may appear in multiple colors or underlined as part of a hyperlink; no emphasis is implied by text with these characteristics.
  - 3. Hypertext: Text used in the Specifications may contain hyperlinks. Hyperlinks may allow for access to linked information that is not residing in the Specifications. Unless otherwise indicated, linked information is not part of the Contract Documents.
  - 4. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
- B. Division 00 Contracting Requirements: General provisions of the Contract, including General and Supplementary Conditions, apply to all Sections of the Specifications.
- C. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.
- D. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:
  - 1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.

**END OF SECTION 01 1000**

**SECTION 01 2300 - ALTERNATES****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for alternates.

**1.2 DEFINITIONS**

- A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the bidding requirements that may be added to or deducted from the base bid amount if the Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.
  - 1. Alternates described in this Section are part of the Work only if enumerated in the Agreement.
  - 2. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate alternates into the Work. No other adjustments are made to the Contract Sum.

**1.3 PROCEDURES**

- A. Coordination: Revise or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.
  - 1. Include, as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation, whether or not indicated as part of alternate.
- B. Execute accepted alternates under the same conditions as other Work of the Contract.
- C. Schedule: A Part 3 "Schedule of Alternates" Article is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

**END OF SECTION 01 2300**

**SECTION 01 2500 - SUBSTITUTION PROCEDURES****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for substitutions.
- B. Related Requirements:
  - 1. Document 002600 "Procurement Substitution Procedures" for requirements for substitution requests prior to award of Contract.
  - 2. Section 01 6000 "Product Requirements" for requirements for submitting comparable product submittals for products by listed manufacturers.

**1.2 DEFINITIONS**

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents.
  - 1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
  - 2. Substitutions for Convenience: Changes proposed by Contractor or Owner that are not required to meet other Project requirements but may offer advantage to Contractor or Owner.

**1.3 ACTION SUBMITTALS**

- A. Substitution Requests: Submit documentation identifying product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Substitution Request Form: Use form acceptable to Architect.
  - 2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
    - a. Statement indicating why specified product or fabrication or installation method cannot be provided, if applicable.
    - b. Coordination of information, including a list of changes or revisions needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
    - c. Detailed comparison of significant qualities of proposed substitutions with those of the Work specified. Include annotated copy of applicable Specification Section. Significant qualities may include attributes, such as performance, weight, size, durability, visual effect,

- sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
- d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
  - e. Samples, where applicable or requested.
  - f. Certificates and qualification data, where applicable or requested.
  - g. List of similar installations for completed projects, with project names and addresses as well as names and addresses of architects and owners.
  - h. Material test reports from a qualified testing agency, indicating and interpreting test results for compliance with requirements indicated.
  - i. Research reports evidencing compliance with building code in effect for Project, from [ICC-ES].
  - j. Detailed comparison of Contractor's construction schedule using proposed substitutions with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
  - k. Cost information, including a proposal of change, if any, in the Contract Sum.
  - l. Contractor's certification that proposed substitution complies with requirements in the Contract Documents, except as indicated in substitution request, is compatible with related materials and is appropriate for applications indicated.
  - m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
3. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven days of receipt of a request for substitution. Architect will notify Contractor of acceptance or rejection of proposed substitution within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.
- a. Forms of Acceptance: Change Order, Construction Change Directive, or Architect's Supplemental Instructions for minor changes in the Work.
  - b. Use product specified if Architect does not issue a decision on use of a proposed substitution within time allocated.

#### **1.4 QUALITY ASSURANCE**

- A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

#### **1.5 PROCEDURES**

- A. Coordination: Revise or adjust affected work as necessary to integrate work of the approved substitutions.

#### **1.6 SUBSTITUTIONS**

- A. Substitutions for Cause: Submit requests for substitution immediately on discovery of need for change, but not later than 15 days prior to time required for preparation and review of related submittals.
  - 1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
    - a. Requested substitution is consistent with the Contract Documents and will produce indicated results.
    - b. Substitution request is fully documented and properly submitted.
    - c. Requested substitution will not adversely affect Contractor's construction schedule.
    - d. Requested substitution has received necessary approvals of authorities having jurisdiction.
    - e. Requested substitution is compatible with other portions of the Work.
    - f. Requested substitution has been coordinated with other portions of the Work.
    - g. Requested substitution provides specified warranty.
    - h. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.
- B. Substitutions for Convenience: Not allowed unless otherwise indicated.
  - 1. Conditions: Architect will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:

- a. Requested substitution offers Owner a substantial advantage in cost, time, energy conservation, or other considerations, after deducting additional responsibilities Owner must assume. Owner's additional responsibilities may include compensation to Architect for redesign and evaluation services, increased cost of other construction by Owner, and similar considerations.
- b. Requested substitution does not require extensive revisions to the Contract Documents.
- c. Requested substitution is consistent with the Contract Documents and will produce indicated results.
- d. Substitution request is fully documented and properly submitted.
- e. Requested substitution will not adversely affect Contractor's construction schedule.
- f. Requested substitution has received necessary approvals of authorities having jurisdiction.
- g. Requested substitution is compatible with other portions of the Work.
- h. Requested substitution has been coordinated with other portions of the Work.
- i. Requested substitution provides specified warranty.
- j. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

**PART 2 - PRODUCTS (Not Used)****PART 3 - EXECUTION (Not Used)****END OF SECTION 01 2500**

**SECTION 01 2600 - CONTRACT MODIFICATION PROCEDURES****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.

**1.2 MINOR CHANGES IN THE WORK**

- A. Architect will issue supplemental instructions authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on AIA Document G710
  - 1. Work changes Proposal requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change

**1.3 PROPOSAL REQUESTS**

- A. Owner-Initiated Proposal Requests: Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.
  - 1. Work Change Proposal Requests issued by Architect are not instructions either to stop work in progress or to execute the proposed change.
  - 2. Within time specified in Proposal Request after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.
    - a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
    - b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
    - c. Include costs of labor and supervision directly attributable to the change.
    - d. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.

- e. Quotation Form: Use forms acceptable to Architect.
- B. Contractor-Initiated Proposals: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect.
- 1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
  - 2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
  - 3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
  - 4. Include costs of labor and supervision directly attributable to the change.
  - 5. Include an updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
  - 6. Comply with requirements in Section 01 2500 "Substitution Procedures" if the proposed change requires substitution of one product or system for product or system specified.
  - 7. Proposal Request Form: Use form acceptable to Architect.

#### **1.4 CHANGE ORDER PROCEDURES**

- A. On Owner's approval of a Work Change Proposal Request, Architect will issue a Change Order for signatures of Owner and Contractor on AIA Document G701

#### **1.5 CONSTRUCTION CHANGE DIRECTIVE**

- A. Construction Change Directive: Architect may issue a Construction Change Directive on AIA Document G714. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
- 1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.
- 1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

**1.6 WORK CHANGE DIRECTIVE**

- A. Work Change Directive: Architect may issue a Work Change Directive on EJCDC Document C-940. Work Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.
  - 1. Work Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.
- B. Documentation: Maintain detailed records on a time and material basis of work required by the Work Change Directive.
  - 1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

**PART 2 - PRODUCTS (Not Used)****PART 3 - EXECUTION (Not Used)****END OF SECTION 01 2600**

**SECTION 01 2900 - PAYMENT PROCEDURES****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements necessary to prepare and process Applications for Payment.

**1.2 SCHEDULE OF VALUES**

- A. Coordination: Coordinate preparation of the schedule of values with preparation of Contractor's construction schedule.
  - 1. Coordinate line items in the schedule of values with items required to be indicated as separate activities in Contractor's construction schedule.
  - 2. Submit the schedule of values to Architect at earliest possible date, but no later than seven days before the date scheduled for submittal of initial Applications for Payment.
- B. Format and Content: Use Project Manual table of contents as a guide to establish line items for the schedule of values. Provide at least one line item for each Specification Section.
  - 1. Arrange schedule of values consistent with format of AIA Document G703.
  - 2. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Provide multiple line items for principal subcontract amounts in excess of five percent of the Contract Sum.
  - 3. Provide a separate line item in the schedule of values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
    - a. Differentiate between items stored on-site and items stored off-site.
  - 4. Purchase Contracts: Provide a separate line item in the schedule of values for each Purchase contract. Show line-item value of Purchase contract. Indicate Owner payments or deposits, if any, and balance to be paid by Contractor.
  - 5. Overhead Costs, Proportional Distribution: Include total cost and proportionate share of general overhead and profit for each line item.
  - 6. Overhead Costs, Separate Line Items: Show cost of temporary facilities and other major cost items that are not direct cost of actual work-in-place as separate line items.
  - 7. Temporary Facilities: Show cost of temporary facilities and other major cost items that are not direct cost of actual work-in-place as separate line items.

8. Closeout Costs. Include separate line items under Contractor and principal subcontracts for Project closeout requirements in an amount totaling five percent of the Contract Sum and subcontract amount.
9. Schedule of Values Revisions: Revise the schedule of values when Change Orders or Construction Change Directives result in a change in the Contract Sum. Include at least one separate line item for each Change Order and Construction Change Directive.

### **1.3 APPLICATIONS FOR PAYMENT**

- A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments, as certified by Architect and paid for by Owner.
- B. Payment Application Times: The date for each progress payment is indicated in the Owner/Contractor Agreement. The period of construction work covered by each Application for Payment is the period indicated in the Agreement.
- C. Payment Application Times: Submit Application for Payment to Architect by the 1<sup>st</sup> of the month. The period covered by each Application for Payment is one month, ending on the last day of the month.
  1. Submit draft copy of Application for Payment seven days prior to due date for review by Architect.
- D. Application for Payment Forms: Use AIA Document G702 and AIA Document G703 as form for Applications for Payment.
- E. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  1. Entries shall match data on the schedule of values and Contractor's construction schedule. Use updated schedules if revisions were made.
  2. Include amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.
  3. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.
- F. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site and items stored off-site.
  1. Provide certificate of insurance, evidence of transfer of title to Owner, and consent of surety to payment for stored materials.

2. Provide supporting documentation that verifies amount requested, such as paid invoices. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
  3. Provide summary documentation for stored materials indicating the following:
    - a. Value of materials previously stored and remaining stored as of date of previous Applications for Payment.
    - b. Value of previously stored materials put in place after date of previous Application for Payment and on or before date of current Application for Payment.
    - c. Value of materials stored since date of previous Application for Payment and remaining stored as of date of current Application for Payment.
- G. Transmittal: Submit three signed and notarized original copies of each Application for Payment to Architect by a method ensuring receipt within 24 hours. One copy shall include waivers of lien and similar attachments if required.
1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- H. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's lien from subcontractors, sub-subcontractors, and suppliers for construction period covered by the previous application.
1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
  2. When an application shows completion of an item, submit conditional final or full waivers.
  3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
  4. Submit final Application for Payment with or preceded by conditional final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
  5. Waiver Forms: Submit executed waivers of lien on forms acceptable to Owner.
- I. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
1. List of subcontractors.
  2. Schedule of values.
  3. Contractor's construction schedule (preliminary if not final).
  4. Products list (preliminary if not final).
  5. Submittal schedule (preliminary if not final).

6. List of Contractor's staff assignments.
  7. List of Contractor's principal consultants.
  8. Copies of building permits.
  9. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
  10. Initial progress report.
  11. Report of preconstruction conference.
  12. Certificates of insurance and insurance policies.
  13. Performance and payment bonds.
  14. Data needed to acquire Owner's insurance.
- J. Application for Payment at Substantial Completion: After Architect issues the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
    - a. Complete administrative actions, submittals, and Work preceding this application, as described in Section 01 7700 "Closeout Procedures."
  2. This application shall reflect Certificate(s) of Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- K. Final Payment Application: After completing Project closeout requirements, submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
1. Evidence of completion of Project closeout requirements.
  2. Certification of completion of final punch list items.
  3. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  4. Updated final statement, accounting for final changes to the Contract Sum.
  5. AIA Document G706.
  6. AIA Document G706A.
  7. AIA Document G707.
  8. Evidence that claims have been settled.
  9. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Substantial Completion or when Owner took possession of and assumed responsibility for corresponding elements of the Work.
  10. Final liquidated damages settlement statement.
  11. Proof that taxes, fees, and similar obligations are paid.

12. Waivers and releases.

**PART 2 - PRODUCTS (Not Used)**

**PART 3 - EXECUTION (Not Used)**

**END OF SECTION 01 2900**

**SECTION 01 3100 - PROJECT MANAGEMENT AND COORDINATION****1.1 SUMMARY**

- A. Section includes administrative provisions for coordinating construction operations on Project, including, but not limited to, the following:
  - 1. General coordination procedures.
  - 2. Coordination drawings.
  - 3. RFIs.
  - 4. Digital project management procedures.
  - 5. Web-based Project management software package.
  - 6. Project meetings.
- B. Related Requirements:
  - 1. Section 01 3200 "Construction Progress Documentation" for preparing and submitting Contractor's construction schedule.
  - 2. Section 01 7300 "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
  - 3. Section 01 7700 "Closeout Procedures" for coordinating closeout of the Contract.
  - 4. Section 01 9113 "General Commissioning Requirements" for coordinating the Work with Owner's Commissioning Authority.

**1.2 INFORMATIONAL SUBMITTALS**

- A. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:
  - 1. Name, address, telephone number, and email address of entity performing subcontract or supplying products.
  - 2. Number and title of related Specification Section(s) covered by subcontract.
  - 3. Drawing number and detail references, as appropriate, covered by subcontract.
- B. Key Personnel Names: Within 15 days of starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses, cellular telephone numbers, and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.

1. Post copies of list in Project meeting room, in temporary field office, in web-based Project software directory, and in prominent location in built facility. Keep list current at all times.

### **1.3 GENERAL COORDINATION PROCEDURES**

- A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations included in different Sections that depend on each other for proper installation, connection, and operation.
  1. Schedule construction operations in sequence required to obtain the best results, where installation of one part of the Work depends on installation of other components, before or after its own installation.
  2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
  3. Make adequate provisions to accommodate items scheduled for later installation.
- B. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
  1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.
- C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and direction of Project coordinator to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
  1. Preparation of Contractor's construction schedule.
  2. Preparation of the schedule of values.
  3. Installation and removal of temporary facilities and controls.
  4. Delivery and processing of submittals.
  5. Progress meetings.
  6. Preinstallation conferences.
  7. Project closeout activities.
  8. Startup and adjustment of systems.

### **1.4 REQUEST FOR INFORMATION (RFI)**

- A. General: Immediately on discovery of the need for additional information, clarification, or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.

1. Architect will return without response those RFIs submitted to Architect by other entities controlled by Contractor.
  2. Coordinate and submit RFIs in a prompt manner to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
1. Project name.
  2. Owner name.
  3. Owner's Project number.
  4. Name of Architect.
  5. Architect's Project number.
  6. Date.
  7. Name of Contractor.
  8. RFI number, numbered sequentially.
  9. RFI subject.
  10. Specification Section number and title and related paragraphs, as appropriate.
  11. Drawing number and detail references, as appropriate.
  12. Field dimensions and conditions, as appropriate.
  13. Contractor's suggested resolution. If Contractor's suggested resolution impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
  14. Contractor's signature.
  15. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
    - a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.
- C. RFI Forms: AIA Document G716.
1. Attachments shall be electronic files in PDF format.
- D. Architect's Action: Architect will review each RFI, determine action required, and respond. Allow seven days for Architect's response for each RFI. RFIs received by Architect after 1:00 p.m. will be considered as received the following working day.
1. The following Contractor-generated RFIs will be returned without action:
    - a. Requests for approval of submittals.
    - b. Requests for approval of substitutions.
    - c. Requests for approval of Contractor's means and methods.

- d. Requests for coordination information already indicated in the Contract Documents.
    - e. Requests for adjustments in the Contract Time or the Contract Sum.
    - f. Requests for interpretation of Architect's actions on submittals.
    - g. Incomplete RFIs or inaccurately prepared RFIs.
  2. Architect's action may include a request for additional information, in which case Architect's time for response will date from time of receipt by Architect of additional information.
  3. Architect's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Section 01 2600 "Contract Modification Procedures."
    - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect in writing within 5 days of receipt of the RFI response.
- E. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log weekly. Include the following:
  1. Project name.
  2. Name and address of Contractor.
  3. Name and address of Architect.
  4. RFI number, including RFIs that were returned without action or withdrawn.
  5. RFI description.
  6. Date the RFI was submitted.
  7. Date Architect's response was received.
  8. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
  9. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.
- F. On receipt of Architect's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect within seven days if Contractor disagrees with response.

## **1.5 PROJECT MEETINGS**

- A. General: Schedule and conduct meetings and conferences at Project site unless otherwise indicated.
  1. Attendees: Authorized representatives of Owner, Architect, and their consultants;  
Contractor and its superintendent; major subcontractors; suppliers; and other  
concerned parties shall attend the conference. Participants at the conference shall be

- familiar with Project and authorized to conclude matters relating to the Work.
2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
    - a. Responsibilities and personnel assignments.
    - b. Tentative construction schedule.
    - c. Phasing.
    - d. Critical work sequencing and long lead items.
    - e. Designation of key personnel and their duties.
    - f. Lines of communications.
    - g. Use of web-based Project software.
    - h. Procedures for processing field decisions and Change Orders.
    - i. Procedures for RFIs.
    - j. Procedures for testing and inspecting.
    - k. Procedures for processing Applications for Payment.
    - l. Distribution of the Contract Documents.
    - m. Submittal procedures.
    - n. Preparation of Record Documents.
    - o. Use of the premises and existing building.
    - p. Work restrictions.
    - q. Working hours.
    - r. Owner's occupancy requirements.
    - s. Responsibility for temporary facilities and controls.
    - t. Procedures for moisture and mold control.
    - u. Procedures for disruptions and shutdowns.
    - v. Construction waste management and recycling.
    - w. Parking availability.
    - x. Office, work, and storage areas.
    - y. Equipment deliveries and priorities.
    - z. First aid.
    - aa. Security.
    - bb. Progress cleaning.
  3. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner and Architect, within three days of the meeting.
- B. Preconstruction Conference: Schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Architect
1. Attendees: Authorized representatives of Owner Architect, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
  2. Agenda: Discuss items of significance that could affect progress, including the following:

- a. Responsibilities and personnel assignments.
  - b. Tentative construction schedule.
  - c. Phasing.
  - d. Critical work sequencing and long lead items.
  - e. Designation of key personnel and their duties.
  - f. Lines of communications.
  - g. Use of web-based Project software.
  - h. Procedures for processing field decisions and Change Orders.
  - i. Procedures for RFIs.
  - j. Procedures for testing and inspecting.
  - k. Procedures for processing Applications for Payment.
  - l. Distribution of the Contract Documents.
  - m. Submittal procedures.
  - n. Preparation of Record Documents.
  - o. Use of the premises and existing building.
  - p. Work restrictions.
  - q. Working hours.
  - r. Owner's occupancy requirements.
  - s. Responsibility for temporary facilities and controls.
  - t. Procedures for disruptions and shutdowns.
  - u. Construction waste management and recycling.
  - v. Parking availability.
  - w. Office, work, and storage areas.
  - x. Equipment deliveries and priorities.
  - y. First aid.
  - z. Security.
  - aa. Progress cleaning.
3. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- C. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity when required by other Sections and when required for coordination with other construction.
- 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Architect of scheduled meeting dates.
  - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:
    - a. Contract Documents.
    - b. Options.
    - c. Related RFIs.
    - d. Related Change Orders.

- e. Purchases.
  - f. Deliveries.
  - g. Submittals.
  - h. Sustainable design requirements.
  - i. Review of mockups.
  - j. Possible conflicts.
  - k. Compatibility requirements.
  - l. Time schedules.
  - m. Weather limitations.
  - n. Manufacturer's written instructions.
  - o. Warranty requirements.
  - p. Compatibility of materials.
  - q. Acceptability of substrates.
  - r. Temporary facilities and controls.
  - s. Space and access limitations.
  - t. Regulations of authorities having jurisdiction.
  - u. Testing and inspecting requirements.
  - v. Installation procedures.
  - w. Coordination with other work.
  - x. Required performance results.
  - y. Protection of adjacent work.
  - z. Protection of construction and personnel.
- 3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
  - 4. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
  - 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.
- D. Progress Meetings: Conduct progress meetings at regular intervals.
- 1. Coordinate dates of meetings with preparation of payment requests.
  - 2. Attendees: In addition to representatives of Owner and Architect, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
  - 3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
    - a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's

construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.

- 1) Review schedule for next period.
- b. Review present and future needs of each entity present, including the following:
  - 1) Interface requirements.
  - 2) Sequence of operations.
  - 3) Resolution of BIM component conflicts.
  - 4) Status of submittals.
  - 5) Status of sustainable design documentation.
  - 6) Deliveries.
  - 7) Off-site fabrication.
  - 8) Access.
  - 9) Site use.
  - 10) Temporary facilities and controls.
  - 11) Progress cleaning.
  - 12) Quality and work standards.
  - 13) Status of correction of deficient items.
  - 14) Field observations.
  - 15) Status of RFIs.
  - 16) Status of Proposal Requests.
  - 17) Pending changes.
  - 18) Status of Change Orders.
  - 19) Pending claims and disputes.
  - 20) Documentation of information for payment requests.
4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
  - a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting, where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.

## **PART 2 - PRODUCTS (Not Used)**

## **PART 3 - EXECUTION (Not Used)**

## **END OF SECTION 01 3100**

**SECTION 01 3200 - CONSTRUCTION PROGRESS DOCUMENTATION****PART 1 - GENERAL****1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Contractor's Construction Schedule.
  - 2. Construction schedule updating reports.
  - 3. Daily construction reports.
  - 4. Material location reports.
  - 5. Site condition reports.
  - 6. Unusual event reports.

**1.3 DEFINITIONS**

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction Project. Activities included in a construction schedule consume time and resources.
  - 1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
  - 2. Predecessor Activity: An activity that precedes another activity in the network.
  - 3. Successor Activity: An activity that follows another activity in the network.
- B. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.
- C. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
- D. Event: The starting or ending point of an activity.

- E. Float: The measure of leeway in starting and completing an activity.
  - 1. Float time is not for the exclusive use or benefit of either Owner or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
  - 2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
  - 3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.

#### **1.4 INFORMATIONAL SUBMITTALS**

- A. Format for Submittals: Submit required submittals in the following format:
  - 1. PDF file.
- B. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
- C. Construction Schedule Updating Reports: Submit with Applications for Payment.
- D. Daily Construction Reports: Submit at weekly intervals.
- E. Material Location Reports: Submit at weekly intervals.
- F. Site Condition Reports: Submit at time of discovery of differing conditions.
- G. Unusual Event Reports: Submit at time of unusual event.

#### **1.5 COORDINATION**

- A. Coordinate Contractor's Construction Schedule with the schedule of values, list of subcontracts, submittal schedule, progress reports, payment requests, and other required schedules and reports.
  - 1. Secure time commitments for performing critical elements of the Work from entities involved.
  - 2. Coordinate each construction activity in the network with other activities, and schedule them in proper sequence.

#### **1.6 CONTRACTOR'S CONSTRUCTION SCHEDULE**

- A. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules.

1. Meetings: Scheduling consultant shall attend all meetings related to Project progress, alleged delays, and time impact.
- B. Time Frame: Extend schedule from date established for commencement of the Work to date of Final Completion.
  1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
- C. Activities: Treat each floor or separate area as a separate numbered activity for each main element of the Work. Comply with the following:
  1. Activity Duration: Define activities so no activity is longer than 20 days, unless specifically allowed by Architect.
- D. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule and show how the sequence of the Work is affected.
  1. Phasing: Arrange list of activities on schedule by phase.
  2. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Section 01 1000 "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
  3. Work Restrictions: Show the effect of the following items on the schedule:
    - a. Coordination with existing construction.
    - b. Limitations of continued occupancies.
    - c. Uninterruptible services.
    - d. Partial occupancy before Substantial Completion.
    - e. Use-of-premises restrictions.
    - f. Environmental control.
  4. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
    - a. Subcontract awards.
    - b. Submittals.
    - c. Purchases.
    - d. Fabrication.
    - e. Deliveries.
    - f. Installation.
    - g. Tests and inspections.
    - h. Adjusting.
  5. Other Constraints: Night work to be coordinated with Airport Authority
- E. Cost Correlation: Superimpose a cost correlation timeline, indicating planned and actual costs. On the line, show planned and actual dollar volume of the

Work performed as of planned and actual dates used for preparation of payment requests.

1. See Section 01 2900 "Payment Procedures" for cost reporting and payment procedures.
- F. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
1. Unresolved issues.
  2. Unanswered Requests for Information.
  3. Rejected or unreturned submittals.
  4. Notations on returned submittals.
  5. Pending modifications affecting the Work and the Contract Time.
- G. Contractor's Construction Schedule Updating: At weekly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.
1. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
  2. As the Work progresses, indicate Final Completion percentage for each activity.
- H. Distribution: Distribute copies of approved schedule to Architect, Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
1. Post copies in Project meeting rooms and temporary field offices.
  2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

## **1.7 STARTUP CONSTRUCTION SCHEDULE**

- A. Gantt-Chart Schedule: Submit startup, horizontal, Gantt-chart-type construction schedule within 14 days of date established for the Notice to Proceed.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line. Outline significant construction activities for first 90 days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.

## 1.8 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
1. List of subcontractors at Project site.
  2. List of separate contractors at Project site.
  3. Approximate count of personnel at Project site.
  4. Equipment at Project site.
  5. Material deliveries.
  6. Accidents.
  7. Meetings and significant decisions.
  8. Unusual events.
  9. Stoppages, delays, shortages, and losses.
  10. Emergency procedures.
  11. Orders and requests of authorities having jurisdiction.
  12. Change Orders received and implemented.
  13. Construction Change Directives received and implemented.
  14. Services connected and disconnected.
  15. Equipment or system tests and startups.
  16. Partial completions and occupancies.
  17. Substantial Completions authorized.
- B. Material Location Reports: At weekly intervals, prepare and submit a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site. Indicate the following categories for stored materials:
1. Material stored prior to previous report and remaining in storage.
  2. Material stored prior to previous report and since removed from storage and installed.
  3. Material stored following previous report and remaining in storage.
- C. Site Condition Reports: Immediately on discovery of a difference between site conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.
- D. Unusual Event Reports: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, responses by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.

1. Submit unusual event reports directly to Owner within one day(s) of an occurrence. Distribute copies of report to parties affected by the occurrence.

**PART 2 - PRODUCTS (Not Used)**

**PART 3 - EXECUTION (Not Used)**

**END OF SECTION 01 3200**

**SECTION 01 3300 - SUBMITTAL PROCEDURES****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section Includes:
  - 1. Submittal schedule requirements.
  - 2. Administrative and procedural requirements for submittals.

**1.2 DEFINITIONS**

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."

**1.3 SUBMITTAL SCHEDULE**

- A. Submittal Schedule: Submit, as an action submittal, a list of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or revisions to submittals noted by Architect and additional time for handling and reviewing submittals required by those corrections.
  - 1. Coordinate submittal schedule with list of subcontracts, the schedule of values, and Contractor's construction schedule.
  - 2. Initial Submittal Schedule: Submit concurrently with startup construction schedule. Include submittals required during the first 60 days of construction. List those submittals required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.
  - 3. Final Submittal Schedule: Submit concurrently with the first complete submittal of Contractor's construction schedule.
    - a. Submit revised submittal schedule as required to reflect changes in current status and timing for submittals.

4. Format: Arrange the following information in a tabular format:
  - a. Scheduled date for first submittal.
  - b. Specification Section number and title.
  - c. Submittal Category: Action; informational.
  - d. Name of subcontractor.
  - e. Description of the Work covered.
  - f. Scheduled date for Architect's final release or approval.
  - g. Scheduled dates for purchasing.
  - h. Scheduled date of fabrication.
  - i. Scheduled dates for installation.
  - j. Activity or event number.

#### **1.4 SUBMITTAL FORMATS**

- A. Submittal Information: Include the following information in each submittal:
  1. Project name.
  2. Date.
  3. Name of Architect.
  4. Name of Construction Manager.
  5. Name of Contractor.
  6. Name of firm or entity that prepared submittal.
  7. Names of subcontractor, manufacturer, and supplier.
  8. Unique submittal number, including revision identifier. Include Specification Section number with sequential alphanumeric identifier and alphanumeric suffix for resubmittals.
  9. Category and type of submittal.
  10. Submittal purpose and description.
  11. Number and title of Specification Section, with paragraph number and generic name for each of multiple items.
  12. Drawing number and detail references, as appropriate.
  13. Indication of full or partial submittal.
  14. Other necessary identification.
  15. Remarks.
  16. Signature of transmitter.
- B. Options: Identify options requiring selection by Architect.
- C. Deviations and Additional Information: On each submittal, clearly indicate deviations from requirements in the Contract Documents, including minor variations and limitations; include relevant additional information and revisions, other than those requested by Architect on previous submittals. Indicate by highlighting on each submittal or noting on attached separate sheet.
- D. Electronic Submittals: Prepare submittals as PDF package, incorporating complete information into each PDF file. Name PDF file with submittal number.

- E. Submittals Utilizing Web-Based Project Software: Prepare submittals as PDF files or other format indicated by Project management software.

## **1.5 SUBMITTAL PROCEDURES**

- A. Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
  - 1. Email: Prepare submittals as PDF package and transmit to Architect by sending via email. Include PDF transmittal form. Include information in email subject line as requested by Architect.
    - a. Architect will return annotated file. Annotate and retain one copy of file as a digital Project Record Document file.
  - 2. Web-Based Project Management Software: Prepare submittals in PDF form, and upload to web-based Project management software website. Enter required data in web-based software site to fully identify submittal.
- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
  - 2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.
  - 3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
- C. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
  - 1. Initial Review: Allow 15 days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
  - 2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
  - 3. Resubmittal Review: Allow 15 days for review of each resubmittal.
  - 4. Sequential Review: Where sequential review of submittals by Architect's consultants, Owner, or other parties is indicated, allow 21 days for initial review of each submittal.

- D. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
  - 1. Note date and content of previous submittal.
  - 2. Note date and content of revision in label or title block, and clearly indicate extent of revision.
  - 3. Resubmit submittals until they are marked with approval notation from Architect's action stamp.
- E. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- F. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect's action stamp.

## **1.6 SUBMITTAL REQUIREMENTS**

- A. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
  - 1. If information must be specially prepared for submittal because standard published data are unsuitable for use, submit as Shop Drawings, not as Product Data.
  - 2. Mark each copy of each submittal to show which products and options are applicable.
  - 3. Include the following information, as applicable:
    - a. Manufacturer's catalog cuts.
    - b. Manufacturer's product specifications.
    - c. Standard color charts.
    - d. Statement of compliance with specified referenced standards.
    - e. Testing by recognized testing agency.
    - f. Application of testing agency labels and seals.
    - g. Notation of coordination requirements.
    - h. Availability and delivery time information.
  - 4. For equipment, include the following in addition to the above, as applicable:
    - a. Wiring diagrams that show factory-installed wiring.
    - b. Printed performance curves.
    - c. Operational range diagrams.
    - d. Clearances required to other construction, if not indicated on accompanying Shop Drawings.

5. Submit Product Data before Shop Drawings, and before or concurrently with Samples.
- B. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data unless submittal based on Architect's digital data drawing files is otherwise permitted.
1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - a. Identification of products.
    - b. Schedules.
    - c. Compliance with specified standards.
    - d. Notation of coordination requirements.
    - e. Notation of dimensions established by field measurement.
    - f. Relationship and attachment to adjoining construction clearly indicated.
    - g. Seal and signature of professional engineer if specified.
- C. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- D. Design Data: Prepare and submit written and graphic information indicating compliance with indicated performance and design criteria in individual Specification Sections. Include list of assumptions and summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Number each page of submittal.
- E. Certificates:
1. Certificates and Certifications Submittals: Submit a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity. Provide a notarized signature where indicated.
  2. Installer Certificates: Submit written statements on manufacturer's letterhead, certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
  3. Manufacturer Certificates: Submit written statements on manufacturer's letterhead, certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.

4. Material Certificates: Submit written statements on manufacturer's letterhead, certifying that material complies with requirements in the Contract Documents.
5. Product Certificates: Submit written statements on manufacturer's letterhead, certifying that product complies with requirements in the Contract Documents.
6. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of AWS B2.1/B2.1M on AWS forms. Include names of firms and personnel certified.

## **1.7 DELEGATED DESIGN SERVICES**

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  1. If criteria indicated are insufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally signed PDF file paper copies of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

## **1.8 CONTRACTOR'S REVIEW**

- A. Action Submittals and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect.
- B. Contractor's Approval: Indicate Contractor's approval for each submittal with a uniform approval stamp. Include name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.
  1. Architect will not review submittals received from Contractor that do not have Contractor's review and approval.

**1.9 ARCHITECT'S REVIEW**

- A. Action Submittals: Architect will review each submittal, indicate corrections or revisions required, and return.
  - 1. PDF Submittals: Architect will indicate, via markup on each submittal, the appropriate action
  - 2. Submittals by Web-Based Project Management Software: Architect will indicate, on Project management software website, the appropriate action.
- B. Informational Submittals: Architect will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- C. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Architect.
- D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.
- E. Architect will return without review submittals received from sources other than Contractor.
- F. Submittals not required by the Contract Documents will be returned by Architect without action.

**PART 2 - PRODUCTS (Not Used)****PART 3 - EXECUTION (Not Used)****END OF SECTION 01 3300**

**SECTION 01 4000 - QUALITY REQUIREMENTS****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspection services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specific quality-assurance and quality-control requirements for individual work results are specified in their respective Specification Sections. Requirements in individual Sections may also cover production of standard products.
  - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and quality-control procedures that facilitate compliance with the Contract Document requirements.
  - 3. Requirements for Contractor to provide quality-assurance and quality-control services required by Architect, Owner, or authorities having jurisdiction are not limited by provisions of this Section.
- C. Related Requirements:
  - 1. Section 01 2100 "Allowances" for testing and inspection allowances.

**1.2 DEFINITIONS**

- A. Experienced: When used with an entity or individual, "experienced," unless otherwise further described, means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- B. Field Quality-Control Tests and Inspections: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- C. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, subcontractor, or sub-subcontractor, to perform a particular construction operation, including installation, erection, application, assembly, and similar operations.

1. Use of trade-specific terminology in referring to a Work result does not require that certain construction activities specified apply exclusively to specific trade(s).
- D. Mockups: Physical assemblies of portions of the Work constructed to establish the standard by which the Work will be judged. Mockups are not Samples.
1. Mockups are used for one or more of the following:
    - a. Verify selections made under Sample submittals.
    - b. Demonstrate aesthetic effects.
    - c. Demonstrate the qualities of products and workmanship.
    - d. Demonstrate successful installation of interfaces between components and systems.
    - e. Perform preconstruction testing to determine system performance.
  2. Product Mockups: Mockups that may include multiple products, materials, or systems specified in a single Section.
  3. In-Place Mockups: Mockups constructed on-site in their actual final location as part of permanent construction.
- E. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria. Unless otherwise indicated, copies of reports of tests or inspections performed for other than the Project do not meet this definition.
- F. Product Tests: Tests and inspections that are performed by a nationally recognized testing laboratory (NRTL) according to 29 CFR 1910.7, by a testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program (NVLAP), or by a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
- G. Source Quality-Control Tests and Inspections: Tests and inspections that are performed at the source (e.g., plant, mill, factory, or shop).
- H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. The term "testing laboratory" has the same meaning as the term "testing agency."
- I. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work, to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- J. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work, to evaluate that actual products incorporated into the Work and completed construction comply with

requirements. Contractor's quality-control services do not include contract administration activities performed by Architect.

### **1.3 DELEGATED DESIGN SERVICES**

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated Design Services Statement: Submit a statement signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional, indicating that the products and systems are in compliance with performance and design criteria indicated. Include list of codes, loads, and other factors used in performing these services.

### **1.4 CONFLICTING REQUIREMENTS**

- A. Conflicting Standards and Other Requirements: If compliance with two or more standards or requirements is specified and the standards or requirements establish different or conflicting requirements for minimum quantities or quality levels, inform the Architect regarding the conflict and obtain clarification prior to proceeding with the Work. Refer conflicting requirements that are different, but apparently equal, to Architect for clarification before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified is the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

### **1.5 ACTION SUBMITTALS**

- A. Mockup Shop Drawings:
  - 1. Include plans, sections, elevations, and details, indicating materials and size of mockup construction.
  - 2. Indicate manufacturer and model number of individual components.
  - 3. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.

## **1.6 INFORMATIONAL SUBMITTALS**

- A. Contractor's Quality-Control Plan: For quality-assurance and quality-control activities and responsibilities.
- B. Qualification Data: For Contractor's quality-control personnel.
- C. Reports: Prepare and submit certified written reports and documents as specified.
- D. Permits, Licenses, and Certificates: For Owner's record, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents established for compliance with standards and regulations bearing on performance of the Work.

## **1.7 CONTRACTOR'S QUALITY-CONTROL PLAN**

- A. Quality-Control Plan, General: Submit quality-control plan within 10 days of Notice to Proceed, and not less than five days prior to preconstruction conference. Submit in format acceptable to Architect. Identify personnel, procedures, controls, instructions, tests, records, and forms to be used to carry out Contractor's quality-assurance and quality-control responsibilities and to coordinate Owner's quality-assurance and quality-control activities. Coordinate with Contractor's Construction Schedule.
- B. Quality-Control Personnel Qualifications: Engage qualified personnel trained and experienced in managing and executing quality-assurance and quality-control procedures similar in nature and extent to those required for Project.
  - 1. Project quality-control manager may also serve as Project superintendent.
- C. Submittal Procedure: Describe procedures for ensuring compliance with requirements through review and management of submittal process. Indicate qualifications of personnel responsible for submittal review.
- D. Continuous Inspection of Workmanship: Describe process for continuous inspection during construction to identify and correct deficiencies in workmanship in addition to testing and inspection specified. Indicate types of corrective actions to be required to bring the Work into compliance with standards of workmanship established by Contract requirements and approved mockups.
- E. Monitoring and Documentation: Maintain testing and inspection reports, including log of approved and rejected results. Include Work Architect has indicated as nonconforming or defective. Indicate corrective actions taken to bring nonconforming Work into compliance with requirements. Comply with requirements of authorities having jurisdiction.

## **1.8 REPORTS AND DOCUMENTS**

- A. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:
  - 1. Name, address, telephone number, and email address of technical representative making report.
  - 2. Statement on condition of substrates and their acceptability for installation of product.
  - 3. Statement that products at Project site comply with requirements.
  - 4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
  - 5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
  - 6. Statement of whether conditions, products, and installation will affect warranty.
  - 7. Other required items indicated in individual Specification Sections.
- B. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:
  - 1. Name, address, telephone number, and email address of factory-authorized service representative making report.
  - 2. Statement that equipment complies with requirements.
  - 3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
  - 4. Statement of whether conditions, products, and installation will affect warranty.
  - 5. Other required items indicated in individual Specification Sections.

## **1.9 QUALITY ASSURANCE**

- A. Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units. As applicable, procure products from manufacturers able to meet qualification requirements, warranty requirements, and technical or factory-authorized service representative requirements.
- C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service

performance, as well as sufficient production capacity to produce required units.

- D. Installer Qualifications: A firm or individual experienced in installing, erecting, applying, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that is similar in material, design, and extent to those indicated for this Project.
- F. Specialists: Certain Specification Sections require that specific construction activities be performed by entities who are recognized experts in those operations. Specialists will satisfy qualification requirements indicated and engage in the activities indicated.
  - 1. Requirements of authorities having jurisdiction supersede requirements for specialists.
- G. Testing and Inspecting Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspection indicated, as documented in accordance with ASTM E329, and with additional qualifications specified in individual Sections; and, where required by authorities having jurisdiction, that is acceptable to authorities.
- H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect, demonstrate, repair, and perform service on installations of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

## **1.10 QUALITY CONTROL**

- A. Contractor Responsibilities:
  - 1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.

2. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
  3. Testing and inspection requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
  4. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- B. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Section 01 3300 "Submittal Procedures."
- C. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- D. Contractor's Associated Requirements and Services: Cooperate with agencies and representatives performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
1. Access to the Work.
  2. Incidental labor and facilities necessary to facilitate tests and inspections.
  3. Adequate quantities of representative samples of materials that require testing and inspection. Assist agency in obtaining samples.
  4. Facilities for storage and field curing of test samples.
  5. Delivery of samples to testing agencies.
  6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  7. Security and protection for samples and for testing and inspection equipment at Project site.
- E. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and quality-control services with a minimum of delay and to avoid necessity of removing and replacing construction.

**END OF SECTION 01 4000**

**SECTION 01 5000 - TEMPORARY FACILITIES AND CONTROLS****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
- B. Related Requirements:
  - 1. Section 01 1000 "Summary" for work restrictions and limitations on utility interruptions.

**1.2 USE CHARGES**

- A. Water and Sewer Service from Existing System: Water from Owner's existing water system is available for
- B. Electric Power Service from Existing System: Electric power from Owner's existing system is available for use

**1.3 INFORMATIONAL SUBMITTALS**

- A. Site Utilization Plan: Show temporary facilities, temporary utility lines and connections, staging areas, construction site entrances, vehicle circulation, and parking areas for construction personnel.
- B. Project Identification and Temporary Signs: Show fabrication and installation details, including plans, elevations, details, layouts, typestyles, graphic elements, and message content.
- C. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.
- D. Dust- and HVAC-Control Plan: Submit coordination drawing and narrative that indicates the dust- and HVAC-control measures proposed for use, proposed locations, and proposed time frame for their operation. Include the following:
  - 1. Locations of dust-control partitions at each phase of work.
  - 2. HVAC system isolation schematic drawing.
  - 3. Location of proposed air-filtration system discharge.
  - 4. Waste-handling procedures.
  - 5. Other dust-control measures.

- E. Noise and Vibration Control Plan: Identify construction activities that may impact the occupancy and use of existing spaces within the building or adjacent existing buildings, whether occupied by others, or occupied by the Owner. Include the following:
1. Methods used to meet the goals and requirements of the Owner.
  2. Concrete cutting method(s) to be used.
  3. Location of construction devices on the site.
  4. Show compliance with the use and maintenance of quieted construction devices for the duration of the Project.
  5. Indicate activities that may disturb building occupants and that are planned to be performed during non-standard working hours as coordinated with the Owner.
  6. Indicate locations requiring special attention as identified by Owner. Indicate means for complying with Owner's requirements.

#### **1.4 QUALITY ASSURANCE**

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.
- C. Accessible Temporary Egress: Comply with applicable provisions in [the United States Access Board's ADA-ABA Accessibility Guidelines] [and] [ICC/ANSI A117.1].

#### **1.5 PROJECT CONDITIONS**

- A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

### **PART 2 - PRODUCTS**

#### **2.1 MATERIALS**

- A. Polyethylene Sheet: Reinforced, fire-resistive sheet, 10-mil minimum thickness, with flame-spread rating of 15 or less in accordance with ASTM E84 and passing NFPA 701 Test Method 2.

- B. Dust-Control Adhesive-Surface Walk-Off Mats: Provide mats, minimum 36 by 60 inches.

## **2.2 TEMPORARY FACILITIES**

- A. Field Offices: Common-Use Field Office Area: Of sufficient size to accommodate needs of Owner, Architect, and construction personnel office activities.

## **2.3 EQUIPMENT**

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
  - 1. Permanent HVAC System: If Owner authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 8 at each return-air grille in system and remove at end of construction and clean HVAC system as required in Section 01 7700 "Closeout Procedures."
- C. Air-Filtration Units: Primary and secondary HEPA-filter-equipped portable units with four-stage filtration. Provide single switch for emergency shutoff. Configure to run continuously.

# **PART 3 - EXECUTION**

## **3.1 TEMPORARY FACILITIES, GENERAL**

- A. Conservation: Coordinate construction and use of temporary facilities with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.
  - 1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. See other Sections for disposition of salvaged materials that are designated as Owner's property.

## **3.2 INSTALLATION, GENERAL**

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.

1. Locate facilities to limit site disturbance as specified in Section 01 1000 "Summary."
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.
- C. Isolation of Work Areas in Occupied Facilities: Prevent dust, fumes, and odors from entering occupied areas.

### **3.3 SUPPORT FACILITIES INSTALLATION**

- A. Comply with the following:
  1. Provide construction for temporary field offices, shops, and sheds located within construction area or within 30 feet of building lines that is noncombustible in accordance with ASTM E136. Comply with NFPA 241.
  2. Utilize designated area within existing building for temporary field offices.
  3. Maintain support facilities until Architect schedules Substantial Completion inspection. Remove before Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to Owner.
- B. Traffic Controls: Comply with requirements of authorities having jurisdiction.
  1. Protect existing site improvements to remain, including curbs, pavement, and utilities.
  2. Maintain access for fire-fighting equipment and access to fire hydrants.
- C. Parking: Use designated areas of Owner's existing parking areas for construction personnel.
- D. Storage and Staging: Use designated areas of Project site for storage and staging needs.
- E. Project Signs: Provide Project signs as indicated.
  1. Temporary Signs: Provide other signs as indicated and as required to inform public and individuals seeking entrance to Project.
    - a. Provide temporary, directional signs for construction personnel and visitors.
  2. Maintain and touch up signs, so they are legible at all times.
- F. Waste Disposal Facilities: Comply with requirements specified in Section 01 7419 "Construction Waste Management and Disposal."

- G. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with progress cleaning requirements in Section 01 7300 "Execution."
- H. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
  - 1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.
- I. Temporary Elevator Use: Use of loading dock elevators is permitted
- J. Existing Elevator Use: Use of Owner's existing elevators will be permitted, provided elevators are protected, cleaned and maintained in a condition acceptable to Owner. At Substantial Completion, restore elevators to condition existing before initial use, including replacing worn cables, guide shoes, and similar items of limited life.
  - 1. Do not load elevators beyond their rated weight capacity.
  - 2. Provide protective coverings, barriers, devices, signs, or other procedures to protect elevator car and entrance doors and frame. If, despite such protection, elevators become damaged, engage elevator Installer to restore damaged work, so no evidence remains of correction work. Return items that cannot be refinished in field to the shop, make required repairs and refinish entire unit, or provide new units as required.
- K. Existing Stair Usage: Use of Owner's existing stairs will be permitted, provided stairs are cleaned and maintained in a condition acceptable to Owner. At Substantial Completion, restore stairs to condition existing before initial use.
  - 1. Provide protective coverings, barriers, devices, signs, or other procedures to protect stairs and to maintain means of egress. If stairs become damaged, restore damaged areas, so no evidence remains of correction work.
- L. Temporary Use of Permanent Stairs: Use of new stairs for construction traffic will be permitted, provided stairs are protected and finishes restored to new condition at time of Substantial Completion.

### **3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION**

- A. Temporary Partitions: Provide floor-to-ceiling dustproof partitions to limit dust and dirt migration and to separate areas occupied by Owner from fumes and noise.
  - 1. Construct dustproof partitions with gypsum wallboard, with joints taped on occupied side, and fire-retardant-treated plywood on construction operations side.

2. Protect air-handling equipment.
  3. Provide walk-off mats at each entrance through temporary partition.
- B. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.
1. Prohibit smoking in construction areas. Comply with additional limits on smoking specified in other Sections.
  2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition in accordance with requirements of authorities having jurisdiction.

### **3.5 OPERATION, TERMINATION, AND REMOVAL**

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.
  2. Remove temporary roads and paved areas not intended for or acceptable for integration into permanent construction. Where area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant

materials or lawns. Repair or replace street paving, curbs, and sidewalks at temporary entrances, as required by authorities having jurisdiction.

3. At Substantial Completion, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Section 01 7700 "Closeout Procedures."

**END OF SECTION 01 5000**

## **SECTION 01 6000 - PRODUCT REQUIREMENTS**

### **PART 1 - GENERAL**

#### **1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.
- B. Related Requirements:
  - 1. Section 01 2500 "Substitution Procedures" for requests for substitutions.
  - 2. Section 01 4200 "References" for applicable industry standards for products specified.
  - 3. Section 01770 "Closeout Procedures" for submitting warranties.

#### **1.2 DEFINITIONS**

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility. Salvaged items or items reused from other projects are not considered new products. Items that are manufactured or fabricated to include recycled content materials are considered new products, unless indicated otherwise.
  - 3. Comparable Product: Product by named manufacturer that is demonstrated and approved through the comparable product submittal process described in Part 2 "Comparable Products" Article, to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a single manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation. Published attributes and characteristics of basis-of-design product establish salient characteristics of products.

1. Evaluation of Comparable Products: In addition to the basis-of-design product description, product attributes and characteristics may be listed to establish the significant qualities related to type, function, in-service performance and physical properties, weight, dimension, durability, visual characteristics, and other special features and requirements for purposes of evaluating comparable products of additional manufacturers named in the specification. Manufacturer's published attributes and characteristics of basis-of-design product also establish salient characteristics of products for purposes of evaluating comparable products.
- C. Subject to Compliance with Requirements: Where the phrase "Subject to compliance with requirements" introduces a product selection procedure in an individual Specification Section, provide products qualified under the specified product procedure. In the event that a named product or product by a named manufacturer does not meet the other requirements of the specifications, select another named product or product from another named manufacturer that does meet the requirements of the specifications; submit a comparable product request or substitution request, if applicable.
- D. Comparable Product Request Submittal: An action submittal requesting consideration of a comparable product, including the following information:
  1. Identification of basis-of-design product or fabrication or installation method to be replaced, including Specification Section number and title and Drawing numbers and titles.
  2. Data indicating compliance with the requirements specified in Part 2 "Comparable Products" Article.
- E. Basis-of-Design Product Specification Submittal: An action submittal complying with requirements in Section 01 3300 "Submittal Procedures."
- F. Substitution: Refer to Section 01 2500 "Substitution Procedures" for definition and limitations on substitutions.

### **1.3 QUALITY ASSURANCE**

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options.
  1. Resolution of Compatibility Disputes between Multiple Contractors:
    - a. Contractors are responsible for providing products and construction methods compatible with products and construction methods of other contractors.

- b. If a dispute arises between the multiple contractors over concurrently selectable but incompatible products, Architect will determine which products shall be used.
- B. Identification of Products: Except for required labels and operating data, do not attach or imprint manufacturer or product names or trademarks on exposed surfaces of products or equipment that will be exposed to view in occupied spaces or on the exterior.
  - 1. Labels: Locate required product labels and stamps on a concealed surface, or, where required for observation following installation, on a visually accessible surface that is not conspicuous.
  - 2. Equipment Nameplates: Provide a permanent nameplate on each item of service- or power-operated equipment. Locate on a visually accessible but inconspicuous surface. Include information essential for operation, including the following:
    - a. Name of product and manufacturer.
    - b. Model and serial number.
    - c. Capacity.
    - d. Speed.
    - e. Ratings.
  - 3. See individual identification Sections in Divisions 21, 22, 23, and 26 for additional equipment identification requirements.

#### **1.4 COORDINATION**

- A. Modify or adjust affected work as necessary to integrate work of approved comparable products and approved substitutions.

#### **1.5 PRODUCT DELIVERY, STORAGE, AND HANDLING**

- A. Deliver, store, and handle products, using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
  - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
  - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
  - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system,

complete with labels and instructions for handling, storing, unpacking, protecting, and installing.

4. Inspect products on delivery to determine compliance with the Contract Documents and that products are undamaged and properly protected.

C. Storage:

1. Provide a secure location and enclosure at Project site for storage of materials and equipment.
2. Store products to allow for inspection and measurement of quantity or counting of units.
3. Store materials in a manner that will not endanger Project structure.
4. Store products that are subject to damage by the elements under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation and with adequate protection from wind.
5. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
6. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
7. Protect stored products from damage and liquids from freezing.
8. Provide a secure location and enclosure at Project site for storage of materials and equipment by Owner's construction forces. Coordinate location with Owner.

## 1.6 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
1. Manufacturer's Warranty: Written standard warranty form furnished by individual manufacturer for a particular product and issued in the name of the Owner or endorsed by manufacturer to Owner.
  2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for Owner and issued in the name of the Owner or endorsed by manufacturer to Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  2. Specified Form: When specified forms are included in the Project Manual, prepare a written document, using indicated form properly executed.
  3. See other Sections for specific content requirements and particular requirements for submitting special warranties.

- C. Submittal Time: Comply with requirements in Section 01 7700 "Closeout Procedures."

## **PART 2 - PRODUCTS**

### **2.1 PRODUCT SELECTION PROCEDURES**

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged and, unless otherwise indicated, are new at time of installation.
1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
  2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
  3. Owner reserves the right to limit selection to products with warranties meeting requirements of the Contract Documents.
  4. Where products are accompanied by the term "as selected," Architect will make selection.
  5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
  6. Or Equal: For products specified by name and accompanied by the term "or equal," "or approved equal," or "or approved," comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product.
    - a. Submit additional documentation required by Architect in order to establish equivalency of proposed products. Unless otherwise indicated, evaluation of "or equal" product status is by the Architect, whose determination is final.
- B. Product Selection Procedures:
1. Sole Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
    - a. Sole product may be indicated by the phrase "Subject to compliance with requirements, provide the following."
  2. Sole Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer

or source that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.

- a. Sole manufacturer/source may be indicated by the phrase "Subject to compliance with requirements, provide products by the following."
3. Limited List of Products: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
  - a. Limited list of products may be indicated by the phrase "Subject to compliance with requirements, provide one of the following."
4. Non-Limited List of Products: Where Specifications include a list of names of both available manufacturers and products, provide one of the products listed or an unnamed product that complies with requirements.
  - a. Non-limited list of products is indicated by the phrase "Subject to compliance with requirements, available products that may be incorporated in the Work include, but are not limited to, the following."
  - b. Provision of an unnamed product is not considered a substitution, if the product complies with requirements.
5. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. Drawings and Specifications may additionally indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.
  - a. For approval of products by unnamed manufacturers, comply with requirements in Section 01 2500 "Substitution Procedures" for substitutions for convenience.
- C. Visual Matching Specification: Where Specifications require the phrase "match Architect's sample," provide a product that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches.
  1. If no product available within specified category matches and complies with other specified requirements, comply with requirements in Section 01 2500 "Substitution Procedures" for proposal of product.

- D. Visual Selection Specification: Where Specifications include the phrase "as selected by Architect from manufacturer's full range" or a similar phrase, select a product that complies with requirements. Architect will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.
- E. Sustainable Product Selection: Where Specifications require product to meet sustainable product characteristics, select products complying with indicated requirements. Comply with requirements in Division 01 sustainability requirements Section and individual Specification Sections.
  - 1. Select products for which sustainable design documentation submittals are available from manufacturer.

**PART 3 - EXECUTION (Not Used)****END OF SECTION 01 6000**

**SECTION 01 7300 - EXECUTION****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes general administrative and procedural requirements governing execution of the Work, including, but not limited to, the following:
  - 1. Construction layout.
  - 2. Field engineering and surveying.
  - 3. Installation of the Work.
  - 4. Cutting and patching.
  - 5. Coordination of Owner's portion of the Work.
  - 6. Coordination of Owner-installed products.
  - 7. Progress cleaning.
  - 8. Starting and adjusting.
  - 9. Protection of installed construction.
  - 10. Correction of the Work.
- B. Related Requirements:
  - 1. Section 01 1000 "Summary" for coordination of, and limits on use of Project site.
  - 2. Section 01 7700 "Closeout Procedures" for submitting final property survey with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, replacing defective work, and final cleaning.
  - 3. Section 02 4119 "Selective Demolition" for demolition and removal of selected portions of the building.
  - 4. Section 07 8413 "Penetration Firestopping" for patching penetrations in fire-rated construction.

**1.2 DEFINITIONS**

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of subsequent work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of subsequent work.

### 1.3 INFORMATIONAL SUBMITTALS

- A. Cutting and Patching Plan: Submit plan describing procedures at least 10 days prior to the time cutting and patching will be performed. Include the following information:
1. Extent: Describe reason for and extent of each occurrence of cutting and patching.
  2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
  3. Products: List products to be used for patching and firms or entities that will perform patching work.
  4. Dates: Indicate when cutting and patching will be performed.
  5. Utilities and Mechanical and Electrical Systems: List services and systems that cutting and patching procedures will disturb or affect. List services and systems that will be relocated and those that will be temporarily out of service. Indicate length of time permanent services and systems will be disrupted.
    - a. Include description of provisions for temporary services and systems during interruption of permanent services and systems.

### 1.4 QUALITY ASSURANCE

- A. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
1. Structural Elements: When cutting and patching structural elements, or when encountering the need for cutting and patching of elements whose structural function is not known, notify Architect of locations and details of cutting and await directions from Architect before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection.
  2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety.
    - a. Primary operational systems and equipment.
    - b. Fire separation assemblies.
    - c. Air or smoke barriers.
    - d. Fire-suppression systems.
    - e. Plumbing piping systems.
    - f. Mechanical systems piping and ducts.
    - g. Control systems.

- h. Communication systems.
  - i. Fire-detection and -alarm systems.
  - j. Conveying systems.
  - k. Electrical wiring systems.
  - l. Operating systems of special construction.
- B. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of specified products and equipment.

## **PART 2 - PRODUCTS**

### **2.1 MATERIALS**

- A. Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect for the visual and functional performance of in-place materials. Use materials that are not considered hazardous.
- C. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
  - 1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

## **PART 3 - EXECUTION**

### **3.1 EXAMINATION**

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical and electrical systems, and other construction affecting the Work.

1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, gas service piping, and water-service piping; underground electrical services; and other utilities.
  2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
- C. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:
1. Description of the Work, including Specification Section number and paragraph, and Drawing sheet number and detail, where applicable.
  2. List of detrimental conditions, including substrates.
  3. List of unacceptable installation tolerances.
  4. Recommended corrections.
- D. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

### **3.2 PREPARATION**

- A. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- B. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- C. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents, submit a request for information to Architect in accordance with requirements in Section 01 3100 "Project Management and Coordination."

### **3.3 CONSTRUCTION LAYOUT**

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks and existing conditions. If discrepancies are discovered, notify Architect promptly.
- B. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- C. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

### **3.4 INSTALLATION**

- A. Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb, and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
  - 4. Maintain minimum headroom clearance of 96 inches in occupied spaces and 90 inches in unoccupied spaces, unless otherwise indicated on Drawings.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure satisfactory results as judged by Architect. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations, so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy of type expected for Project.
- E. Sequence the Work and allow adequate clearances to accommodate movement of construction items on-site and placement in permanent locations.

- F. Tools and Equipment: Select tools or equipment that minimize production of excessive noise levels.
- G. Templates: Obtain and distribute to the parties involved templates for Work specified to be factory prepared and field installed. Check Shop Drawings of other portions of the Work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- H. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions with manufacturer.
  - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  - 2. Allow for building movement, including thermal expansion and contraction.
  - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- I. Joints: Make joints of uniform width. Where joint locations in exposed Work are not indicated, arrange joints for the best visual effect, as judged by Architect. Fit exposed connections together to form hairline joints.
- J. Repair or remove and replace damaged, defective, or nonconforming Work. Comply with Section 01 7700 "Closeout Procedures" for repairing or removing and replacing defective Work.

### **3.5 CUTTING AND PATCHING**

- A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- C. Temporary Support: Provide temporary support of Work to be cut.

- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- E. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching in accordance with requirements in Section 01 1000 "Summary."
- F. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to prevent interruption to occupied areas.
- G. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  - 3. Concrete: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  - 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations.
  - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  - 6. Proceed with patching after construction operations requiring cutting are complete.
- H. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as practicable, as judged by Architect. Provide materials and comply with installation requirements specified in other Sections, where applicable.
  - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.

2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.
  - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
  - b. Restore damaged pipe covering to its original condition.
3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
  - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch, corner to corner of wall and edge to edge of ceiling. Provide additional coats until patch blends with adjacent surfaces.
4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
- I. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

### **3.6 PROGRESS CLEANING**

- A. Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
  1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  2. Do not hold waste materials more than seven days during normal weather or three days if the temperature is expected to rise above 80 deg F.
  3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
    - a. Use containers intended for holding waste materials of type to be stored.

4. Coordinate progress cleaning for joint-use areas where Contractor and other contractors are working concurrently.
- B. Site: Maintain Project site free of waste materials and debris.
  - C. Work Areas: Clean areas where Work is in progress to the level of cleanliness necessary for proper execution of the Work.
    1. Remove liquid spills promptly.
    2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
  - D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
  - E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
  - F. Exposed Surfaces: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
  - G. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 01 5000 "Temporary Facilities and Controls." Section 01 7419 "Construction Waste Management and Disposal."
  - H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
  - I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
  - J. Limiting Exposures: Supervise construction operations to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

### **3.7 STARTING AND ADJUSTING**

- A. Coordinate startup and adjusting of equipment and operating components with requirements in Section 01 9113 "General Commissioning Requirements."

- B. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- C. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- D. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- E. Manufacturer's Field Service: Comply with qualification requirements in Section 01 4000 "Quality Requirements."

### **3.8 PROTECTION OF INSTALLED CONSTRUCTION**

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Protection of Existing Items: Provide protection and ensure that existing items to remain undisturbed by construction are maintained in condition that existed at commencement of the Work.
- C. Comply with manufacturer's written instructions for temperature and relative humidity.

### **3.9 CORRECTION OF THE WORK**

- A. Repair or remove and replace damaged, defective, or nonconforming Work. Restore damaged substrates and finishes.
  - 1. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment.
- B. Repair Work previously completed and subsequently damaged during construction period. Repair to like-new condition.
- C. Restore permanent facilities used during construction to their specified condition.
- D. Remove and replace damaged surfaces that are exposed to view if surfaces cannot be repaired without visible evidence of repair.
- E. Repair components that do not operate properly. Remove and replace operating components that cannot be repaired.

- F. Remove and replace chipped, scratched, and broken glass or reflective surfaces.

**END OF SECTION 01 7300**

**SECTION 01 7419 - CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for the following:
  - 1. Salvaging nonhazardous demolition and construction waste.

**1.2 DEFINITIONS**

- A. Construction Waste: Building, structure, and site improvement materials and other solid waste resulting from construction, remodeling, renovation, or repair operations. Construction waste includes packaging.
- B. Demolition Waste: Building, structure, and site improvement materials resulting from demolition operations.
- C. Disposal: Removal of demolition or construction waste and subsequent salvage, sale, recycling, or deposit in landfill, incinerator acceptable to authorities having jurisdiction, or designated spoil areas on Owner's property.
- D. Salvage and Reuse: Recovery of demolition or construction waste and subsequent incorporation into the Work.

**1.3 ACTION SUBMITTALS**

- A. Waste Management Plan: Submit plan within 7 days of date established for commencement of the Work.

**1.4 INFORMATIONAL SUBMITTALS**

- A. Landfill and Incinerator Disposal Records: Indicate receipt and acceptance of waste by landfills and incinerator facilities licensed to accept them. Include manifests, weight tickets, receipts, and invoices.

**1.5 QUALITY ASSURANCE**

- A. Waste Management Coordinator Qualifications: Experienced firm, or individual employed and assigned by General Contractor, with a record of successful waste management coordination of projects with similar requirements. Superintendent may serve as Waste Management Coordinator.

- B. Regulatory Requirements: Comply with transportation and disposal regulations of authorities having jurisdiction.
- C. Waste Management Conference(s): Conduct conference(s) at Project site to comply with requirements in Section 01 3100 "Project Management and Coordination." Review methods and procedures related to waste management including, but not limited to, the following:
  - 1. Review and discuss waste management plan including responsibilities of each contractor and waste management coordinator.
  - 2. Review requirements for documenting quantities of each type of waste and its disposition.
  - 3. Review and finalize procedures for materials separation and verify availability of containers and bins needed to avoid delays.
  - 4. Review procedures for periodic waste collection and transportation to recycling and disposal facilities.
  - 5. Review waste management requirements for each trade.

## **1.6 WASTE MANAGEMENT PLAN**

- A. General: Develop a waste management plan according to requirements in this Section. Plan shall consist of waste identification, waste reduction work plan, and cost/revenue analysis. Indicate quantities by weight or volume, but use same units of measure throughout waste management plan.

## **PART 2 - EXECUTION**

### **2.1 PLAN IMPLEMENTATION**

- A. General: Implement approved waste management plan. Provide handling, containers, storage, signage, transportation, and other items as required to implement waste management plan during the entire duration of the Contract.
- B. Waste Management Coordinator: Engage a waste management coordinator to be responsible for implementing, monitoring, and reporting status of waste management work plan.[]
- C. Training: Train workers, subcontractors, and suppliers on proper waste management procedures, as appropriate for the Work.
- D. Site Access and Temporary Controls: Conduct waste management operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
  - 1. Designate and label specific areas on Project site necessary for separating materials that are to be salvaged and recycled.

2. Comply with Section 01 5000 "Temporary Facilities and Controls" for controlling dust and dirt, environmental protection, and noise control.

## **2.2 DISPOSAL OF WASTE**

- A. General: Except for items or materials to be salvaged or recycled, remove waste materials from Project site and legally dispose of them in a landfill or incinerator acceptable to authorities having jurisdiction.
  1. Except as otherwise specified, do not allow waste materials that are to be disposed of accumulate on-site.
  2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
- B. Burning: Do not burn waste materials.

**END OF SECTION 01 7419**

**SECTION 01 7700 - CLOSEOUT PROCEDURES****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for Contract closeout, including, but not limited to, the following:
  - 1. Substantial Completion procedures.
  - 2. Final completion procedures.
  - 3. Warranties.
  - 4. Final cleaning.
- B. Related Requirements:
  - 1. Section 01 2900 "Payment Procedures" for requirements for Applications for Payment for Substantial Completion and Final Completion.
  - 2. Section 01 7823 "Operation and Maintenance Data" for additional operation and maintenance manual requirements.
  - 3. Section 01 7839 "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.
  - 4. Section 01 7900 "Demonstration and Training" for requirements to train the Owner's maintenance personnel to adjust, operate, and maintain products, equipment, and systems.

**1.2 DEFINITIONS**

- A. List of Incomplete Items: Contractor-prepared list of items to be completed or corrected, prepared for the Architect's use prior to Architect's inspection, to determine if the Work is substantially complete.

**1.3 ACTION SUBMITTALS**

- A. Product Data: For each type of cleaning agent.
- B. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.
- C. Certified List of Incomplete Items: Final submittal at Final Completion.

**1.4 CLOSEOUT SUBMITTALS**

- A. Certificates of Release: From authorities having jurisdiction.

- B. Certificate of Insurance: For continuing coverage.
- C. Field Report: For pest-control inspection.

### **1.5 MAINTENANCE MATERIAL SUBMITTALS**

- A. Schedule of Maintenance Material Items: For maintenance material submittal items required by other Sections.

### **1.6 SUBSTANTIAL COMPLETION PROCEDURES**

- A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's "punch list"), indicating the value of each item on the list and reasons why the Work is incomplete.
- B. Submittals Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.
  - 1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction, permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 2. Submit closeout submittals specified in other Division 01 Sections, including Project Record Documents, operation and maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
  - 3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Architect. Label with manufacturer's name and model number.
    - a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Owner's signature for receipt of submittals.
  - 5. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
- C. Procedures Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.

1. Advise Owner of pending insurance changeover requirements.
  2. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
  3. Complete startup and testing of systems and equipment.
  4. Perform preventive maintenance on equipment used prior to Substantial Completion.
  5. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training video recordings specified in Section 01 7900 "Demonstration and Training."
  6. Advise Owner of changeover in utility services.
  7. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
  8. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
  9. Complete final cleaning requirements.
  10. Touch up paint and otherwise repair and restore marred exposed finishes to eliminate visual defects.
- D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of 10 days prior to date the Work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.
1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
  2. Results of completed inspection will form the basis of requirements for Final Completion.

## **1.7 FINAL COMPLETION PROCEDURES**

- A. Submittals Prior to Final Completion: Before requesting final inspection for determining Final Completion, complete the following:
1. Submit a final Application for Payment in accordance with Section 01 2900 "Payment Procedures."
  2. Certified List of Incomplete Items: Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
  3. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements.

4. Submit Final Completion photographic documentation.
- B. Inspection: Submit a written request for final inspection to determine acceptance a minimum of 10 days prior to date the Work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
  1. Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

## **1.8 LIST OF INCOMPLETE ITEMS**

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
  1. Organize items applying to each space by major element, including categories for ceilings, individual walls, floors, equipment, and building systems.
  2. Include the following information at the top of each page:
    - a. Project name.
    - b. Date.
    - c. Name of Architect.
    - d. Name of Contractor.
    - e. Page number.
  3. Submit list of incomplete items in the following format:
    - a. MS Excel Electronic File: Architect will return annotated file.
    - b. PDF Electronic File: Architect will return annotated file.
    - c. Web-Based Project Software Upload: Utilize software feature for creating and updating list of incomplete items (punch list).

## **1.9 SUBMITTAL OF PROJECT WARRANTIES**

- A. Time of Submittal: Submit written warranties on request of Architect for designated portions of the Work where warranties are indicated to commence on dates other than date of Substantial Completion, or when delay in submittal of warranties might limit Owner's rights under warranty.
- B. Partial Occupancy: Submit properly executed warranties within 15 days of completion of designated portions of the Work that are completed and

occupied or used by Owner during construction period by separate agreement with Contractor.

- C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.
- D. Warranty Electronic File: Provide warranties and bonds in PDF format. Assemble complete warranty and bond submittal package into a single electronic PDF file with bookmarks enabling navigation to each item. Provide bookmarked table of contents at beginning of document.
  - 1. Submit on digital media acceptable to Architect.
- E. Warranties in Paper Form:
  - 1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
  - 2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
  - 3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
- F. Provide additional copies of each warranty to include in operation and maintenance manuals.

## **PART 2 - PRODUCTS**

### **2.1 MATERIALS**

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
  - 1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

## **PART 3 - EXECUTION**

### **3.1 FINAL CLEANING**

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:
    - a. Clean Project site of rubbish, waste material, litter, and other foreign substances.
    - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - c. Remove tools, construction equipment, machinery, and surplus material from Project site.
    - d. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - e. Remove debris and surface dust from limited-access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - f. Clean flooring, removing debris, dirt, and staining; clean according to manufacturer's recommendations.
    - g. Vacuum and mop concrete.
    - h. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
    - i. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.
    - j. Remove labels that are not permanent.
    - k. Wipe surfaces of mechanical and electrical equipment, elevator equipment, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
    - l. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.

- m. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
  - n. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter on inspection.
    - 1) Clean HVAC system in compliance with NADCA ACR. Provide written report on completion of cleaning.
  - o. Clean luminaires, lamps, globes, and reflectors to function with full efficiency.
  - p. Clean strainers.
  - q. Leave Project clean and ready for occupancy.
- C. Construction Waste Disposal: Comply with waste-disposal requirements in [Section 01 5000 "Temporary Facilities and Controls."] [Section 01 7419 "Construction Waste Management and Disposal."]

### **3.2 REPAIR OF THE WORK**

- A. Complete repair and restoration operations required by Section 01 7300 "Execution" before requesting inspection for determination of Substantial Completion.

### **END OF SECTION 01 7700**

**SECTION 01 7823 - OPERATION AND MAINTENANCE DATA****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Operation and maintenance documentation directory manuals.
  - 2. Emergency manuals.
  - 3. Systems and equipment operation manuals.
  - 4. Systems and equipment maintenance manuals.
  - 5. Product maintenance manuals.

**1.2 CLOSEOUT SUBMITTALS**

- A. Submit operation and maintenance manuals indicated. Provide content for each manual as specified in individual Specification Sections, and as reviewed and approved at the time of Section submittals. Submit reviewed manual content formatted and organized as required by this Section.
  - 1. Architect will comment on whether content of operation and maintenance submittals is acceptable.
  - 2. Where applicable, clarify and update reviewed manual content to correspond to revisions and field conditions.
- B. Format: Submit operation and maintenance manuals in the following format:
  - 1. Submit on digital media acceptable to Architect. Enable reviewer comments on draft submittals.
  - 2. Submit three paper copies. Architect will return two copies.
- C. Initial Manual Submittal: Submit draft copy of each manual at least 30 days before commencing demonstration and training. Architect will comment on whether general scope and content of manual are acceptable.
- D. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least 15 days before commencing demonstration and training. Architect will return copy with comments.
  - 1. Correct or revise each manual to comply with Architect's comments. Submit copies of each corrected manual within 15 days of receipt of Architect's comments and prior to commencing demonstration and training.

- E. Comply with Section 01 7700 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

### **1.3 FORMAT OF OPERATION AND MAINTENANCE MANUALS**

- A. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
  - 1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size.
  - 2. File Names and Bookmarks: Bookmark individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.

### **1.4 REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS**

- A. Organization of Manuals: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:
  - 1. Title page.
  - 2. Table of contents.
  - 3. Manual contents.
- B. Title Page: Include the following information:
  - 1. Subject matter included in manual.
  - 2. Name and address of Project.
  - 3. Name and address of Owner.
  - 4. Date of submittal.
  - 5. Name and contact information for Contractor.
  - 6. Name and contact information for Construction Manager.
  - 7. Name and contact information for Architect.
  - 8. Name and contact information for Commissioning Authority.
  - 9. Names and contact information for major consultants to the Architect that designed the systems contained in the manuals.
  - 10. Cross-reference to related systems in other operation and maintenance manuals.

- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
  - 1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

## **1.5 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY MANUAL**

- A. Operation and Maintenance Documentation Directory: Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals. List items and their location to facilitate ready access to desired information. Include the following:
  - 1. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
  - 2. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.

## **1.6 EMERGENCY MANUALS**

- A. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- B. Content: Organize manual into a separate section for each of the following:
  - 1. Type of emergency.
  - 2. Emergency instructions.
  - 3. Emergency procedures.
- C. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:

1. Fire.
  2. Power failure.
  3. System, subsystem, or equipment failure.
- D. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- E. Emergency Procedures: Include the following, as applicable:
1. Shutdown instructions for each type of emergency.
  2. Required sequences for electric or electronic systems.
  3. Special operating instructions and procedures.

**PART 2 - PRODUCTS (Not Used)****PART 3 - EXECUTION (Not Used)****END OF SECTION 01 7823**

**SECTION 01 7839 - PROJECT RECORD DOCUMENTS****PART 1 - GENERAL****1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

**1.2 SUMMARY**

- A. Section includes administrative and procedural requirements for Project Record Documents, including the following:
  - 1. Record Drawings.
  - 2. Record specifications.
  - 3. Record Product Data.
  - 4. Miscellaneous record submittals.

**1.3 RECORD DRAWINGS**

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
  - 1. Preparation: Mark record prints to show the actual installation, where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
    - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
    - b. Accurately record information in an acceptable drawing technique.
    - c. Record data as soon as possible after obtaining it.
    - d. Record and check the markup before enclosing concealed installations.
    - e. Cross-reference record prints to corresponding photographic documentation.
  - 2. Content: Types of items requiring marking include, but are not limited to, the following:

- a. Dimensional changes to Drawings.
  - b. Revisions to details shown on Drawings.
  - c. Depths of foundations.
  - d. Locations and depths of underground utilities.
  - e. Revisions to routing of piping and conduits.
  - f. Revisions to electrical circuitry.
  - g. Actual equipment locations.
  - h. Duct size and routing.
  - i. Locations of concealed internal utilities.
  - j. Changes made by Change Order or Construction Change Directive.
  - k. Changes made following Architect's written orders.
  - l. Details not on the original Contract Drawings.
  - m. Field records for variable and concealed conditions.
  - n. Record information on the Work that is shown only schematically.
3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
  4. Mark record prints with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
  5. Mark important additional information that was either shown schematically or omitted from original Drawings.
  6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.

#### **1.4 RECORD SPECIFICATIONS**

- A. Preparation: Mark Specifications to indicate the actual product installation, where installation varies from that indicated in Specifications, addenda, and Contract modifications.
  1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
  3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
  4. For each principal product, indicate whether Record Product Data has been submitted in operation and maintenance manuals instead of submitted as Record Product Data.
  5. Note related Change Orders, Record Product Data, and Record Drawings where applicable.

## **1.5 RECORD PRODUCT DATA**

- A. Recording: Maintain one copy of each submittal during the construction period for Project Record Document purposes. Post changes and revisions to Project Record Documents as they occur; do not wait until end of Project.
- B. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
  - 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
  - 3. Note related Change Orders, Record Specifications, and Record Drawings where applicable.
- C. Format: Submit Record Product Data as [annotated PDF electronic file] [paper copy] [scanned PDF electronic file(s) of marked-up paper copy of Product Data].
  - 1. Include Record Product Data directory organized by Specification Section number and title, electronically linked to each item of Record Product Data.

## **1.6 MISCELLANEOUS RECORD SUBMITTALS**

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.
- B. Format: Submit miscellaneous record submittals as [PDF electronic file] [paper copy] [scanned PDF electronic file(s) of marked-up miscellaneous record submittals].
  - 1. Include miscellaneous record submittals directory organized by Specification Section number and title, electronically linked to each item of miscellaneous record submittals. Coordinate w/ Architect.

## **1.7 MAINTENANCE OF RECORD DOCUMENTS**

- A. Maintenance of Record Documents: Store Record Documents in the field office apart from the Contract Documents used for construction. Do not use Project Record Documents for construction purposes. Maintain Record Documents in good order and in a clean, dry, legible condition, protected from deterioration

and loss. Provide access to Project Record Documents for Architect's reference during normal working hours.

**PART 2 - PRODUCTS (Not Used)**

**PART 3 - EXECUTION (Not Used)**

**END OF SECTION 01 7839**

**SECTION 01 7900 - DEMONSTRATION AND TRAINING****PART 1 - GENERAL****1.1 SUMMARY**

- A. Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:
  - 1. Instruction in operation and maintenance of systems, subsystems, and equipment.
  - 2. Demonstration and training video recordings.

**1.2 INFORMATIONAL SUBMITTALS**

- A. Instruction Program: Submit outline of instructional program for demonstration and training, including a list of training modules and a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Include learning objective and outline for each training module.
  - 1. Indicate proposed training modules using manufacturer-produced demonstration and training video recordings for systems, equipment, and products in lieu of video recording of live instructional module.
- B. Evaluations: For each participant and for each training module, submit results and documentation of performance-based test.

**1.3 CLOSEOUT SUBMITTALS**

- A. Demonstration and Training Video Recordings: Submit within 2 days of end of each training module.
  - 1. At completion of training, submit complete training manual(s) for Owner's use prepared in same PDF file format required for operation and maintenance manuals specified in Section 01 7823 "Operation and Maintenance Data."

**1.4 QUALITY ASSURANCE**

- A. Facilitator Qualifications: A firm or individual experienced in training or educating maintenance personnel in a training program similar in content and extent to that indicated for this Project, and whose work has resulted in training or education with a record of successful learning performance.

- B. Instructor Qualifications: A factory-authorized service representative, complying with requirements in Section 01 4000 "Quality Requirements," experienced in operation and maintenance procedures and training.

## **1.5 COORDINATION**

- A. Coordinate instruction schedule with Owner's operations. Adjust schedule as required to minimize disrupting Owner's operations and to ensure availability of Owner's personnel.
- B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.
- C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data have been reviewed and approved by Architect.

## **1.6 INSTRUCTION PROGRAM**

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and for equipment not part of a system, as required by individual Specification Sections.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following as applicable to the system, equipment, or component:
  - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
    - a. System, subsystem, and equipment descriptions.
    - b. Performance and design criteria if Contractor is delegated design responsibility.
    - c. Operating standards.
    - d. Regulatory requirements.
    - e. Equipment function.
    - f. Operating characteristics.
    - g. Limiting conditions.
    - h. Performance curves.
  - 2. Documentation: Review the following items in detail:
    - a. Emergency manuals.
    - b. Systems and equipment operation manuals.
    - c. Systems and equipment maintenance manuals.

- d. Product maintenance manuals.
  - e. Project Record Documents.
  - f. Identification systems.
  - g. Warranties and bonds.
  - h. Maintenance service agreements and similar continuing commitments.
3. Emergencies: Include the following, as applicable:
- a. Instructions on meaning of warnings, trouble indications, and error messages.
  - b. Instructions on stopping.
  - c. Shutdown instructions for each type of emergency.
  - d. Operating instructions for conditions outside of normal operating limits.
  - e. Sequences for electric or electronic systems.
  - f. Special operating instructions and procedures.
4. Operations: Include the following, as applicable:
- a. Startup procedures.
  - b. Equipment or system break-in procedures.
  - c. Routine and normal operating instructions.
  - d. Regulation and control procedures.
  - e. Control sequences.
  - f. Safety procedures.
  - g. Instructions on stopping.
  - h. Normal shutdown instructions.
  - i. Operating procedures for emergencies.
  - j. Operating procedures for system, subsystem, or equipment failure.
  - k. Seasonal and weekend operating instructions.
  - l. Required sequences for electric or electronic systems.
  - m. Special operating instructions and procedures.
5. Adjustments: Include the following:
- a. Alignments.
  - b. Checking adjustments.
  - c. Noise and vibration adjustments.
  - d. Economy and efficiency adjustments.
6. Troubleshooting: Include the following:
- a. Diagnostic instructions.
  - b. Test and inspection procedures.
7. Maintenance: Include the following:
- a. Inspection procedures.

- b. Types of cleaning agents to be used and methods of cleaning.
  - c. List of cleaning agents and methods of cleaning detrimental to product.
  - d. Procedures for routine cleaning.
  - e. Procedures for preventive maintenance.
  - f. Procedures for routine maintenance.
  - g. Instruction on use of special tools.
- 8. Repairs: Include the following:
  - a. Diagnosis instructions.
  - b. Repair instructions.
  - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
  - d. Instructions for identifying parts and components.
  - e. Review of spare parts needed for operation and maintenance.

## **1.7 PREPARATION**

- A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a training manual organized in coordination with requirements in Section 01 7823 "Operation and Maintenance Data."
- B. Set up instructional equipment at instruction location.

## **1.8 INSTRUCTION**

- A. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Owner for number of participants, instruction times, and location.
- B. Engage qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
  - 1. Architect will furnish an instructor to describe basis of system design, operational requirements, criteria, and regulatory requirements.
  - 2. Owner will furnish an instructor to describe Owner's operational philosophy.
  - 3. Owner will furnish Contractor with names and positions of participants.
- C. Scheduling: Provide instruction at mutually agreed-on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
  - 1. Schedule training with Owner with at least seven days' advance notice.

- D. Training Location and Reference Material: Conduct training on-site in the completed and fully operational facility using the actual equipment in-place. Conduct training using final operation and maintenance data submittals.

**PART 2 - PRODUCTS****PART 3 - EXECUTION****END OF SECTION 01 7900**

**SECTION 26 0500 - GENERAL ELECTRICAL WORK****PART 1 – GENERAL****1.01 GENERAL CONDITIONS**

- A. The accompanying General Conditions shall apply to and form a part of this Section.

**1.02 GENERAL REQUIREMENTS**

- A. Carefully examine General Conditions, other specification Sections, and other drawings (in addition to electrical), in order to be fully acquainted with their effect on electrical work.
- B. Do all work in compliance with following applicable codes:
  - 1. National Electrical Code (NEC).
  - 2. National Electrical Safety Code (NESC).
  - 3. National Electrical Manufacturers Association (NEMA).
  - 4. American National Standards Institute (ANSI).
  - 5. Insulated Cable Engineers Association (ICEA).
  - 6. Institute of Electrical and Electronic Engineers (IEEE).
  - 7. American Society for Testing and Materials (ASTM).
  - 8. Joint Industrial Council (JIC).
  - 9. Illumination Engineering Society (IES).
  - 10. Applicable Local Codes.
  - 11. Americans with Disabilities Act (ADA).
- C. Do all work in compliance with laws and ordinances and local authorities having jurisdiction and, where applicable, utility companies. Obtain and pay for any and all required permits, inspections, certificates of inspections and approval, and the like, and deliver such certificates to the Architect.
- D. Cooperate with other trades and contractors at job. Perform work in such manner and at such times as not to delay work of other trades. Complete all work as soon as the condition of the structure and installation of equipment will permit. Patch, in a satisfactory manner and by the proper craft, any work damaged by electrical work.
- E. All equipment (wiring devices, light fixtures, panelboards, disconnect switches, conductors, raceways, boxes, cabinets, circuit breakers, low voltage equipment, auxiliary systems, motors, machines, etc.) used for this project shall be tested by Underwriter's Laboratories, Inc and have "UL" nameplate.

## 1.03 DEFINITIONS

1. Provide: Furnish all materials, hardware, equipment, labor and services required for the installation of complete and properly working equipment and/or systems as shown on the drawings and described herein.
2. Wire: Furnish all conduit, wiring, materials, hardware, equipment, labor and services required for complete and proper operation and/or control of equipment and/or systems as shown on the drawings and described herein.
3. Install: Furnish all labor.
4. Furnish: Furnish all conduit, wiring, materials, hardware, equipment.
5. Work: A complete and properly working installation of materials for equipment and/or systems as shown on the drawings and described herein.
6. AWG: American Wire Gage.
7. NEC: National Electrical Code - latest edition or the latest edition adopted by the local authorities having jurisdiction where applicable.
8. NFPA: National Fire Protection Association.
9. OSHA: Occupation Safety and Health Administration.
10. UL: Underwriter's Laboratories, Inc.
11. NEMA: National Electrical Manufacturers Association.
12. IEEE: Institute of Electrical and Electronic Engineers.
13. ADA: The Americans with Disabilities Act.
14. Concealed: Rendered inaccessible by the structure or finish of the building.
15. Exposed: On or attached to the surface or behind panels designed to allow access.
16. OFCI: Owner furnish, contractor install.
17. OFOI: Owner furnish, owner install.
18. NIC: Not in contract.

#### 1.04 DRAWINGS

- A. The drawings indicate only diagrammatically the extent, general character and approximate location of work. Where work is indicated but with minor details omitted, furnish and install it complete and so as to perform its intended functions. For building details and mechanical equipment follow architectural, structural, and mechanical drawings and fit electrical work thereto.
- B. Take finish dimensions at the job site in preference to scale dimensions.
- C. Except as above noted, make no changes or deviations from the work as shown or specified except on written order of the Engineer.
- D. Obtain from manufacturer's data on all equipment, the dimensions of which may affect electrical work. Use this data to coordinate proper service characteristics, entry locations, etc., and to ensure minimum clearances are maintained.

#### 1.05 QUALIFICATIONS OF CONTRACTOR

- A. The electrical contractor shall have had experience of at least the same size and scope as this project, on at least two other projects, within the last 5 years in order to be qualified to bid this project. This qualification shall also apply to his subcontractors.
- B. Workmen shall be experienced in their respective trade. Workmanship of installed work shall be first class and will be so judged by the Architect/Engineer. Substandard work shall be removed and replaced.
- C. Qualifications stated for the electrical contractor shall also apply to any subcontractors employed by the electrical contractor during the course of this work.

#### 1.06 SITE VISIT

- A. The Bidders shall visit the site to thoroughly familiarize themselves with existing conditions prior to submitting their bid. No allowances will be made for lack of knowledge of existing conditions.

#### 1.07 ELECTRICAL SERVICE CHARACTERISTICS

- A. Main services shall be as shown on drawings.

#### 1.09 WARRANTY

- A. See GENERAL CONDITIONS (one-Year warranty of conformance with drawings and specifications).

- B. In addition to the foregoing warranty, Contractor shall and does hereby warrant all materials and equipment furnished under this Division of the Specifications to be free from defects and to function or operate satisfactorily for one year after final acceptance of the work, and that any items not meeting this requirement will be made good by him without cost to owner, provided such defects or failures are not due to abuse, neglect, or lack of reasonable and ordinary maintenance.

## PART 2 - PRODUCTS

### 2.01 APPROVED MATERIALS AND DEVICES

- A. Unless otherwise specified, provide only new, standard first grade materials throughout, conforming to standards established by Underwriter's Laboratories, Inc., and so marked and labeled, together with manufacturer's brand or trademark. All equipment subject to approval of Architect/Engineer before installation. All like items shall be of one manufacture.

### 2.02 ELECTRICAL EQUIPMENT

- A. Where shown on the drawings or specified herein, furnish and install electrical equipment.
- B. Furnish all materials, hardware, equipment, labor and services required for the installation of complete and properly working installations as shown on the drawings and described herein.
- C. References in these specifications to a particular manufacturer or model number shall be interpreted as establishing a standard of quality and shall not be construed as limiting competition. Equipment by manufacturers other than those specified shall be submitted for review in accord with Section 260501.
- D. All equipment shall be installed by qualified workmen who shall have reviewed all manufacturer's data for purposes of coordinating service characteristics, entry locations, mounting requirements, dimensions, etc.
- E. The contractor shall cooperate with the Owner, other trades, etc. for coordination of their requirements or the effects of the installed equipment on the overall project.

### 2.03 AUXILIARY SYSTEMS

- A. Where shown on the drawings or specified herein, furnish and install electrical auxiliary systems.
- B. Furnish all materials, hardware, equipment, labor and services required for the installation of a complete and properly working systems as shown on the drawings and described herein.

- C. References in these specifications to a particular manufacturer or model number shall be interpreted as establishing a standard of quality and shall not be construed as limiting competition. Equipment by manufacturers other than those specified shall be submitted for review in accord with Section 260501.
- D. All systems equipment shall be installed by qualified systems technicians in the employ of the systems contractor, or by qualified workmen in the employ of the Contractor under the supervision of qualified representatives of the manufacturer. "Qualified representatives" shall be factory authorized or certified by the systems equipment manufacturer.
- E. The systems technicians and/or contractor shall cooperate with the Owner, other trades, etc. for coordination of their requirements or the effects of the installed systems on the overall project.

### PART 3 - EXECUTION

#### 3.01 WORKMANSHIP

- A. The work shall be in accordance with the NEC and the rules and regulations of local bodies having jurisdiction.
- B. All work shall be executed in a workmanlike manner and shall present a neat and mechanical appearance upon completion.
- C. Care shall be exercised that all items are plumb, straight, level.
- D. All exterior conduit shall be sealed during construction. Open conduit shall not be exposed to the weather.

#### 3.02 ACCEPTANCE TESTING

- A. Upon completion of work, the entire wiring system shall be tested, and shall be shown to be in perfect working condition in accordance with the intent of the specifications and drawings. It shall be the responsibility of the Electrical Contractor to have all systems ready for operation and to have an electrician available to operate same in accordance with and under the supervision of the inspection representative of the Architect/Engineer. The electrician shall be available to assist in removal of panelboard fronts, etc., to permit inspection as required.

### **END OF SECTION 26 0500**

**SECTION 26 0501 - ELECTRICAL-SCOPE OF WORK****PART 1 – GENERAL****1.01 WORK REQUIRED**

- A. Removal or relocation of all electrical services located on or crossing through the project property, either above or below grade, which would obstruct the construction of the project or conflict in any manner with the completed project or any code pertaining thereto.
- B. Complete electric lighting systems, power systems and auxiliary systems as shown or herein specified.
- C. Furnishing and installation of all electrical items shown on drawings or herein specified, unless shown or specified otherwise.
- D. Furnishing and installation of disconnect switches for motors.
- E. Connection of all equipment requiring electrical connection, mentioned in this Section or shown on drawings, whether furnished by electrical contractor or others.
- F. Complete raceway and box system for voice data and cable television system.
- G. Furnish and install alterations and addition to existing fire alarm system and provide voice/alarm system for occupancy type E areas.

**PART 2 - PRODUCTS****2.01 PROPOSED SUBSTITUTES**

- A. Submit to Architect ten (15) days prior to bid date three (3) copies of any items which are proposed as substitutes for those specified.

**2.02 SHOP DRAWINGS AND CATALOG DATA**

- A. Submit to Architect within fifteen (15) days after award of contract, prior to purchasing, six (6) copies of manufacturer's shop drawings and catalog data for the items listed below.
- B. All shop drawings of a specific item or system shall be in one submittal and shall be marked to clearly identify the manufacturer, the intended use of the item, and if not readily apparent, the intended location for installation of the item.

C. Shop drawings of all power equipment shall contain exact details of device placement, phasing and numbering, in form of elevations, for each piece of equipment.

D. Shop drawings submittals shall include:

- Panelboards
- Safety Switches
- Lighting Fixtures
- Lighting Control Devices
- Wireways
- Surge Suppression Devices (SPD)
- Receptacles
- Cable
- Wiring Devices
- Storm Switch
- Building Wire
- Conduit: Rigid, I.M.C., E.M.T.
- Conduit, PVC
- EMT Couplings and Connectors
- Wire Connectors
- Equipment Coordination Data Sheets
- Ceiling Coordination Data Sheets
- Electrical Room Drawings
- As required by individual sections of these Specifications

E. Obtain manufacturer's data on all equipment requiring electrical service and review it for purposes of coordinating service characteristics, entry locations, mounting requirements, dimensions, etc. Verify that the electrical service requirements are as shown on the electrical drawings or, if at variance to that shown, indicate the area of nonconformance. Submit one copy of this data with shop drawings, along with a statement of the following:

1. The information contained in the submittal includes data on all equipment within the scope of this project which will require electrical service or coordination with electrical work.
2. The information contained in the submittal has been reviewed by the electrical contractor, with the general contractor's project manager, and that the electrical service requirements will be coordinated with the information obtained from the manufacturer's data.

The statement shall identify the source of the information and shall be signed by the representative of the electrical contractor responsible for obtaining and coordinating the information and the general contractor's project manager.

F. Obtain data on all ceilings and review it for purposes of coordinating mounting requirements, dimensions, recess depth etc., of light fixtures. Verify that the

proposed mounting trim and hardware are correct for the ceiling to be utilized and that depth of recessed light fixtures and cable tray will not be in conflict with HVAC equipment, ductwork, structural members, etc. Electrical contractor shall assist the mechanical contractor in preparation of above ceiling coordination drawings in corridors. Coordination drawings shall show duct, cable tray, pips, recessed light fixtures, etc. Submit one copy of this data with shop drawings, along with a statement of the following:

The information contained in the submittal has been reviewed by the electrical contractor and the general contractor's project manager and the mounting details are correct for the proposed application.

The statement shall identify the source of the information and shall be signed by the representative of the electrical contractor and the general contractor's project manager.

- G. Shop drawings shall include large scale plan layouts and elevations of each electrical equipment room or other space having panelboards, switchboards, or major electrical equipment installed therein. Drawings shall be one-half inch equal one foot scale minimum and shall show all required clearances and all pertinent conditions.
- H. None of the above items shall be installed until shop drawings or catalog data has been accepted in writing. Any listed item not submitted even if specified shall be considered not acceptable and shall be removed if directed.

### PART 3 - EXECUTION

#### 3.01 MOTORS STARTERS AND CONTROLS

- A. Unless otherwise specified or shown, all motors will be furnished and installed under other sections of the specifications.
- B. Unless otherwise specified or shown, all individually mounted starters and/or equipment control contactors shall be furnished under other sections of these specifications.
- C. Installation of individual mounted starters, equipment control contactors and all power wiring connections to all motors, starters, equipment control contactors and equipment shall be performed under this section of these specifications.
- D. Unless otherwise specified or shown, all control items will be furnished, installed and wired in conduit under other sections of these specifications. The electrical contractor shall furnish and install a control power circuit to each HVAC or plumbing control panel or junction box requiring same. Control circuits shall, unless noted otherwise, be individual 20 ampere, 120 volt circuits run from the nearest receptacle panel.

- E. Where required by the NEC or local codes, each motor or piece of equipment required to have a disconnecting mean within sight of the motor or equipment shall be so equipped. All such disconnects shall be furnished and installed under this section of these specifications unless provided as part of an equipment package furnished under other sections. This requirement shall apply whether shown on the drawings or not.
- F. Where required by the NEC or local codes, each piece of HVAC equipment required to have a 15 ampere, 120 volt, receptacle within sight of the motor or equipment shall be so equipped. All such receptacles shall be furnished and installed under this section of these specifications unless provided as part of an equipment package furnished under other sections. Receptacles shall be equipped with weatherproof covers and Class "A" ground fault protection where located out of doors or subject to moisture and shall be wired to the nearest general convenience outlet circuit. This requirement shall apply whether shown on the drawings or not.
- G. Where shown on the HVAC or electrical drawings for exhaust fans to be controlled with room lights, the electrical contractor shall furnish, install and wire complete a control relay for each exhaust fan. Exhaust fan control relays shall be mounted in NEMA 1 enclosures, at the exhaust fan, and shall have coil voltage to match lighting system and contacts as required by motor voltage and amperage.

### 3.02 EXCAVATION, CUTTING, PATCHING

- A. Perform all excavating and cutting as required to receive electrical work, and after inspection and approval of work by Architect, do all required backfilling, patching and repairing. Obtain specific approval of Architect before cutting into any structural members.
- B. For all such work employ competent workmen, and finish in a neat and workmanlike manner, equal to quality and appearance of adjacent work.

### 3.03 FIRESTOPPING

- A. Wall, floor and smoke/fire barrier penetrations shall be sealed as required to maintain the fire rating of the penetrated barrier.
- B. All penetrations shall be sealed utilizing "Fire Barrier" as manufactured by 3M (no exceptions). "Fire Barrier" may be applied in strips or with a caulking gun as required by jobsite conditions. Penetrations which are too large for sealing with "Fire Barrier" alone shall be repaired to match existing and then sealed with "Fire Barrier".
- C. Panelboards, equipment enclosures, outlet boxes, etc. installed in fire rated partitions shall be boxed in with wall board or other suitable fire rated material as required to maintain or restore the fire rating of the assembly.

- D. Shall comply with National Electrical Code section 300-21, 800-52(b) and 820-52(b).

### 3.04 ROOF PENETRATION

- A. Furnish roof flashings for all equipment installed under this Section that penetrates the roof. Appropriate flashings are specified under Roofing and Sheet Metal Section. Supply these flashings for installation under Roofing and Sheet Metal Section. Support all conduit on roof as required per NEC. Utilize Cooper Dura-block or pre-approved equal.

### 3.05 PAINTING

- A. Finish painting of any exposed raceways is not included in this Section. (See Painting and Finishing Section).

### 3.06 IDENTIFICATION

- A. Identification nameplates shall be laminated plastic.
- B. Each switchboard and panelboard shall be equipped with a nameplate with 1/4" minimum letters.
- C. Each individual mounted circuit breaker, switch, starter, contactor and/or any other control or protective device shall be equipped with a nameplate with 1/4" minimum letters. Nameplates on fusible equipment shall state fuse size.
- D. Each branch circuit in a switchboard or panelboard shall be identified.
  - 1. Panelboards with covers and directory pockets shall have typewritten directories.
  - 2. Switchboards and panelboards without directories shall have a nameplate with 1/8" minimum letters installed adjacent to each circuit device stating equipment fed and fuse size, if applicable.
- E. All nameplates shall have white background with red letters for emergency power and white background with black letters for normal power.

Nameplate for panelboards, disconnect switches, individual mounted circuit breakers shall include equipment designation or load served, voltage, phase and source of feed. Typical nameplate:

LP-A  
120/208V 3PH 4W  
FED FROM MP-A  
ROOM XXX

- F. Each junction box shall be marked to identify the system it serves. The following color coding system shall be spray painted on each box cover.
- G. Junction boxes containing power circuits shall have associated panel and circuit numbers, for voice data shall have "VD" and for security shall have "SC" printed on the cover.

### 3.07 STORAGE OF MATERIALS

- A. Store all materials to prevent damage from rust, corrosion, physical injury, etc.
- B. Keep site clean of accumulation of cartons, trash, debris, etc.

### 3.08 "AS BUILT" DRAWINGS

- A. A set of electrical drawings shall be kept on the job site on which all changes from the contract drawings are recorded, in red, on a day-to-day basis. Contractor shall turn over one copy to Architect and one to owner maintenance.

### 3.09 OPERATIONS AND MAINTENANCE INSTRUCTION

- A. At the completion of the job, the electrical contractor shall turn over to the Owner:

One (1) set of print marked "as built" reflecting the actual work done.

Three (3) sets of all equipment catalog and maintenance data.

Three (3) sets of shop drawings on all equipment requiring same.

One (1) CD of as built drawings and specification.

Spare lamps

Spare fuses

- B. The contractor shall explain and demonstrate all systems to the Owner's representative.

### 3.10 FRAMED DRAWINGS

- A. Framed sets of electrical single line diagrams shall be secured to the wall near the main panel. Drawings shall be 1/2 actual size (min.) and shall include all as-built information.

- B. Framed sets of electrical floor drawings and single line diagrams for fire alarm system shall be secured to the wall near the FACP. Drawings shall be 1/2 actual size (min.) and shall include all as-built information.

### 3.11 ACCESS PANELS

- A. Access panels for electrical equipment, devices, junction boxes, etc., shall be provided where building finishes do not allow access. This Contractor shall furnish and have installed appropriate access panels except when such panels are specified otherwise in other sections of these specifications, in which case, this Contractor shall coordinate panel locations with the installing Contractor.

### **END OF SECTION 26 0501**

**SECTION 26 0502 - DEMOLITION - ELECTRICAL****PART 1 - GENERAL****1.01 SCOPE**

- A. The electrical contractor shall visit the site to determine the extent of demolition work as required by the drawings and specifications.
- B. All electrical conduit, wiring, devices, fixtures, etc. required to be removed to allow for new construction, abandoned as a result of new construction, or currently not in service shall be removed as part of this contract. Exposed conduits and conduits in accessible areas shall be removed completely; conduits concealed in floors, walls and above non-accessible ceilings may be capped and abandoned after removal of all conductors. All items shown and/or specified to be removed shall be removed complete back to source.
- C. Any existing floor outlets shall be removed completely. All floor penetrations shall be sealed to maintain fire rating of the floor and to ensure structural integrity.
- D. Existing electrical equipment and circuitry not being removed or reworked under this contract, but located so as to be affected by the work under this contract, shall remain in service. Such circuits, equipment, etc., shall be extended, relocated or removed and reinstalled as required to accommodate new construction.
- E. Where new HVAC and Plumbing work requires relocation of existing electrical work such relocation shall be provided.
- F. All active devices, wiring and feeders shall remain in service.

**PART 2 - PRODUCTS****2.01 NEW MATERIALS**

- A. Where existing electrical conduits, junction boxes and wiring are required to be relocated, new materials used shall match existing. Furnish and install conduits, wiring, hardware, boxes, disconnect switches, etc. as required for extension of existing circuits and/or relocation of existing electrical equipment. New cable splices, if required, shall be made with insulated compression type butt splices.

**2.02 MATERIALS REMOVED**

- A. All materials removed, unless otherwise specified, shall be removed from the site and disposed of by the contractor. Lighting fixtures, panelboards, and circuit breakers shall, at the Owner's option, be disposed of by the contractor or retained for spare parts by the Owner.

- B. Materials retained by the Owner shall be delivered to the Owner at his designated facility.
- C. All materials removed, unless otherwise specified, shall be removed from the site and disposed of by the contractor.

#### 2.03 MATERIALS REMOVED AND REINSTALLED

- A. Any equipment or materials shown on the drawings or specified to be removed and reinstalled shall be cleaned and, if necessary, repaired to first class condition prior to reinstallation.
- B. Lighting fixtures shall, in addition to work in paragraph "A", be equipped with new lamps.

### PART 3 - EXECUTION

#### 3.01 WORKMANSHIP

- A. The contractor shall take care not to damage adjacent equipment, structure, etc. not to be demolished. Where existing devices or equipment are removed, existing finishes shall be repaired where such repair is not shown under new work.

#### 3.02 AS-BUILT DRAWINGS

- A. The contractor shall trace and identify all existing circuits within the project area and so note on his submittal drawings. The drawings shall clearly identify service panelboards, circuit numbers and conduit routings.

### **END OF SECTION 26 0502**

**SECTION 26 0519 – CONDUCTORS****PART 1 - GENERAL****1.01 SCOPE**

- A. This section outlines the quality and type of conductors to be used in the various systems, locations and conditions.

**PART 2 - PRODUCTS****2.01 WIRE AND CABLE 600 VOLT**

- A. Conductors shall have current carrying capacities as per NEC, #12 minimum except for controls, and fixture wire.
- B. Conductors for general use, sized #10 and smaller, shall be solid copper. Conductors #8 and larger, and any size to motors or vibrating equipment shall be stranded copper.
- C. All conductor insulation shall be 600 volt.
- D. Insulation for branch circuits, sized #10 and smaller, shall be color coded type THHN/THWN.
- E. Insulation for feeders, #8 and larger, and for circuits run in wet or dry locations or below grade shall be THHW/THWN.
- F. Conductor color codes shall be as set forth below.

**2.02 FIXTURE WIRE**

- A. Fixture wire shall be Type SF-2 except that type THHN or XHHW may be used in the channel of and flex to fluorescent fixtures.

**2.03 CONTROL WIRE**

- A. Control wire shall be #14, 19 strand, type THHN-THWN, rated 90 degrees C full color range.

**2.04 MANUFACTURER**

- A. Wire and cable shall be manufactured by Rome, Cerro, General, American, Essex, Aetna, Colonial, Encore or Southwire.

**2.05 WIRE CONNECTIONS**

- A. Wire connections, #10 and smaller connections shall be made with insulated wire connectors with steel spring connector threads. Wire connectors shall be "Twister" Wire-Nut series as manufactured by Ideal Industries, Inc. or approved equal.
- B. On wire larger than #10, shall be made with approved solderless connectors and covered with Scotch #33 electrical tape so that the insulation is equal to conductor insulation.

- C. Connection of stranded conductors, #8 and larger, to bus bars in switchboards, panelboards, equipment enclosures, junction boxes, etc. shall be made with individual lugs, size as required by conductor, bolted to bus bar with full size bolts and nuts with lock washers.

## PART 3 - EXECUTION

### 3.01 INSTALLATION OF WIRE AND CABLE

- A. No conductor shall be smaller than #12 except where designated on the drawings or hereinafter specified.
- B. Multi-wire lighting branches shall be used as indicated.
- C. All joints and splices in wire shall be made with approved solder-less connectors, and covered so that insulation is equal to the conductor insulation.
- D. No splices shall be pulled into conduit.
- E. Conductors and conduits shall be continuous between outlets.
- F. No conductor shall be pulled until conduit is cleaned of all foreign matter.
- G. Where installed in panelboards, cabinets, wireways, switches and equipment wire and cable shall be neatly formed and tied.
- H. Where conductors are run in parallel, each conductor making up the feeder shall be exactly the same length, the same size, and the same type of conductor with the same insulation. Further, each group of conductors making up a phase or neutral must be bonded at both ends in the same manner.
- I. In installing the main service, additional slack conductors shall be provided as required by the electric utility for connection to their equipment.

### 3.02 OUTLETS AND BRANCH CIRCUITS

- A. Outlets shall be connected to branch circuits as indicated on drawings by circuit number adjacent to outlet symbols. No more outlets than are indicated shall be connected to a circuit.
- B. All branch and feeder conductors shall have identification tag in all panelboards. Each tag shall have room name and circuit number which is serving.

### 3.03 WIRE AND CABLE COLOR CODING

- A. A color coding system as listed below shall be followed throughout the entire network of branch circuits.

|         |         |         |
|---------|---------|---------|
| Voltage | 120/208 | 277/480 |
| Phase   | Color   | Color   |

|         |       |        |       |
|---------|-------|--------|-------|
| A       | Black | Yellow |       |
| B       | Red   | Orange |       |
| C       | Blue  | Brown  |       |
| Neutral |       | White  | Gray  |
| Ground  |       | Green  | Green |

- B. Conductors sized #10 AWG and below shall have permanently colored insulation. Conductors sized #8 AWG and above shall be color coded by either permanently colored insulation or by means of colored tape applied to the conductor within 12" of each termination and in each enclosure, junction box, etc.
- C. Control Conductors: Shall be color coded by use of color coded "tracers". No control circuit shall have two identical conductors.

**END OF SECTION 26 0519**

**SECTION 26 0526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS****PART 1 - GENERAL****1.01 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

**1.02 SUMMARY**

- A. Section Includes: Grounding systems and equipment.
- B. Section includes grounding systems and equipment, plus the following special applications:
  - 1. Underground distribution grounding. Provide grounding at main service entrance switchboard to meet all NEC requirements for separately derived ground systems.

**1.03 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Informational Submittals: Plans showing dimensioned as-built locations of grounding features specified in "Field Quality Control" Article, including the following:
  - 1. Ground rods.
  - 2. Grounding arrangements and connections for separately derived systems.
  - 3. Grounding for sensitive electronic equipment.
- C. Qualification Data: For qualified testing agency and testing agency's field supervisor.
- D. Field quality-control reports.

**1.04 QUALITY ASSURANCE**

- A. Testing Agency Qualifications: Member company of NETA or an NRTL.

1. Testing Agency's Field Supervisor: Currently certified by NETA to supervise on-site testing.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with UL 467 for grounding and bonding materials and equipment.

## PART 2 - PRODUCTS

### 2.01 CONDUCTORS

- A. Insulated Conductors: tinned-copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
  1. Solid Conductors: ASTM B 3.
  2. Stranded Conductors: ASTM B 8.
  3. Tinned Conductors: ASTM B 33.
  4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch (6 mm) in diameter.
  5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.
  6. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches (41 mm) wide and 1/16 inch (1.6 mm) thick.
  7. Tinned Bonding Jumper: Tinned-copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches (41 mm) wide and 1/16 inch (1.6 mm) thick.
- C. Grounding Bus: Predrilled rectangular bars of annealed copper, 1/4 by 4 inches (6.3 by 100 by 1,100mm) by 44 inches in cross section, with 9/32-inch (7.14-mm) holes spaced 1-1/8 inches (28 mm) apart. Stand-off insulators for mounting shall comply with UL 891 for use in switchboards, 600 V. Lexan or PVC, impulse tested at 5000 V.

### 2.02 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.
- B. Bolted Connectors for Conductors and Pipes: Copper or copper alloy, pressure type with at least two bolts.
  1. Pipe Connectors: Clamp type, sized for pipe.

- C. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.
- D. Bus-bar Connectors: Mechanical type, cast silicon bronze, solderless compression -type wire terminals, and long-barrel, two-bolt connection to ground bus bar.

## 2.03 GROUNDING ELECTRODES

- A. Ground Rods: Copper-clad steel 3/4 inch by 10 feet (19 mm by 3 m).

## PART 3 - EXECUTION

### 3.01 APPLICATIONS

- A. Conductors: Install solid conductor for No. 8 AWG and smaller, and stranded conductors for No. 6 AWG and larger unless otherwise indicated.
- B. Underground Grounding Conductors: Install bare tinned copper conductor, No. 4/0 AWG minimum or as shown on plans..
  - 1. Bury at least **24 inches (600 mm)** below grade.
  - 2. Duct-Bank Grounding Conductor: Bury **12 inches (300 mm)** above duct bank when indicated as part of duct-bank installation.
- C. Isolated Grounding Conductors: Green-colored insulation with continuous yellow stripe. On feeders with isolated ground, identify grounding conductor where visible to normal inspection, with alternating bands of green and yellow tape, with at least three bands of green and two bands of yellow.
- D. Grounding Bus: Install in electrical and telephone equipment rooms, in rooms housing service equipment, and elsewhere as indicated.
  - 1. Install bus on insulated spacers **2 inches (50 mm)** minimum from wall, **6 inches (150 mm)** above finished floor unless otherwise indicated.
  - 2. Where indicated on both sides of doorways, route bus up to top of door frame, across top of doorway, and down to specified height above floor; connect to horizontal bus.
- E. Conductor Terminations and Connections:
  - 1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
  - 2. Underground Connections: Welded connectors except at test wells and as otherwise indicated.
  - 3. Connections to Ground Rods at Test Wells: Bolted connectors.

4. Connections to Structural Steel: Welded connectors.

### 3.02 GROUNDING UNDERGROUND DISTRIBUTION SYSTEM COMPONENTS

- A. Comply with IEEE C2 grounding requirements.
- B. Grounding Manholes and Handholes: Install a driven ground rod through manhole or handhole floor, close to wall, and set rod depth so 4 inches (100 mm) will extend above finished floor. If necessary, install ground rod before manhole is placed and provide No. 1/0 AWG bare, tinned-copper conductor from ground rod into manhole through a waterproof sleeve in manhole wall. Protect ground rods passing through concrete floor with a double wrapping of pressure-sensitive insulating tape or heat-shrunk insulating sleeve from 2 inches (50 mm) above to 6 inches (150 mm) below concrete. Seal floor opening with waterproof, nonshrink grout.
- C. Grounding Connections to Manhole Components: Bond exposed-metal parts such as inserts, cable racks, pulling irons, ladders, and cable shields within each manhole or handhole, to ground rod or grounding conductor. Make connections with No. 4 AWG minimum, stranded, hard-drawn copper bonding conductor. Train conductors level or plumb around corners and fasten to manhole walls. Connect to cable armor and cable shields according to written instructions by manufacturer of splicing and termination kits.
- D. Pad-Mounted Transformers and Switches: Install two ground rods and ground ring around the pad. Ground pad-mounted equipment and noncurrent-carrying metal items associated with substations by connecting them to underground cable and grounding electrodes. Install tinned-copper conductor not less than No. 2 AWG for ground ring and for taps to equipment grounding terminals. Bury ground ring not less than 6 inches (150 mm) from the foundation.

### 3.03 EQUIPMENT GROUNDING

- A. Install insulated equipment grounding conductors with all feeders and branch circuits. All feeders and branch circuits shall have two path to ground no exception (one path accomplished via insulated conductor and second path via metal conduit).
- B. Install insulated equipment grounding conductors with the following items, in addition to those required by NFPA 70:
  1. Feeders and branch circuits.
  2. Lighting circuits.
  3. Receptacle circuits.
  4. Single-phase motor and appliance branch circuits.
  5. Three-phase motor and appliance branch circuits.
  6. Flexible raceway runs.

7. Computer and Rack-Mounted Electronic Equipment Circuits: Install insulated equipment grounding conductor in branch-circuit runs from equipment-area power panels and power-distribution units.
  8. X-Ray Equipment Circuits: Install insulated equipment grounding conductor in circuits supplying x-ray equipment.
- C. Air-Duct Equipment Circuits: Install insulated equipment grounding conductor to duct-mounted electrical devices operating at 120 V and more, including air cleaners, heaters, dampers, humidifiers, and other duct electrical equipment. Bond conductor to each unit and to air duct and connected metallic piping.
- D. Water Heater, Heat-Tracing, and Antifrost Heating Cables: Install a separate insulated equipment grounding conductor to each electric water heater and heat-tracing cable. Bond conductor to heater units, piping, connected equipment, and components.
- E. Isolated Grounding Receptacle Circuits: Install an insulated equipment grounding conductor connected to the receptacle grounding terminal. Isolate conductor from raceway and from panelboard grounding terminals. Terminate at equipment grounding conductor terminal of the applicable derived system or service unless otherwise indicated.
- F. Isolated Equipment Enclosure Circuits: For designated equipment supplied by a branch circuit or feeder, isolate equipment enclosure from supply circuit raceway with a nonmetallic raceway fitting listed for the purpose. Install fitting where raceway enters enclosure, and install a separate insulated equipment grounding conductor. Isolate conductor from raceway and from panelboard grounding terminals. Terminate at equipment grounding conductor terminal of the applicable derived system or service unless otherwise indicated.
- G. Signal and Communication Equipment: In addition to grounding and bonding required by NFPA 70, provide a separate grounding system complying with requirements in TIA/ATIS J-STD-607-A.
1. For telephone, alarm, voice and data, and other communication equipment, provide No. 4 AWG minimum insulated grounding conductor in raceway from grounding electrode system to each service location, terminal cabinet, wiring closet, and central equipment location.
  2. Service and Central Equipment Locations and Wiring Closets: Terminate grounding conductor on a 1/4-by-4-by-12-inch (6.3-by-100-by-300-mm) grounding bus.
  3. Terminal Cabinets: Terminate grounding conductor on cabinet grounding terminal.
- H. Metal Poles Supporting Outdoor Lighting Fixtures: Install grounding electrode (ground rod) and a separate insulated equipment grounding conductor in addition to grounding conductor installed with branch-circuit conductors.

### 3.05 INSTALLATION

- A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- B. Ground Bonding Common with Lightning Protection System where system is provided: Comply with NFPA 780 and UL 96 when interconnecting with lightning protection system. Bond electrical power system ground directly to lightning protection system grounding conductor at closest point to electrical service grounding electrode. Use bonding conductor sized same as system grounding electrode conductor, and install in conduit.
- C. Ground Rods: Drive rods until tops are 2 inches (50 mm) below finished floor or final grade unless otherwise indicated.
  - 1. Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.
  - 2. For grounding electrode system, install at least [three] <Insert number> rods spaced at least one-rod length from each other and located at least the same distance from other grounding electrodes, and connect to the service grounding electrode conductor.
- D. Test Wells: Ground rod driven through drilled hole in bottom of handhole. Handholes are specified in Division 26 Section "Underground Ducts and Raceways for Electrical Systems," and shall be at least 12 inches (300 mm) deep, with cover.
  - 1. Test Wells: Install at least one test well for each service unless otherwise indicated. Install at the ground rod electrically closest to service entrance. Set top of test well flush with finished grade or floor.
- E. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.
  - 1. Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.
  - 2. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
  - 3. Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.
- F. Grounding and Bonding for Piping:
  - 1. Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding

- conductors to main metal water service pipes; use a bolted clamp connector or bolt a lug-type connector to a pipe flange by using one of the lug bolts of the flange. Where a dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.
2. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with a bolted connector.
  3. Bond each aboveground portion of gas piping system downstream from equipment shutoff valve.
- G. Bonding Interior Metal Ducts: Bond metal air ducts to equipment grounding conductors of associated fans, blowers, electric heaters, and air cleaners. Install tinned bonding jumper to bond across flexible duct connections to achieve continuity.
- H. Grounding for Steel Building Structure: Install a driven ground rod at base of each corner column and at intermediate exterior columns at distances not more than 60 feet (18 m) apart.
- I. Ufer Ground (Concrete-Encased Grounding Electrode): Fabricate according to NFPA 70; use a minimum of 20 feet (6 m) of bare copper conductor not smaller than No. 4/0 AWG.
1. If concrete foundation is less than 20 feet (6 m) long, coil excess conductor within base of foundation.
  2. Bond grounding conductor to reinforcing steel in at least four locations and to anchor bolts. Extend grounding conductor below grade and connect to building's grounding grid or to grounding electrode external to concrete.

### 3.06 LABELING

- A. Comply with requirements in Division 26 Section "Identification for Electrical Systems" Article for instruction signs. The label or its text shall be green.
- B. Install labels at the telecommunications bonding conductor and grounding equalizer and at the grounding electrode conductor where exposed.
  1. Label Text: "If this connector or cable is loose or if it must be removed for any reason, notify the facility manager."

### 3.07 FIELD QUALITY CONTROL

- A. Testing: Contractor to perform tests and inspections.
- B. Perform tests and inspections.

1. Contractor to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.
- C. Tests and Inspections:
1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
  2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
  3. Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal. Make tests at ground rods before any conductors are connected.
    - a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
    - b. Perform tests by fall-of-potential method according to IEEE 81.
  4. Prepare dimensioned Drawings locating each test well, ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.
- D. Grounding system will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.
- F. Report measured ground resistances that exceed the following values:
1. Ground resistance shall exceed 5 ohms.
- G. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

**END OF SECTION 26 0526**

**SECTION 26 0529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS****PART 1 - GENERAL****1.01 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

**1.02 SUMMARY**

- A. This Section includes the following:
  - 1. Hangers and supports for electrical equipment and systems.
  - 2. Construction requirements for concrete bases.

**1.03 DEFINITIONS**

- A. EMT: Electrical metallic tubing.
- B. IMC: Intermediate metal conduit.
- C. RG: Rigid metal conduit.

**1.04 PERFORMANCE REQUIREMENTS**

- A. Delegated Design: Design supports for multiple raceways, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. Design supports for multiple raceways capable of supporting combined weight of supported systems and its contents.
- C. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

**1.05 SUBMITTALS**

- A. Product Data: For the following:
  - 1. Steel slotted support systems.
  - 2. Nonmetallic slotted support systems.

- B. Shop Drawings: Signed and sealed by a qualified professional engineer. Show fabrication and installation details and include calculations for the following:
1. Trapeze hangers. Include Product Data for components.
  2. Steel slotted channel systems. Include Product Data for components.
  3. Nonmetallic slotted channel systems. Include Product Data for components.
  4. Equipment supports.
- C. Welding certificates.

#### 1.06 QUALITY ASSURANCE

- A. Welding: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- B. Comply with NFPA 70.

#### 1.07 COORDINATION

- A. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 03.
- B. Coordinate installation of roof curbs, equipment supports, and roof penetrations. These items are specified in Division 07 Section "Roof Accessories."

### PART 2 - PRODUCTS

#### 2.01 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Comply with MFMA-4, factory-fabricated components for field assembly.
1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  2. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allied Tube & Conduit.
    - b. Cooper B-Line, Inc.; a division of Cooper Industries.
    - c. ERICO International Corporation.
    - d. GS Metals Corp.
    - e. Thomas & Betts Corporation.

- f. Unistrut; Tyco International, Ltd.
  - g. Wesanco, Inc.
- 3. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
- 4. Channel Dimensions: Selected for applicable load criteria.
- B. Raceway and Cable Supports: As described in NECA 1 and NECA 11.
- C. Conduit and Cable Support Devices: Steel hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- D. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for non-armored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be malleable iron.
- E. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
- F. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
  - 1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - b. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) Hilti Inc.
      - 2) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
      - 3) MKT Fastening, LLC.
      - 4) Simpson Strong-Tie Co., Inc.; Masterset Fastening Systems Unit.
  - 2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened portland cement concrete with tension, shear, and pullout capacities appropriate for supported loads and building materials in which used.
    - a. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- b. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1) Cooper B-Line, Inc.; a division of Cooper Industries.
  - 2) Empire Tool and Manufacturing Co., Inc.
  - 3) Hilti Inc.
  - 4) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
  - 5) MKT Fastening, LLC.
- 3. Concrete Inserts: Steel or malleable-iron, slotted support system units similar to MSS Type 18; complying with MFMA-4 or MSS SP-58.
- 4. Clamps for Attachment to Steel Structural Elements: MSS SP-58, type suitable for attached structural element.
- 5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
- 6. Toggle Bolts: All-steel springhead type.
- 7. Hanger Rods: Threaded steel.

## 2.2 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

- A. Description: Welded or bolted, structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.
- B. Materials: Comply with requirements in Division 05 Section "Metal Fabrications" for steel shapes and plates.

## PART 3 - EXECUTION

### 3.01 APPLICATION

- A. Comply with NECA 1 and NECA 11 for application of hangers and supports for electrical equipment and systems except if requirements in this Section are stricter.
- B. Maximum Support Spacing and Minimum Hanger Rod Size for Raceway: Space supports for EMT, IMC, and RMC as required by NFPA 70. Minimum rod size shall be 1/4 inch (6 mm) in diameter.
- C. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  - 1. Secure raceways and cables to these supports with single-bolt conduit clamps using spring friction action for retention in support channel.

- D. Spring-steel clamps designed for supporting single conduits without bolts may be used for 1-1/2-inch (38-mm) and smaller raceways serving branch circuits and communication systems above suspended ceilings and for fastening raceways to trapeze supports.

### 3.02 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 11 for installation requirements except as specified in this Article.
- B. Raceway Support Methods: In addition to methods described in NECA 1, EMT, IMC, and RMC may be supported by openings through structure members, as permitted in NFPA 70. Conduit support for 2 1/2 inch conduits and larger for police station building shall withstand the effects of earthquake motions determined according to SEI/ASCE 7 and shall meet Seismic Design categories C.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb (90 kg).
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Wood: Fasten with lag screws or through bolts.
  - 2. To New Concrete: Bolt to concrete inserts.
  - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
  - 4. To Existing Concrete: Expansion anchor fasteners.
  - 5. To Steel: Spring-tension clamps.
  - 6. To Light Steel: Sheet metal screws.
  - 7. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate by means that meet seismic-restraint strength and anchorage requirements.
- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid reinforcing bars.
- F. Support for any conduit 2 inch in diameter and larger for police station building shall withstand the effects of earthquake motions determined according to SEI/ASCE 7 and shall meet Seismic Design categories C.

1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."

### 3.03 TALLATION OF FABRICATED METAL SUPPORTS

- A. Comply with installation requirements in Division 05 Section "Metal Fabrications" for site-fabricated metal supports.
- B. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.
- C. Field Welding: Comply with AWS D1.1/D1.1M.

### 3.04 CONCRETE BASES

- A. Construct concrete bases of dimensions indicated but not less than 4 inches (100 mm) larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.
- B. Use 3000-psi (20.7-MPa), 28-day compressive-strength concrete. See detail on drawings for more information.
- C. Anchor equipment to concrete base.
  1. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  2. Install anchor bolts to elevations required for proper attachment to supported equipment.
  3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

### 3.05 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
  1. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils (0.05 mm).

- B. Touchup: Comply with requirements in Division 7 painting Sections for cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal.
- C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

**END OF SECTION 26 0529**

**SECTION 26 0533 - RACEWAYS – METAL****PART 1 - GENERAL****1.01 SCOPE**

- A. This section deals with the materials to be used as metal raceways, connections, and supports.

**PART 2 - PRODUCTS**

- A. Conduit: Rigid and IMC shall be galvanized outside and inside by hot dipping. EMT shall be Electro-Galvanized. Conduit shall be as manufactured by Republic, Wheatland, Triangle, Pittsburgh Standard, Youngstown, or Allied.
- B. Sealtight flexible metal conduit shall consist of flexible galvanized steel tubing with a liquidtight jacket of PVC. All flexible conduit shall have a copper bonding conductor wound into conduit body.
- C. Couplings and connectors on rigid and IMC shall be standard threaded type, galvanized outside and inside by hot dipping. Clamp type and threadless are not acceptable. Couplings and connectors, for rigid and IMC shall be as manufactured by Raco or Appleton.
- D. EMT connectors shall be steel, set screw unless required by code to be compression type, equipped with insulating throats. Connectors couplings shall be O-Z/Gedney 7000ST or 7000RST series, T & B 5123 - 5623 series, Midwest Electric series 1650, or equal series of Raco. Cast metal couplings will not be approved for any location.
- E. EMT couplings shall be steel, set screw unless required by code to be compression type. Couplings shall be O-Z/Gedney 6000S or 6000RS series, T & B 5120 - 5620 series, Midwest Electric series 660, or equal series of Raco. Cast metal connectors will not be approved for any location.
- F. Connectors raintight: Meyers or approved equal.
- G. Bushings on rigid and IMC shall be threaded malleable iron with integral noncombustible insulator. Rigid and IMC bushings shall be O-Z/Gedney "IBC" series, T & B BIM series, Midwest Electric series 1031 - 1043 or equal by Penn Union. Grounding bushings shall be O-Z/Gedney "IBC-L" series, T & B 3870 - 3999 series, Midwest Electric GLL series or equal by Penn Union.
- H. Watertight Flex Connectors: O-Z/Gedney, Raco, or Midwest Electric with insulating throat.

- I. Conduit clamps and supports shall be as manufactured by T & B, Midwest Electric, or O-Z/Gedney.
- J. Conduit fittings shall be manufactured by Pyle-National, Appleton, Crouse-Hinds, or Russellstoll.
- K. Finished metal raceway shall be Wiremold 4000G series.
- L. Finished none metallic raceway shall be Wiremold 2900 series.

### PART 3 - EXECUTION

#### 3.01 CONDUIT, TYPE OF INSTALLATION

- A. EMT conduit with set screw fitting shall be used for all branch circuits, power feeders, auxiliary, signaling and controls circuits in none hazardous dry locations. EMT may be used exposed where not subject to physical damage. EMT with compression fitting may be used in damp locations. Otherwise use rigid or intermediate hot dipped galvanized inside and out steel, threaded for screwed fitting only conduits unless specified on the drawings otherwise.

#### 3.02 INSTALLATION OF CONDUIT EMT, IMC, RIGID

- A. Conduits shall be sized in accordance with the latest National Electrical Code except that conduits containing more than two conductors shall be sized based on 35% fill and 1/2" conduit shall contain no wire larger than #12 and no more than 6#12 wires. Conduit shall be sized larger than required above when so shown on the drawings or when required by local Code. Minimum size conduit shall be 1/2".
- B. Follow methods which are appropriate and approved for the location and conditions involved. Where not otherwise shown, specified, or approved in a particular case, run all wiring concealed.
- C. Where rigid and/or IMC conduits enter boxes they shall be secured in place by approved locknuts and bushing.
- D. Where EMT enters boxes they shall be secured in place with approved insulating fittings.
- E. Conduit ends shall be plugged during construction.
- F. The use of running threads is absolutely prohibited. All conduit shall be jointed with approved conduit couplings. All couplings on IMC and rigid conduit shall be threaded.

- G. Install conduit runs to avoid proximity to steam or hot water pipes. In no place shall a conduit be run within 6" of such pipes except where crossing is unavoidable, then conduit shall be kept at least 3" from the covering of the pipe crossed.
- H. Before installing raceways for motors and fixed appliances, check location of motor and appliances connections to locate and arrange raceways appropriately. Provide flexible conduit connections to all motors and/or any equipment which has moving or vibrating parts. Flexible conduit shall generally not exceed 24" in length and shall in all cases be equipped with a ground wire, bonded at both ends. Sealtight flexible conduit shall be used in all areas exposed to moisture.
- I. Exposed conduit runs shall be run parallel and/or right angles to building walls and/or partitions.
- J. Fasten conduit securely in place by means of approved conduit clamps, hangers, supports and fastenings. Arrangement and methods of fastening all conduits shall be subject to Architect/Engineer's direction and approval. Galvanized wire may be used only on concealed conduit. Use only approved clamps on exposed conduit.
- K. All conduits shall be supported within 3 feet of each coupling, fitting, outlet box, junction box, cabinet or equipment enclosure. Conduit supports shall be independent of ducts, plumbing piping, ceiling supports, etc. Conduits shall not be supported by junction boxes, pull boxes, fixtures, etc.
- L. Multiple conduit runs shall be supported by trapeze hangers, run tight against the ceiling.
- M. All conduit connections to sheet metal cabinets or enclosures subject to the elements shall terminate by use of raintight hubs.
- N. All exposed conduit threads, metal supports, etc., exposed to the elements or exterior of building shall be painted with rust preventive paint.
- O. A 100 pound test nylon pull cord shall be installed in each empty conduit.
- P. Apply two coats of asphaltum paint to all underground metallic conduit. Carefully retouch any breaks in paint and allow to dry before covering with earth. Leave exposed until after Architect/ Engineer's inspection. Pittsburgh Standard Rob-Kote may be used in lieu of painting.
- Q. No conduit with an external diameter larger than 1/3 the thickness of the slab, shall be placed in the slab and conduits in the slab shall not be spaced closer than 3 diameters on center.

- R. No conduit shall be run in slag or fill under the ground floor slab. Where running in the slab is not permissible, conduits shall be run in trenches, 18" minimum, below grade and backfilled.
- S. Any conduit stubbed out for future shall be capped and marked with a 2" minimum red metal tag which identifies conduit origin. Conduits stubbed up above grade or roof shall be tagged on the conduit. Conduits stubbed out below grade shall be tagged on nearest building wall, curb, etc., directly over the conduit run.
- T. Conduit in riser shafts shall be supported at each floor level by approved "U" clamp hangers.
- U. Where conduit crosses a structural expansion joint an approved conduit expansion fitting will be installed.
- V. Where hazardous locations must be entered or penetrated, rigid steel conduit, explosion proof junction boxes, fittings and hardware shall be installed in accordance with Articles 500 through 503 and other pertinent sections of the NEC, applicable Standards or Sections of NFPA and any other codes or regulations as required by the local Authority having jurisdiction. Explosion proof seal-off fittings shall be the first exposed fittings on both ends of any conduit penetration of a hazardous area. Seal-off fittings shall be installed in strict accordance with the manufacturer's instructions and shall be packed and sealed with the manufacturer's recommended sealing compound(s), as required to obtain full Code compliance.

**END OF SECTION 26 0533**

**SECTION 26 0534 - OUTLET AND JUNCTION BOXES**

## PART 1 - GENERAL

## 1.01 SCOPE

- A. This section outlines the quality, type and installation of outlet and junction boxes for general and special use.

## PART 2 - PRODUCTS

## 2.01 WALL OUTLET BOXES

- A. Shall be standard type, with knockouts, made of hot dipped galvanized steel, Steel City, Raco, Appleton, or Bowers.
- B. Ceiling outlet boxes shall be 4" octagon 1-1/2" deep or larger as required due to number of wires.
- C. Boxes shall be provided with approved 3/8" fixture studs when required to support stem mounted light fixtures.
- D. Except when located in exposed concrete block, switch and receptacle boxes shall be 4" square with trim ring for single gang installation. Appropriate gang boxes shall be used for mounting ganged switches.
- E. When installed in exposed concrete block, switch and receptacle boxes shall be square type designed for exposed block installation.
- F. When installed exposed in finished area switch, receptacle telephone and data. Etc., outlet boxes shall be Wiremold 2100 series.
- G. When installed exposed in finished area receptacle, telephone and data shall be installed at base in finished metal raceway Wiremold 4000 series.

## 2.02 CAST METAL DEVICE BOXES

## A. General

- 1. Rugged continuous and seamless cast construction to prevent entry of dirt, dust, and moisture.

## B. For sealing boxes installed recessed

- 1. Where device boxes are recessed mounted, the box to the adjacent wall, ceiling, or floor surface shall be sealed. Once wiring is installed, the wiring shall be surrounded by a one inch barrier of silicone caulking around the conductors within the device box hub. Gasketed device cover plates shall be

used, with an additional continuous bead of silicone caulk between the device plate and the adjacent wall, ceiling, or floor surface.

C. For sealing boxes installed exposed

1. Where device boxes and conduits are surface mounted, and where the device box meets the wall, ceiling, or floor surface, a continuous bead of silicone caulk shall be provided.

2.03 PULL AND JUNCTION BOXES

A. General

1. NEMA type and size as required by area or as shown, complete with matching cover. Where necessary, gaskets shall be used to prevent entrance of moisture.

2.04 FLOOR OUTLETS

A. See drawings.

2.05 JUNCTION BOXES

- A. Sheet metal junction boxes, through 4-11/16", shall be standard type of hot dipped galvanized steel, with knockouts, Steel City, Raco, Appleton, Bowers or approved equal.
- B. Cast metal junction boxes, through 4-11/16", shall be type FS, FD, JB, GS, or SEH as required for application.
- C. Sheet metal junction boxes larger than 4-11/16" shall be NEMA 1, Code gauge steel, flush or surface mounted as indicated and shall be Hoffman or approved equal.

PART 3 - EXECUTION

3.01 INSTALLATION OF WALL OUTLET BOXES

- A. Outlet boxes shall be securely fastened to structural members and shall not be supported by dry wall, gypsum board, plaster, etc. The device or plate installed in conjunction with the outlet box shall not be used for support.
- B. Surface fixture outlet boxes shall be set so edge of cover comes flush with finished surface.
- C. There shall be no more knockouts opened in any outlet box than are actually required.
- D. Boxes shall be sealed during construction.

- E. Under no circumstances shall through-the-wall boxes be used. Back to back boxes shall be staggered at least 3 inches, except in fire rated partitions, in which case, back to back boxes shall be staggered at least 24 inches.
- F. Outlet boxes two gangs and wider shall not be supported by attachment clips or any means which supports the boxes from less than two opposite sides of the box. Such outlet boxes in stud walls shall be supported securely by support members spanning between studs.
- G. Outlet boxes installed in fire rated partitions shall be boxed in with wall board or other suitable fire rated material as required to maintain or restore the fire rating of the assembly.

### 3.02 INSTALLATION OF FLOOR OUTLET BOXES

- A. Floor outlet boxes to be imbedded in concrete shall be properly leveled and anchored in place before the concrete is poured.
- B. Floor outlet boxes shall be set so edge of cover comes flush with finished floor surface.
- C. There shall be no more knockouts opened in any outlet box than are actually required.
- D. Boxes shall be sealed during construction.

### 3.03 INSTALLATION OF JUNCTION BOXES

- A. Provide junction or pull boxes where shown on the drawings and as required to facilitate installing conductors. Such boxes shall be "Code" sized unless required to be larger by the plans or other sections of these specifications. All junction boxes shall be accessible.
- B. Junction boxes shall be securely fastened to the building structure independent of ductwork, plumbing, etc. Junction boxes shall not be supported by EMT conduit fittings.
- C. There shall not be more knockouts opened in any box than are actually required.
- D. Boxes shall be properly protected during construction and shall be cleaned of all foreign matter before conductors are installed.
- E. Boxes to be imbedded in concrete shall be properly leveled and anchored in place before the concrete is poured.

## **END OF SECTION 26 0534**

**SECTION 26 0544 - SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING****PART 1 - GENERAL****1.01 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

**1.02 SUMMARY**

- A. Section Includes:
  - 1. Sleeves for raceway and cable penetration of non-fire-rated construction walls and floors.
  - 2. Sleeve-seal systems.
  - 3. Sleeve-seal fittings.
  - 4. Grout.
  - 5. Silicone sealants.

**1.03 ACTION SUBMITTALS**

- A. Product Data: For each type of product.

**PART 2 - PRODUCTS****2.01 SLEEVES**

- A. Wall Sleeves:
  - 1. Steel Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, plain ends.
- B. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies: Galvanized-steel sheet; 0.0239-inch (0.6-mm) minimum thickness; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.
- C. Sleeves for Rectangular Openings:
  - 1. Material: Galvanized sheet steel.
  - 2. Minimum Metal Thickness:

- a. For sleeve cross-section rectangle perimeter less than 50 inches (1270 mm) and with no side larger than 16 inches (400 mm), thickness shall be 0.052 inch (1.3 mm).
- b. For sleeve cross-section rectangle perimeter 50 inches (1270 mm) or more and one or more sides larger than 16 inches (400 mm), thickness shall be 0.138 inch (3.5 mm).

## 2.02 SLEEVE-SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.
  1. Manufacturers: Subject to compliance with requirements, provide products by the following
    - a. Advance Products & Systems, Inc.
    - b. CALPICO, Inc.
    - c. Metraflex Company (The).
    - d. Pipeline Seal and Insulator, Inc.
    - e. Proco Products, Inc.
  2. Sealing Elements: EPDM rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  3. Pressure Plates: Stainless steel.
  4. Connecting Bolts and Nuts: Stainless steel of length required to secure pressure plates to sealing elements.

## 2.03 SLEEVE-SEAL FITTINGS

- A. Description: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit shall have plastic or rubber waterstop collar with center opening to match piping OD.
  1. Manufacturers: Subject to compliance with requirements, provide products by Presealed Systems

## 2.04 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.
- B. Standard: ASTM C 117/C 117M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000-psi (34.5-MPa), 28-day compressive strength.

- D. Packaging: Premixed and factory packaged.

## 2.05 SILICONE SEALANTS

- A. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below.
  - 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.
  - 2. Sealant shall have VOC content according to 40 CFR 59, Subpart D (EPA Method 24).
- B. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

## PART 3 - EXECUTION

### 3.01 SLEEVE INSTALLATION FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

- A. Comply with NECA 1.
- B. Sleeves for Conduits Penetrating Above-Grade Non-Fire-Rated Concrete and Masonry-Unit Floors and Walls:
  - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
    - a. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Division 7 Section "Joint Sealants."
    - b. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect material while curing.
  - 2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 3. Size pipe sleeves to provide 1/4-inch (6.4-mm) annular clear space between sleeve and raceway or cable unless sleeve seal is to be installed or unless seismic criteria require different clearance.
  - 4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
  - 5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches (50 mm) 6 inch above finished floor level. Install sleeves during erection of floors.
- C. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies:

1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
  2. Seal space outside of sleeves with approved joint compound for gypsum board assemblies.
- D. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.
- E. Aboveground, Exterior-Wall Penetrations: Seal penetrations using cast-iron pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch (25-mm) annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- F. Underground, Exterior-Wall and Floor Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch (25-mm) annular clear space between raceway or cable and sleeve for installing sleeve-seal system.

### 3.02 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

### 3.03 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position water stop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms. And using grout, seal the space around outside of sleeve-seal fittings.

**END OF SECTION 26 0544**

**SECTION 26 2466 - ADDITIONS TO EXISTING PANELBOARDS****PART 1 - GENERAL****1.1 SCOPE**

- A. Furnish and install new circuit breakers in existing lighting and/or receptacle panelboards as shown on the drawings and as specified herein.

**PART 2 - PRODUCTS****2.1 CIRCUIT BREAKERS**

- A. New circuit breakers installed in existing panelboards shall be by the same manufacturer as the panelboard or existing circuit breakers and shall be mechanically and electrically identical to existing circuit breakers.
- B. Circuit breakers shall be quick-make, quick-break, thermal magnetic, trip indicating, molded case type, alternating current. Breakers shall trip free of the handle and tripping shall be indicated by the handle assuming a position between "OFF" and "ON". Multiple pole breakers shall have internal common trip with single operating handle; external handle ties are not acceptable.
- C. Single pole breakers shall be UL listed as "Switching Breakers" and shall carry the "SWD" marking.
- D. Breakers shall be bolt-on or plug-on type as required to match existing.
- E. Where noted on the panelboard schedule or on the plans ground fault circuit protection breakers shall be provided:
  - 1. Circuit breakers sized 15 ampere, 20 ampere, and 30 ampere, 1 and 2 pole, shall have integral Class A ground fault protection (5 milliampere sensitivity). This feature shall not require additional panelboard space.
  - 2. Circuit breakers sized 40 amperes and above and all 3 pole units shall utilize external sensors and control circuit breakers via shunt trip units. Sensitivity shall be adjustable from 4 to 12 amperes. Each circuit breaker unit shall be equipped with all necessary wiring, hardware, etc., required for a complete independently functioning unit. Current transformers and controls may be externally mounted to panelboards in NEMA 1 enclosures or if space permits, mounted in panelboard enclosure. Reset controls shall be readily accessible and shall not require the removal of panelboard covers, etc.
- F. Other breaker accessories shall be furnished as shown on the drawings.

**2.2 PANELBOARD FRONTS AND TRIMS**

- A. Fronts shall be modified as required to install new circuit breakers.
- B. Panelboards shall have no exposed or accessible live parts when the front is installed whether the door is open or closed.

## 2.3 PANELBOARD BUS ASSEMBLY

- A. Furnish and install all necessary bus alterations, additions and/or hardware as required to install new circuit breakers.
- B. Bus bars shall be silver plated copper or tin plated aluminum as required to match existing. All connectors shall be plated.
- C. Ampacity of new bussing shall be not less than existing.
- D. A new ground bus shall be installed in any panel, not so equipped, to which a new circuit is run containing a separate equipment grounding conductor.

## 2.4 CIRCUIT BREAKER ARRANGEMENT

- A. Circuit breakers shall be installed so that the entire left row is filled, then begin top right.
- B. Breakers shall be numbered vertically beginning top left. Multi-section panelboards shall be numbered consecutively through all sections.
- C. Circuit breaker numbers shall be metallic, permanently attached to trim.

## 2.5 PANELBOARD EQUIPMENT SHORT CIRCUIT RATING

- A. New circuit breakers shall have a short circuit current rating equal to or greater than the integrated equipment rating of the existing panelboard.

## 2.6 SPECIAL REQUIREMENTS

- A. Any special requirements on the drawings, such as for increased interrupting rating, ground fault protection, etc., shall supersede these specifications, but only insofar as that particular requirement is concerned.

## 2.7 MANUFACTURER

- A. New circuit breakers installed in existing panelboards shall be as manufactured by the same manufacturer as existing circuit breakers.

# PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Wiring in panelboard gutters shall be done in a neat and workman-like manner. Wiring shall be grouped into neat bundles and secured with NON-METALLIC tie wraps.
- B. Panelboard directories shall be typewritten and shall be field verified by the contractor to ensure accuracy. Directories shall include adequate descriptions to allow accurate identification of the load and location served.

**END OF SECTION 26 2466**

**SECTION 26 5600 - EXTERIOR LIGHTING****PART 1 - GENERAL****1.01 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

**1.02 SUMMARY**

- A. Section Includes:

- 1. Exterior luminaires with lamps and ballasts.
  - 2. Luminaire-mounted photoelectric relays.
  - 3. Poles and accessories.
  - 4. Luminaire lowering devices.

- B. Related Sections:

- 1. Division 26 Section "Interior Lighting" for exterior luminaires normally mounted on exterior surfaces of buildings.

**1.03 DEFINITIONS**

- A. CCT: Correlated color temperature.
- B. CRI: Color-rendering index.
- C. HID: High-intensity discharge.
- D. LER: Luminaire efficacy rating.
- E. Luminaire: Complete lighting fixture, including ballast housing if provided.
- F. Pole: Luminaire support structure, including tower used for large area illumination.
- G. Standard: Same definition as "Pole" above.

**1.04 STRUCTURAL ANALYSIS CRITERIA FOR POLE SELECTION**

- A. Dead Load: Weight of luminaire and its horizontal and vertical supports, lowering devices, and supporting structure, applied as stated in AASHTO LTS-4-M.

- B. Wind Load: Pressure of wind on pole and luminaire and banners and banner arms, calculated and applied as stated in AASHTO LTS-4-M.
  - 1. Basic wind speed for calculating wind load for poles is 100 mph (45 m/s).
    - a. Wind Importance Factor: 1.3.
    - b. Minimum Design Life: 50 years.
    - c. Velocity Conversion Factors: 1.0.

#### 1.05 SUBMITTALS

- A. Product Data: For each luminaire, pole, and support component, arranged in order of lighting unit designation. Include data on features, accessories, finishes, and the following:
  - 1. Physical description of luminaire, including materials, dimensions, effective projected area, and verification of indicated parameters.
  - 2. Details of attaching luminaires and accessories.
  - 3. Details of installation and construction.
  - 4. Luminaire materials.
  - 5. Photometric data based on laboratory tests of each luminaire type, complete with indicated lamps, ballasts, and accessories.
    - a. Manufacturer Certified Data: Photometric data shall be certified by manufacturer's laboratory with a current accreditation under the National Voluntary Laboratory Accreditation Program for Energy Efficient Lighting Products.
  - 6. Photoelectric relays.
  - 7. Ballasts, including energy-efficiency data.
  - 8. Lamps, including life, output, CCT, CRI, lumens, and energy-efficiency data.
  - 9. Materials, dimensions, and finishes of poles.
  - 10. Means of attaching luminaires to supports, and indication that attachment is suitable for components involved.
  - 11. Anchor bolts for poles.
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 2. Anchor-bolt templates keyed to specific poles and certified by manufacturer.
- C. Qualification Data: For qualified agencies providing photometric data for lighting fixtures.

- D. Field quality-control reports.
- E. Operation and Maintenance Data: For luminaires and poles to include in emergency, operation, and maintenance manuals.
- F. Warranty: Sample of special warranty.

#### 1.06 QUALITY ASSURANCE

- A. Luminaire Photometric Data Testing Laboratory Qualifications: Provided by manufacturers' laboratories that are accredited under the National Volunteer Laboratory Accreditation Program for Energy Efficient Lighting Products.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with IEEE C2, "National Electrical Safety Code."
- D. Comply with NFPA 70.

#### 1.07 DELIVERY, STORAGE, AND HANDLING

- A. Package aluminum poles for shipping according to ASTM B 660.
- B. Store poles on decay-resistant-treated skids at least 12 inches (300 mm) above grade and vegetation. Support poles to prevent distortion and arrange to provide free air circulation.
- C. Retain factory-applied pole wrappings on metal poles until right before pole installation. For poles with nonmetallic finishes, handle with web fabric straps.

#### 1.08 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace products that fail in materials or workmanship; that corrode; or that fade, stain, perforate, erode, or chalk due to effects of weather or solar radiation within specified warranty period. Manufacturer may exclude lightning damage, hail damage, vandalism, abuse, or unauthorized repairs or alterations from special warranty coverage.
  - 1. Warranty Period for Luminaires: Five years from date of Substantial Completion.
  - 2. Warranty Period for Metal Corrosion: Five years from date of Substantial Completion.

3. Warranty Period for Color Retention: Five years from date of Substantial Completion.
4. Warranty Period for Poles: Repair or replace lighting poles and standards that fail in finish, materials, and workmanship within manufacturer's standard warranty period, but not less than three years from date of Substantial Completion.

#### 1.09 SPECIAL REQUIREMENTS

- A. All lighting fixtures shall be purchased from local manufacturer representative and local distributors which are located within 50 mile of project site, no exception

### PART 2 - PRODUCTS

#### 2.01 MANUFACTURERS

- A. Products: Subject to compliance with requirements, provide product indicated on Drawings. The following manufacturer shall provide pre-bid submittals for approval if their products not specified on lighting fixture schedule on drawings:

Acuity Brand, Cooper, Hubbell

#### 2.02 GENERAL REQUIREMENTS FOR LUMINAIRES

- A. Luminaires shall comply with UL 1598 and be listed and labeled for installation in wet locations by an NRTL acceptable to authorities having jurisdiction.
  1. LER Tests Incandescent Fixtures: Where LER is specified, test according to NEMA LE 5A.
  2. LER Tests Fluorescent Fixtures: Where LER is specified, test according to NEMA LE 5 and NEMA LE 5A as applicable.
  3. LER Tests HID Fixtures: Where LER is specified, test according to NEMA LE 5B.
- B. Metal Parts: Free of burrs and sharp corners and edges.
- C. Sheet Metal Components: Corrosion-resistant aluminum unless otherwise indicated. Form and support to prevent warping and sagging.
- D. Housings: Rigidly formed, weather- and light-tight enclosures that will not warp, sag, or deform in use. Provide filter/breather for enclosed luminaires.
- E. Doors, Frames, and Other Internal Access: Smooth operating, free of light leakage under operating conditions, and designed to permit relamping without

use of tools. Designed to prevent doors, frames, lenses, diffusers, and other components from falling accidentally during relamping and when secured in operating position. Doors shall be removable for cleaning or replacing lenses. Designed to disconnect ballast when door opens.

- F. Exposed Hardware Material: Stainless steel.
- G. Plastic Parts: High resistance to yellowing and other changes due to aging, exposure to heat, and UV radiation.
- H. Reflecting surfaces shall have minimum reflectance as follows unless otherwise indicated:
  - 1. White Surfaces: 85 percent.
  - 2. Specular Surfaces: 83 percent.
  - 3. Diffusing Specular Surfaces: 75 percent.
- I. Lenses and Refractors Gaskets: Use heat- and aging-resistant resilient gaskets to seal and cushion lenses and refractors in luminaire doors.
- J. Factory-Applied Finish for Aluminum Luminaires: Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
  - 1. Finish designations prefixed by AA comply with the system established by the Aluminum Association for designating aluminum finishes.
  - 2. Class I, Color Anodic Finish: AA-M32C22A42/A44 (Mechanical Finish: medium satin; Chemical Finish: etched, medium matte; Anodic Coating: Architectural Class I, integrally colored or electrolytically deposited color coating 0.18 mm or thicker) complying with AAMA 611.
    - a. Color: As indicated on drawings.
- K. Factory-Applied Labels: Comply with UL 1598. Include recommended lamps and ballasts. Labels shall be located where they will be readily visible to service personnel, but not seen from normal viewing angles when lamps are in place.
  - 1. Label shall include the following lamp and ballast characteristics:
    - a. "USES ONLY" and include specific lamp type.
    - b. Lamp diameter code (T-4, T-5, T-8), tube configuration (twin, quad, triple), base type, and nominal wattage for fluorescent and compact fluorescent luminaires.
    - c. Lamp type, wattage, bulb type (ED17, BD56, etc.) and coating (clear or coated) for HID luminaires.
    - d. Start type (preheat, rapid start, instant start) for fluorescent and compact fluorescent luminaires.
    - e. ANSI ballast type (M98, M57, etc.) for HID luminaires.
    - f. CCT and CRI for all luminaires.

## 2.03 LUMINAIRE-MOUNTED PHOTOELECTRIC RELAYS

- A. Comply with UL 773 or UL 773A.
- B. Contact Relays: Factory mounted, single throw, designed to fail in the on position, and factory set to turn light unit on at 1.5 to 3 fc (16 to 32 lx) and off at 4.5 to 10 fc (48 to 108 lx) with 15-second minimum time delay. Relay shall have directional lens in front of photocell to prevent artificial light sources from causing false turnoff.
  - 1. Relay with locking-type receptacle shall comply with ANSI C136.10.
  - 2. Adjustable window slide for adjusting on-off set points.

## 2.04 LED FIXTURES

- A. Comply with ANSI C82.1, IES LM-79 and LM-80, 5 years minimum lamp and driver replacement warranty.
- B. LED lamp shall have color temperature of minimum 5000K.

## 2.05 GENERAL REQUIREMENTS FOR POLES AND SUPPORT COMPONENTS

- A. Structural Characteristics: Comply with AASHTO LTS-4-M.
  - 1. Wind-Load Strength of Poles: Adequate at indicated heights above grade without failure, permanent deflection, or whipping in steady winds of speed indicated in "Structural Analysis Criteria for Pole Selection" Article.
- B. Luminaire Attachment Provisions: Comply with luminaire manufacturers' mounting requirements. Use stainless-steel fasteners and mounting bolts unless otherwise indicated.
- C. Mountings, Fasteners, and Appurtenances: Corrosion-resistant items compatible with support components.
  - 1. Materials: Shall not cause galvanic action at contact points.
  - 2. Anchor Bolts, Leveling Nuts, Bolt Caps, and Washers: Hot-dip galvanized after fabrication unless otherwise indicated.
  - 3. Anchor-Bolt Template: Plywood or steel.
- D. Handhole: Oval-shaped, with minimum clear opening of 2-1/2 by 5 inches (65 by 130 mm), with cover secured by stainless-steel captive screws.
- E. Concrete Pole Foundations: Cast in place, with anchor bolts to match pole-base flange. Concrete, reinforcement, and formwork are specified in Division 03 Section "Cast-in-Place Concrete."

## 2.06 POLES

- A. Poles: As shown on drawings
- B. Pole-Top Tenons: Fabricated to support luminaire or luminaires and brackets indicated, and securely fastened to pole top.
- C. Grounding and Bonding Lugs: Welded 1/2-inch (13-mm) threaded lug, complying with requirements in Division 26 Section "Grounding and Bonding for Electrical Systems," listed for attaching grounding and bonding conductors of type and size listed in that Section, and accessible through handhole.
- D. Pole Finish: As indicated on drawings.

## 2.10 DECORATIVE POLES

- E. Pole Material: As shown on drawings
- F. Mounting Provisions:
  - 1. Bolted to concrete foundation.
  - 2. Embedded.
- G. Pole Finish: As indicated on drawings.

## 2.11 POLE ACCESSORIES

- H. Base Covers: Manufacturers' standard metal units, arranged to cover pole's mounting bolts and nuts. Finish same as pole.

# PART 3 - EXECUTION

## 3.01 LUMINAIRE INSTALLATION

- A. Fasten luminaire to indicated structural supports.
  - 1. Use fastening methods and materials selected to resist seismic forces defined for the application and approved by manufacturer.
- B. Adjust luminaires that require field adjustment or aiming. Include adjustment of photoelectric device to prevent false operation of relay by artificial light sources, favoring a north orientation.

### 3.02 POLE INSTALLATION

- A. Alignment: Align pole foundations and poles for optimum directional alignment of luminaires and their mounting provisions on the pole.
- B. Clearances: Maintain the following minimum horizontal distances of poles from surface and underground features unless otherwise indicated on Drawings:
  - 1. Fire Hydrants and Storm Drainage Piping: 60 inches (1520 mm).
  - 2. Water, Gas, Electric, Communication, and Sewer Lines: 10 feet (3 m).
  - 3. Trees: 15 feet (5 m) from tree trunk.
- C. Concrete Pole Foundations: Set anchor bolts according to anchor-bolt templates furnished by pole manufacturer. Concrete materials, installation, and finishing requirements are specified in Division 03 Section "Cast-in-Place Concrete."
- D. Foundation-Mounted Poles: Mount pole with leveling nuts, and tighten top nuts to torque level recommended by pole manufacturer.
  - 1. Use anchor bolts and nuts selected to resist seismic forces defined for the application and approved by manufacturer.
  - 2. Grout void between pole base and foundation. Use nonshrink or expanding concrete grout firmly packed to fill space. Pole base shall be rubbed smooth after installation.
  - 3. Install base covers unless otherwise indicated.
  - 4. Use a short piece of 1/2-inch- (13-mm-) diameter pipe to make a drain hole through grout. Arrange to drain condensation from interior of pole.
- E. Poles and Pole Foundations Set in Concrete Paved Areas: Install poles with minimum of 6-inch- (150-mm-) wide, unpaved gap between the pole or pole foundation and the edge of adjacent concrete slab. Fill unpaved ring with pea gravel to a level 1 inch (25 mm) below top of concrete slab.
- F. Raise and set poles using web fabric slings (not chain or cable).

### 3.03 CORROSION PREVENTION

- A. Steel Conduits: Comply with Division 26 Section "Raceway and Boxes for Electrical Systems." In concrete foundations, wrap conduit with 0.10-inch- (0.254-mm-) thick, pipe-wrapping plastic tape applied with a 50 percent overlap.

### 3.04 GROUNDING

- A. Ground metal poles and support structures according to Division 26 Section "Grounding and Bonding for Electrical Systems."

1. Install grounding electrode for each pole unless otherwise indicated.
  2. Install grounding conductor pigtail in the base for connecting luminaire to grounding system.
- B. Ground nonmetallic poles and support structures according to Division 26 Section "Grounding and Bonding for Electrical Systems."
1. Install grounding electrode for each pole.
  2. Install grounding conductor and conductor protector.
  3. Ground metallic components of pole accessories and foundations.

### 3.05 FIELD QUALITY CONTROL

- A. Inspect each installed fixture for damage. Replace damaged fixtures and components.
- B. Illumination Observations: Verify normal operation of lighting units after installing luminaires and energizing circuits with normal power source.
- C. Prepare a written report of tests, inspections, observations, and verifications indicating and interpreting results. If adjustments are made to lighting system, retest to demonstrate compliance with standards.

### 3.06 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain luminaire lowering devices.

**END OF SECTION 26 5600**