



26-0076 Addendum 2

Internal Audit Services

Issue Date: 11/6/2025

Questions Deadline: 11/17/2025 02:00 PM (CT)

Response Deadline: 12/2/2025 02:00 PM (CT)

UM Procurement

Contact Information

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Event Information

Number: 26-0076 Addendum 2
Title: Internal Audit Services
Type: Request for Qualifications
Issue Date: 11/6/2025
Question Deadline: 11/17/2025 02:00 PM (CT)
Response Deadline: 12/2/2025 02:00 PM (CT)
Notes: The University of Missouri desires to qualify and contract with multiple firms, who are established and experienced in **Providing, Furnishing and/or Delivery of Audit Services. Respondents are only required to complete and submit information for the expertise they are qualified for. They do not need to complete information for all categories listed.** Qualified suppliers are invited to submit a bid on the items or services specified.

All bids must be made on this form and shall be subject to the terms and conditions included herein.

Bids submitted must be received utilizing the University of Missouri System's electronic bidding platform. The University assumes no responsibility for any supplier's on time submission.

Billing Information

Address: 801 Conley Avenue
325 Jesse Hall
Columbia, MO 65211
Email: umprocimagingvouche@umsystem.edu

Bid Activities

Deadline for Questions

11/17/2025 2:00:00 PM (CT)

Any questions related to this Request for Proposal must be submitted through the online bidding system no later than 2:00 PM on Monday, November 17, 2025.

Bid Attachments

Solicitation Terms and Conditions 9-01-25.pdf

University of Missouri General Terms and Conditions

[Download](#)

Instructions to Respondents 03.08.25.pdf

University of Missouri Instructions to Respondent

[Download](#)

Low Risk Insurance without Auto Coverage.pdf

Required Low Risk Insurance

[View Online](#)

Data Protection Addendum 07.08.2025.pdf

Data Protection Addendum, required when a contract is executed.

[View Online](#)

BAA.DOC

Business Associate Agreement, required when a contract is executed.

[View Online](#)

Bid Attributes

1 General Terms and Conditions

I acknowledge reading the University of Missouri General Terms and Conditions attachment and agree that these Terms shall be incorporated into and apply to any agreement resulting from this Solicitation.

☐ Yes

(Required: Check if applicable)

2 Exceptions to Terms and Conditions

Do you have any exceptions to the Terms and Conditions (under Attachments tab)? If YES, please list exceptions below.

☐ Yes ☐ No

(Required: Check only one)

3 Exception to General Terms and Conditions

Provide page number, section and reason for exception. (Attach additional pages if needed).

NOTE: Exceptions taken may cause the solicitation response to be rejected at the sole discretion of the University. Any terms to which Respondent does not take exception shall be binding and any subsequent objection to those terms shall have no effect.

(Optional: Maximum 1000 characters allowed)

4 Instructions to Respondents

I acknowledge reading and understand the Instructions to Respondents attachment.

☐ Yes

(Required: Check if applicable)

5 Supplier Agreement

The University of Missouri expects the awarded Supplier to comply with all terms outlined in the General Terms and Conditions included with this solicitation. If the awarded supplier requires a separate agreement to be executed in addition to this RFP and its responsive proposal, such agreement shall be incorporated into the final contract award. However, the Supplier's agreement shall not supersede or conflict with the University's terms unless agreed to by the University. Supplier's agreement must be attached on the Response Attachment tab in an editable Word Document format in order to be considered by the University. Any hyperlinked terms must also be attached in an editable Word Document format. **The University will not accept hyperlinked terms, and any such hyperlinked terms are hereby rejected.**

☐ Acknowledged

(Required: Check if applicable)

6 LOCAL BUYING PREFERENCE

7 Maintain a regular place of business in the State of Missouri?

☐ Yes ☐ No

(Required: Check only one)

8 Are company headquarters located in Missouri?

☐ Yes ☐ No

(Required: Check only one)

9 PAYMENT**10 Pay Terms**

The University's standard pay terms are Net 30. Do you offer an early pay discount if paid sooner? If so, explain.

(Optional: Maximum 1000 characters allowed)

11 Payment preference

- SUA - Payment settles using Due Immediate pay terms. The Single Use Account option is an electronically issued single use MasterCard payment, which avoids delays. Please complete this [SUA Enrollment Form](#).
- ACH - Standard settlement terms are Net 30 but may be escalated if early pay discounts are offered. Payment is made electronically which avoids delays and eliminates the potential for interception. Payment can settle as soon as next day. If ACH is chosen and respondent is awarded, an email invitation will be sent to register in PaymentWorks in order to securely provide your ACH information.
- Check - Standard settlement terms are net 30. Payments will be mailed and are subject to USPS delivery, which may be significantly delayed.

☐ SUA (Single Use Credit Card)

☐ ACH/Direct Deposit

☐ Check

(Required: Check all that apply)

12 CONTRACT AWARD

An award may be made to multiple Respondents who best meet the requirements and specifications outlined in this RFQ. Awarded suppliers will not be exclusive. An award does not guarantee a commitment. The University will seek Suppliers from the qualified and awarded Suppliers as specific work is needed, and subject to an acceptable quote or statement of work from the selected Supplier.

Additional suppliers may be added to the list of approved Suppliers during the duration of the contract, provided all University of Missouri Request for Qualification requirements are met in accordance with this RFQ.

☐ Acknowledge

(Required: Check if applicable)

13 Best and Final

The University of Missouri reserves the right to negotiate best and final terms with any Respondent.

14 Contract Term

The contract period shall be from the date of award through December 31, 2026.

Pricing shall remain firm for the initial term of the contract.

15 Business Associate Agreement (BAA)

If required, awarded Supplier must sign the University's BAA at the time of contract execution. Attached for reference is a copy of the University's BAA. Acknowledge acceptance of this requirement.

☐ Acknowledged

(Optional: Check if applicable)

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6**Insurance Requirements**

If awarded, Supplier agrees to maintain, on a primary basis and at its sole expense at all times during the life of any resulting contract, insurance coverage limits, including endorsements, as outlined in the Required Insurance Attachment.

☐ Acknowledged

(Required: Check if applicable)

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7**Data Protection Addendum (DPA)**

If required, awarded Supplier must sign the University's Data Protection Addendum at the time of contract execution. A copy of the University's DPA is included in the attachments for reference. Acknowledge acceptance of this requirement.

☐ Acknowledged

(Optional: Check if applicable)

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8**Objective**

The Curators of the University of Missouri, a public organization, wishes to qualify and contract with multiple firms, that are established and experienced in providing INTERNAL AUDIT SERVICES, for the University of Missouri System (hereinafter referred to as "University") with an organization (hereinafter referred to as "Supplier"), in accordance with the provisions and requirements, as described herein. The University desires to supplement the current knowledge, skills, and tools of the Ethics, Compliance and Audit Services Team, obtain the best possible pricing, and ensure compliance with federal, state and University requirements.

The purpose of this Request for Qualification (RFQ) is to identify and approve a selection of internal audit firms that can perform information technology, forensic, and construction audits requiring specialized knowledge, skills, and/or tools that are not available within the current Ethics, Compliance and Audit Services Team. We also seek to qualify firm(s) experienced in operational, financial and compliance internal audits in higher education and/or academic medical centers to assist with performing audits as needed. To achieve this goal, Respondents are requested to provide their portfolio of internal audit services. Respondents are encouraged to identify a niche or specialty category that is associated with the strengths of their business model.

This Request for Qualification (RFQ) requests pricing for an indefinite number of audit engagements to be performed as needed. While some audit categories represent significant opportunities, the University makes no guarantees on the number of audits it will require. Awarded firms will not be considered exclusive. The University seeks firms who can provide internal audit services on an as needed basis, and subject to an acceptable statement of work and quote with the approved firm.

Awarded firms may serve the entire University of Missouri System, comprised of four universities and an academic medical center. Additional firms may be added to the list of approved firms during the duration of the contract; providing all University of Missouri Request for Qualification requirements are met.

1
9**Background University Information**

The University of Missouri has provided teaching, research and service to Missouri since 1839. It was the first publicly supported institution of higher education established in the Louisiana Purchase territory. Today, the University of Missouri is one of the nation's largest higher education institutions with more than 73,000 students, 24,000 faculty and staff on four campuses, an extension program with activities in every county of the state, comprehensive distance learning services and an extensive health care network.

This RFQ will provide an opportunity for the University's Ethics, Compliance and Audit Services Team and approved firms to collaborate on the planning and execution of risk-based audits.

2
0**MANDATORY REQUIREMENTS**

Respondents must acknowledge acceptance of all Mandatory Requirements in this section in order to continue with a response to this Request for Qualifications. Any Respondent that does not meet the following requirements will be removed from further consideration. Respondents must provide a written, affirmative response to each of the criteria stated below and provide substantiating information to support your answer.

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Mandatory Requirement #1 - Collaboration with Audit Management and Staff

1. Respondent must be open to have collaborating with University of Missouri staff members in the performance of audits/assessments.
2. Respondent must be open to sharing detailed test workpapers that support audit findings.
3. Respondent must be open to educating staff on the meaning of results and conclusion.

Confirm and provide substantiating information below to support your answer

☐ Yes ☐ NO

(Optional: Check only one)

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Mandatory Requirement #1 Substantiating Information

(Required: Maximum 4000 characters allowed)

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Mandatory Requirement #2 - Staffing

Provide list of specific staff members who are being proposed to perform the above referenced engagement types. Include their background/qualifications, specialized skill sets, and certifications.

Confirm and provide substantiating information below to support your answer.

☐ Yes ☐ NO

(Optional: Check only one)

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Mandatory Requirement #2 Substantiating Information

(Required: Maximum 4000 characters allowed)

2
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QUALIFYING REQUIREMENTS

Respondents must acknowledge which of the Category(ies) they can provide. Respondents MUST provide a written, affirmative response to each of the criteria for the specific Category selected and provide substantiating information along with examples of the objectives and scope of the engagements performed.

Any Respondent that does not meet the qualifying requirements may be removed from further consideration.

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Description of Services to be Provided for Category A - Information Technology

During the contract period, qualified suppliers may be asked to plan and execute internal audits/assessments in collaboration with Ethics, Compliance and Audit Services Staff, including but not limited to the following types of audits/assessments:

Information Technology

1. Technical evaluation and testing of firewall policies and rules
2. Penetration testing of internal and external IP addresses
3. Web application, operating system, database, storage, virtual environment, and cloud environment security audits and/or assessments
4. Business continuity/disaster recovery (BCDR) audits and/or assessments
5. Security incident response audits and/or assessments
6. Cybersecurity and social engineering threats
7. Remote work environment
8. Cybersecurity event detection and monitoring
9. Windows patch management
10. Web-content accessibility audits and assessments

☐ Yes - I will be responding to this Category ☐ No - I will not be responding to this Category

(Required: Check only one)

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Requirement #1, Category A - Information Technology

Technical evaluations and testing of firewall policies and rules.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

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Requirement #2, Category A - Information Technology

Penetration testing of internal and external IP addr.

Provide examples of previous engagements performed, including objectives and scope

(Optional: Maximum 4000 characters allowed)

29 Requirement #3, Category A - Information Technology

Web application, operating system, database, storage, virtual environment, and cloud security audits and/or assessments.

Provide examples of previous engagements performed, including objectives and scope. Please be specific about which types of these security assessment you have performed such as web application, operating system, etc.

(Optional: Maximum 4000 characters allowed)

30 Requirement #4, Category A - Information Technology

Business continuity/disaster recovery (BCDR) audits and/or assessments.

Provide examples of previous engagements performed, including objectives and scope

(Optional: Maximum 4000 characters allowed)

31 Requirement #5, Category A - Information Technology

Security incident response audits and/or assessments.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

32 Requirement #6, Category A - Information Technology

Cybersecurity and social engineering threats.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

3 Requirement #7, Category A - Information Technology

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Remote work environment.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

3 Requirement #8, Category A - Information Technology

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Cybersecurity event detection and monitoring.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

3 Requirement #9, Category A - Information Technology

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Windows Patch Management

(Optional: Maximum 4000 characters allowed)

3 Requirement #10, Category A - Information Technology

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Accessibility Audits and/or assessments.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

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Description of Services to be Provided for Category B - Construction

During the contract period, qualified suppliers may be asked to plan and execute internal audits/assessments in collaboration with Ethics, Compliance and Audit Services Staff, including but not limited to the following types of audits/assessments:

Construction

1. Pre-construction contract reviews
2. Assessment of budget development and reporting controls
3. General and subcontractor selection controls
4. Contractor invoicing and payment controls
5. Compliance with contract terms including the delivery and evaluation of contract mandated deliverables

☐ Yes - I will be responding to this Category ☐ No - I will not be responding to this Category

(Required: Check only one)

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Requirement #1, Category B - Construction

Pre-construction contract reviews.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

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Requirement #2, Category B - Construction

Assessment of budget development and reporting controls.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

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Requirement #3, Category B - Construction

General and subcontractor selection controls.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

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Requirement #4, Category B - Construction

Contractor invoicing and payment controls.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

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Requirement #5, Category B - Construction

Compliance with contract terms including the delivery and evaluation of contract mandated deliverables.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

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Description of Services to be Provided for Category C - Fraud and Forensic

During the contract period, qualified suppliers may be asked to plan and execute internal audits/assessments in collaboration with Ethics, Compliance and Audit Services Staff, including but not limited to the following types of audits/assessments:

Fraud and Forensic

1. Assistance in the examination of financial statements to find illegal activity if/when fraud is suspected
2. Assistance in the examination of documents and the interviewing of individuals to extract evidence

☐ Yes - I will be responding to this Category ☐ No - I will not be responding to this Category

(Required: Check only one)

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Requirement #1, Category C - Fraud & Forensic

Assistance in the examination of financial statements to find illegal activity if/when fraud is suspected.

Provide examples of previous engagements performed, including objectives and scope.

(Optional: Maximum 4000 characters allowed)

| | |
|---|---|
| 4 5 | Requirement #2, Category C - Fraud & Forensic |
| | Assistance in the examination of documents and the interviewing of individuals to extract evidence. |
| | Provide examples of previous engagements performed, including objectives and scope. |
| | |
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| | |
| (Optional: Maximum 4000 characters allowed) | |

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|--------|--|
| 4 6 | Description of Services to be Provided for Category D - Operational/Financial/Compliance |
| | <input type="checkbox"/> Yes - I will be responding to this Category <input type="checkbox"/> No - I will not be responding to this Category (Required: Check only one) |

| | |
|---|---|
| 4 7 | Requirement #1, Category D - Operational/Financial/Compliance |
| | Experience in performing audits in higher education. |
| | Provide examples of previous engagements performed, including objectives and scope. |
| | |
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| | |
| (Optional: Maximum 4000 characters allowed) | |

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| 4 8 | Requirement #2, Category D - Operational/Financial/Compliance |
| | Experience in performing audits in academic medical centers. |
| | Provide examples of previous engagements performed, including objectives and scope. |
| | |
| | |
| | |
| (Optional: Maximum 4000 characters allowed) | |

Bid Lines

| | |
|---|--|
| 1 | Provide information on how a Scope of Work or Project would be priced. For example: hourly rates, blended hourly rate, project rates, etc. |
| | |

2 Hourly rates for each staffing level. Include attachment if necessary.

Quantity: 1 UOM: EA Price: Total:

Supplier Notes: _____

☐ No bid
☐ Additional notes
(Attach separate sheet)

3 Blended hourly rate.

Quantity: 1 UOM: EA Price: Total:

Supplier Notes: _____

☐ No bid
☐ Additional notes
(Attach separate sheet)

4 Project rate. Include attachment if necessary.

Quantity: 1 UOM: EA Price: Total:

Supplier Notes: _____

☐ No bid
☐ Additional notes
(Attach separate sheet)

Supplier Information

Company Name: _____

Contact Name: _____

Address: _____

Phone: _____

Fax: _____

Email: _____

Supplier Notes

By submitting your response, you certify that you are authorized to represent and bind your company.

Print Name

Signature